

AGENDA  
VILLAGE OF ROUND LAKE  
REGULAR MEETING  
December 2, 2013  
442 N. Cedar Lake Road  
7:00 P.M.

CALL TO ORDER

1. ROLL CALL
2. PLEDGE OF ALLEGIANCE
3. APPROVAL OF MINUTES
  - 3.1 Approve the Minutes of the Regular Meeting of November 18, 2013
4. NOTES/COMMENDATIONS/PUBLIC COMMENT
  - 4.1 Public Comment
5. CONSENT AGENDA
  - 5.1 Approve Accounts Payable in the Amount of \$141,822.14
  - 5.2 Approve Payroll for the Period Ending November 17, 2013 in the Amount of \$116,507.55
  - 5.3 Accept October 2013 Treasurer's Report as Presented
  - 5.4 Adopt a Resolution for the Continued Participation with Harris/MSI for Software Maintenance at a cost of \$10,393.17
  - 5.5 Adopt a Resolution Approving a Proposal from Illinois Public Risk Fund for Workers Compensation Insurance
  - 5.6 Adopt a Resolution Approving a Proposal from Trident for a Property/Casualty Insurance Program
  - 5.7 Adopt a Resolution Approving a Proposal from Liberty Surety First for Public Official's Bonds
  - 5.8 Approve the Resolution for Reimbursement for the Estimated MFT Eligible Maintenance Expenses for Fiscal Year 2013/2014
  - 5.9 Adopt a Resolution Authorizing the Purchase of Hydraulic Shoring at a price not to exceed \$4,322.00

- 5.10 Adopt a Resolution Authorizing the Purchase of Steel Chipper Box at a price not to exceed \$2,770.00
- 5.11 Adopt a Resolution Approving Maintenance of the Dorothy Lane Water Main at a cost not to exceed \$38,234.65
- 5.12 Approve Duct Cleaning Quote for Village Hall at a cost not to exceed \$3,000.00
  
- 6. CLERK'S OFFICE
  
- 7. ADMINISTRATOR
  - 7.1 Approve Changes to Open Burning Ordinance
  
- 8. FINANCE
  - 8.1 Approve a Payment of \$57.14 to Grainger
  
- 9. POLICE
  - 9.1 Employee Recognition to Deputy Chief Francis Foy
  
- 10. PUBLIC WORKS
  
- 11. COMMUNITY DEVELOPMENT
  
- 12. BUILDING AND ZONING
  
- 13. SPECIAL EVENTS
  - 13.1 Holiday Tree Lighting – December 6<sup>th</sup> 6:00 PM
  - 13.2 House Decorating Contest
  
- 14. MAYOR'S COMMENTS
  - 14.1 Mayor's Comments
  - 14.2 Trustee's Comments
  
- 15. EXECUTIVE SESSION
  
- 16. ADJOURN

AGENDA  
VILLAGE OF ROUND LAKE  
COMMITTEE OF THE WHOLE MEETING  
December 2, 2013  
442 N. Cedar Lake Road  
To Follow the Regular Board Meeting  
The Regular Board Meeting is 7:00 P.M.

CALL TO ORDER

1. ROLL CALL

2. APPROVAL OF MINUTES

2.1 Approve the Minutes of the Committee of the Whole Meeting of November 18, 2013

3. PUBLIC COMMENT

4. COMMITTEE OF THE WHOLE

- Community Development
- Human Resources and Finance
  - 2013 Proposed Tax Levy
  - 2013 Tax Levy Abatement Ordinances
- Public Works, Facilities and Capital Assets, and Engineering
- Special Events
- Building and Zoning
- Police
  - Department Restructure
- Administration

5. SUGGESTED NEW TOPICS

6. EXECUTIVE SESSION

7. ADJOURN

MINUTES  
VILLAGE OF ROUND LAKE  
REGULAR MEETING  
November 18, 2013  
442 N. Cedar Lake Road  
7:00 P.M.

THE REGULAR BOARD MEETING OF THE VILLAGE OF ROUND LAKE WAS CALLED TO ORDER BY DAN MACGILLIS, VILLAGE PRESIDENT AT 7:00 P.M.

1. ROLL CALL

Present: Trustees Clements, Frye, Newby, Simoncelli, Triphahn, Wicinski  
Absent: None

2. PLEDGE OF ALLEGIANCE

3. APPROVAL OF MINUTES

3.1 Approve the Minutes of the Regular Meeting of November 4, 2013

Motion by Trustee Clements, Seconded by Trustee Newby, to approve the Minutes of the Regular Meeting of November 4, 2013. Upon a unanimous voice vote, the Mayor declared the motion carried.

4. NOTES/COMMENDATIONS/PUBLIC COMMENT

4.1 Public Comment

Mr. Bower of Magnolia Lane thanked the Village in regards to the trees that were being planted within his subdivision as a result of the Tree Program

5. CONSENT AGENDA

Motion by Trustee Triphahn, seconded by Trustee Frye to do an Omnibus approval on items 5.1, 5.2, 5.3, 5.4 & 5.5 upon the call of the roll, the following voted:

Ayes:	Trustees Clements, Frye, Newby, Simoncelli, Triphahn, Wicinski
Nays:	None
Abstain:	None
Absent:	None

Mayor MacGillis Declared the Motion carried.

5.1 Approve Accounts Payable in the Amount of \$429,828.11

Approved – Omnibus Vote

5.2 Approve Payroll for the Period Ending November 3, 2013 in the Amount of \$113,033.41

Approved – Omnibus Vote

- 5.3 Adopt an Ordinance Authorizing an Amended Central Lake County Joint Action Water Agency (CLJAWA) Agreement and Consenting to the Admission of Additional Members

Approved – Omnibus Vote

- 5.4 Adopt a Resolution to Authorize the Expenditure of \$33,639.00 for the Purchase and Installation of Equipment for the 2014 F-550 Dump Truck from Monroe Truck Equipment Co.

Approved – Omnibus Vote

- 5.5 Adopt a Resolution Approving the Estimated 2013 Tax Levy in the amount of \$3,578,052.00

Approved – Omnibus Vote

## 6. CLERK'S OFFICE

- 6.1 Swearing in of Officer Michelle L. Hernandez  
Chief Gillette gave a brief introduction of the Village of Round Lake's new officer, Michelle Hernandez. After Clerk Blauvelt swore her into office, her Brother pinned her new badge on her
- 6.2 Swearing in of Officer Shane Page  
Chief Gillette gave a brief introduction of the Village of Round Lake's new officer, Shane Page. After Clerk Blauvelt swore him into office, his Son pinned his new badge on him.
- 6.3 The Village Hall will be Closed November 28<sup>th</sup> Through December 1<sup>st</sup> in Observance of the Thanksgiving Holiday

## 7. ADMINISTRATOR

Village Administrator Russ Kraly mentioned there will be a new Montessori School coming to the Village. There still is a lot of planning to be done on their end and he looks forward to them joining our community and construction should start sometime in January. Mayor MacGillis stated the Village had worked with them to try and help them find what they were looking for. He stated that the school had looked at several different properties within the Village and some didn't have the required setbacks and a few properties were not big enough. One day they came into the Village Hall to let the Mayor and VA know that a recent deal did not go through and Trustee Triphahn happened to be in the Village that day and commented on a piece of property for sale she had seen and believed it was zoned for what they were looking for. Village maps were pulled and it was discovered that the 7 acre property was indeed zoned for their needs exactly, and now 5 months later, we are signing papers. He stated it was a team effort and thanked everyone.

## 8. FINANCE

8.1 Approve a Payment of \$45.99 to Grainger

Motion by Trustee Triphahn, Seconded by Trustee Simoncelli, to approve a payment of \$45.99 to Grainger. Upon a call of the roll, the following voted:

Ayes:	Trustee Frye, Newby, Simoncelli, Triphahn, Wicinski
Nays:	None
Abstain:	Trustee Clements
Absent:	None

Mayor MacGillis Declared the Motion carried.

## 9. POLICE

## 10. PUBLIC WORKS

## 11. COMMUNITY DEVELOPMENT

## 12. BUILDING AND ZONING

## 13. SPECIAL EVENTS

13.1 Holiday Tree Lighting – December 6<sup>th</sup> 6:00 PM

Trustee Wicinski mentioned she had sent an email to everyone regarding the things that were needed still for the event. She will work with Mark Kilarski from Public Works in Public Works Director Ron Kroop's absence on the items still needed from their department. Trustee Clements will contact Mr. Obenauf to see if we could use a tent with sides for the event. Trustee Wicinski asked if anyone could help with set up if they could arrive at 5:00pm. She went on to say that the Choir will be bringing cookies and she will reach out again to the Area Queens for their attendance. Signs will be placed within the Village advertising the event, it has been in the last water bill and she asked the Mayor to do the CTY promoting the event. PWD Kroop will be asked to take photos and if he is not able to Ted Lohman from the Round Lake Police Department will be asked to assist.

13.2 House Decorating Contest

Sign-up sheets are at the Village and will be accepted through December 6<sup>th</sup>. Maps will be provided to the Trustees of the participants to then vote on during the week of December 9<sup>th</sup> – 13<sup>th</sup>.

## 14. MAYOR'S COMMENTS

14.1 Mayor's Comments

Mayor MacGillis asked for a moment of silence for our thoughts and prayers to go out to those affected by the recent Tornado's in Central Illinois yesterday. The Mayor commented that he looks at these events much differently now, being in the position that he's in, it was ironic that this past meeting he re-assigned the

Disaster Plan to Chief Gillette. In a discussion, the Chief stated one thing that is important to have is tires, we have to make sure we have tires for our public works department for squads, for ambulances for fire trucks. The Mayor stated, if you look at the amount of devastation that happened, with the amount of debris strewn around, he's correct. The Mayor went on to say he heard the Fire Chief from the town of Washington mention they were overwhelmed with all the help coming in, but the key is to stay organized.

The Mayor and VA Russ Kraly had a meeting with a company called the Buxton Group. The company does analysis on the demographics and what we're missing retail wise. The Mayor stated this is something we need professional assistance with and not use committees.

The Mayor will be working with Terre Ezek from Planning and Zoning and her commissioners to rebuild and update the comprehensive plan, which was last written in 2005. Also work on short and long term goal and interview different planners.

The Mayor stated that CMAP (Chicago Metropolitan Agency for Planning) will be out in our area February or March and will provide some of the same information, but free of charge.

It was asked of the Mayor if there was any update with the Cedar Lake Road realignment – He stated there were no updates. However he feels we need to sit down with a planner, get other ideas other than our own and show Lake County that we're taking ownership in the idea. He went on to say that currently the plan serpentine through valuable property and for economic devolvement and the hopes of retail business there in the future – it is not a good plan.

#### 14.2 Trustee's Comments

All the Trustees sent their thoughts and prayers to those affected by yesterday's storms.

Trustee Simoncelli asked for help in getting the word out in hopes of obtaining information regarding the hit and run of her cousin this past weekend.

Thoughts and Prayers were given to Trustee Simoncelli and her family.

The Trustees thanked those involved in working with the Montessori school and bringing it to the Village.

It was mentioned that the December SWALCO meeting has been canceled.

A reminder was sent to all regarding the Round Lake Chambers Spaghetti with Santa on December 5th 4-8 pm at the Round Lake Beach Civic Center and it's good to see the Mayor and Administration working together with the Chamber again. Wishes went out to everyone for a Happy Thanksgiving

#### 15. EXECUTIVE SESSION

None

#### 16. ADJOURN

Trustee Triphahn moved, seconded by Trustee Clements, to adjourn. Upon a unanimous voice vote, the Mayor declared the motion carried and the meeting adjourned at 7:41 P.M.

APPROVED:

---

Patricia C. Blauvelt  
Village Clerk

---

Daniel MacGillis  
Village President

**VILLAGE OF ROUND LAKE**

**THE PRESIDENT AND BOARD OF TRUSTEES OF**

**THE VILLAGE OF ROUND LAKE**

**APPROVES THE ACCOUNTS PAYABLE**

**IN THE AMOUNT OF:**

<b>GRAINGER PAYABLE</b>	<b>\$57.14**</b>
<b>ACCOUNTS PAYABLE</b>	<b><u>\$141,822.14</u></b>
<b>ACCOUNTS PAYABLE REPORT</b>	<b><u>\$141,879.28</u></b>

**ATTEST:**

**Patricia C. Blauvelt, Village Clerk**

**Daniel A. MacGillis, Village President**

**Dated: December 2, 2013**

**\*\* Page 5 - \$7.62, Page 12 - \$49.52**

GENERAL FUND  
 ACTIVITY FROM 11/14/2013 TO 11/26/2013

ACCOUNT #	ACCOUNT DESCRIPTION VENDOR NAME	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
01-00-22-22234	PRINCIPAL LIFE INS.-VOLUNTARY					
	PLIC-SBD GRAND ISLAND	P121	DECEMBER PREMIUM	175641	11/26/13	117.98
	PLIC-SBD GRAND ISLAND	P121	DECEMBER PREMIUM	175641	11/26/13	9.77
	PLIC-SBD GRAND ISLAND	P121	DECEMBER PREMIUM	175641	11/26/13	79.86
	PLIC-SBD GRAND ISLAND	P121	DECEMBER PREMIUM	175641	11/26/13	13.46
			ACCOUNT TOTAL:			221.07
01-20-71-67107	DENTAL INSURANCE					
	PLIC-SBD GRAND ISLAND	P121	DECEMBER PREMIUM	175641	11/26/13	206.82
			ACCOUNT TOTAL:			206.82
01-20-71-67109	LIFE INSURANCE					
	PLIC-SBD GRAND ISLAND	P121	DECEMBER PREMIUM	175641	11/26/13	12.17
			ACCOUNT TOTAL:			12.17
01-20-71-67110	HEALTH INSURANCE					
	UMB	U22	HSA CONTRIBUTIONS DECEMBER	175657	11/26/13	218.75
			ACCOUNT TOTAL:			218.75
01-20-72-67204	DUES & MEMBERSHIPS					
	ILLINOIS MUNICIPAL LEAGUE	I8	ANNUAL MEMBERSHIP 2014	175622	11/26/13	1,329.00
	METROPOLITAN MAYORS CAUCUS	M135	ANNUAL DUES 2014	175630	11/26/13	640.12
			ACCOUNT TOTAL:			1,969.12
01-20-72-67208	MEETINGS, TRAVEL, & TRAINING					
	RUSSELL KRALY	K73	NOVEMBER TRAVEL REIMBURSEMENT	175626	11/26/13	121.35
			ACCOUNT TOTAL:			121.35
01-20-73-77301	AUDITING EXPENSE					
	SIKICH LLP	S113	2013 PROGRESS BILLING	175649	11/26/13	8,762.00
			ACCOUNT TOTAL:			8,762.00
01-20-73-77313	LEGAL SERVICES					
	TRESSLER LLP	T110	OCTOBER LEGAL	175655	11/26/13	3,755.59
	TRESSLER LLP	T110	OCTOBER LEGAL	175655	11/26/13	9,565.00

GENERAL FUND  
 ACTIVITY FROM 11/14/2013 TO 11/26/2013

ACCOUNT #	ACCOUNT DESCRIPTION	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
01-20-73-77313	LEGAL SERVICES					
	TRESSLER LLP	T110	OCTOBER LEGAL-WETLAND	175655	11/26/13	248.00
	TRESSLER LLP	T110	BANK AGREEMENT	175655	11/26/13	
			ACCOUNT TOTAL:			13,568.59
01-20-73-77320	CONSULTING SERVICES					
	RUSSELL KRALY	K73	11/16-11/30/13 CONSULTING SERV	175626	11/26/13	2,762.50
			ACCOUNT TOTAL:			2,762.50
01-20-74-77430	OFFICE SUPPLIES					
	CLASSIC PRINTERY	C13	DATE STAMP	175596	11/26/13	72.00
	ICE MOUNTAIN SPRING WATER	I49	BOTTLED WATER	175621	11/26/13	38.82
	QUILL CORPORATION	Q2	BATTERIES, PLATES, SOAP	175647	11/26/13	46.71
	QUILL CORPORATION	Q2	GARBAGE BAGS	175647	11/26/13	15.29
	QUILL CORPORATION	Q2	CALCULATOR RIBBON	175647	11/26/13	9.87
			ACCOUNT TOTAL:			182.69
01-20-79-77903	B&G CONTRACTS					
	CRYSTAL MANAGEMENT &	C128	DECEMBER CUSTODIAL	175595	11/26/13	535.00
			ACCOUNT TOTAL:			535.00
01-20-80-88018	OFFICE EQUIPMENT					
	TECHSTAR AMERICA CORPORATION	T12	QRTLTY COPIER MAINTENANCE	175656	11/26/13	365.00
			ACCOUNT TOTAL:			365.00
01-20-82-88202	TELEPHONE SERVICE					
	CALL ONE	C139	11/15-12/14/13 PHONE CHGS.	175597	11/26/13	789.64
	COMCAST CABLE	C156	11/21-12/20/13 INTERNET	175598	11/26/13	89.85
			ACCOUNT TOTAL:			879.49
01-20-91-99105	NETWORK REPAIRS					
	CURRENT TECHNOLOGIES	C280	REPLACE CABLE	175599	11/26/13	48.00
	CURRENT TECHNOLOGIES	C280	IT REPAIRS	175599	11/26/13	114.50
			ACCOUNT TOTAL:			162.50
01-20-91-99107	IT MAINTENANCE SERVICES					

ACCOUNT #	ACCOUNT DESCRIPTION VENDOR NAME	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
01-20-91-99107	IT MAINTENANCE SERVICES CURRENT TECHNOLOGIES	C280	11/15/13 IT MAINTENANCE	175599	11/26/13	916.00
			ACCOUNT TOTAL:			916.00
01-40-71-67107	DENTAL INSURANCE PLIC-SBD GRAND ISLAND	P121	DECEMBER PREMIUM	175641	11/26/13	1,102.20
			ACCOUNT TOTAL:			1,102.20
01-40-71-67109	LIFE INSURANCE PLIC-SBD GRAND ISLAND	P121	DECEMBER PREMIUM	175641	11/26/13	122.31
			ACCOUNT TOTAL:			122.31
01-40-71-67110	HEALTH INSURANCE UMB	U22	HSA CONTRIBUTIONS DECEMBER	175657	11/26/13	1,749.96
			ACCOUNT TOTAL:			1,749.96
01-40-72-67202	UNIFORMS GALLS, AN ARAMARK COMPANY RAY O'HERRON CO., INC. RAY O'HERRON CO., INC.	G2 O21 O21	UNIFORM-PAGE, HERNANDEZ UNIFORM-HERNANDEZ UNIFORM-PAGE	175612 175638 175638	11/26/13 11/26/13 11/26/13	274.59 565.77 454.81
			ACCOUNT TOTAL:			1,295.17
01-40-72-67208	MEETINGS, TRAVEL, & TRAINING MICHAEL GILLETTE SAFARILAND, LLC	G93 S152	LCCPA TRAINING MEETING TRAINING-FURLAN, STEVENS	175614 175651	11/26/13 11/26/13	20.00 550.00
			ACCOUNT TOTAL:			570.00
01-40-73-77311	VILLAGE PROSECUTOR SMITH & LaLUZERNE, LTD.	S43	OCTOBER LEGAL	175654	11/26/13	933.33
			ACCOUNT TOTAL:			933.33
01-40-74-77430	OFFICE SUPPLIES QUILL CORPORATION QUILL CORPORATION QUILL CORPORATION QUILL CORPORATION	Q2 Q2 Q2 Q2	LABELS, PENS, PAPER TONER AND IMAGE DRUM LASER JET CARTRIDGES ENVELOPES, DAY MINDER	175647 175647 175647 175647	11/26/13 11/26/13 11/26/13 11/26/13	125.01 184.98 355.97 75.85
			ACCOUNT TOTAL:			741.81
01-40-74-77432	POSTAGE					

GENERAL FUND  
 ACTIVITY FROM 11/14/2013 TO 11/26/2013

ACCOUNT #	ACCOUNT DESCRIPTION VENDOR NAME	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
01-40-74-77432	POSTAGE PURCHASE POWER	P30	OCTOBER POSTAGE	175644	11/26/13	207.99
			ACCOUNT TOTAL:			207.99
01-40-75-77503	ANIMAL CONTROL LAKE COUNTY HEALTH DEPT.	L7	OCTOBER BOARD AND SHELTER	175627	11/26/13	90.00
			ACCOUNT TOTAL:			90.00
01-40-75-77505	CENCOM CENCOM E 9-1-1	C3	DECEMBER RENT/OPERATIONS	175600	11/26/13	20,032.66
			ACCOUNT TOTAL:			20,032.66
01-40-79-77903	B&G CONTRACTS CRYSTAL MANAGEMENT &	C128	DECEMBER CUSTODIAL	175595	11/26/13	490.00
			ACCOUNT TOTAL:			490.00
01-40-79-77907	B&G SUPPLIES ACE HARDWARE	A4	KEY	175594	11/26/13	2.24
			ACCOUNT TOTAL:			2.24
01-40-80-88018	OFFICE EQUIPMENT KONICA MINOLTA	K33	09/25-10/28/13 COPIER EXPENSE	175625	11/26/13	99.87
			ACCOUNT TOTAL:			99.87
01-40-82-88202	TELEPHONE SERVICE CALL ONE	C139	11/15-12/14/13 PHONE CHGS.	175597	11/26/13	303.56
			ACCOUNT TOTAL:			303.56
01-60-71-67107	DENTAL INSURANCE PLIC-SBD GRAND ISLAND	P121	DECEMBER PREMIUM	175641	11/26/13	207.54
			ACCOUNT TOTAL:			207.54
01-60-71-67109	LIFE INSURANCE PLIC-SBD GRAND ISLAND	P121	DECEMBER PREMIUM	175641	11/26/13	19.59
			ACCOUNT TOTAL:			19.59
01-60-71-67110	HEALTH INSURANCE					

GENERAL FUND  
 ACTIVITY FROM 11/14/2013 TO 11/26/2013

ACCOUNT #	ACCOUNT DESCRIPTION VENDOR NAME	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
01-60-71-67110	HEALTH INSURANCE UMB	U22	HSA CONTRIBUTIONS DECEMBER	175657	11/26/13	364.58
			ACCOUNT TOTAL:			364.58
01-60-74-77458	VILLAGE SIGNS/BANNERS/FLAGS EAGLE FLAG & BANNER CO. EAGLE FLAG & BANNER CO.	E26 E26	US FLAGS STATE FLAGS (4)	175610 175610	11/26/13 11/26/13	168.00 268.00
			ACCOUNT TOTAL:			436.00
01-60-75-77539	STREET SWEEPING PROGRAM ONE PROFESSIONAL	P138	2013 STREET SWEEPING CONTRACT	175642	11/26/13	2,115.00
			ACCOUNT TOTAL:			2,115.00
01-60-75-77543	TRAFFIC SIGNAL MAINT. CONTRACT STATE TREASURER	S16	06-08/13 TRAFFIC SIGNAL MAINT.	175652	11/26/13	609.90
			ACCOUNT TOTAL:			609.90
01-60-79-77901	B&G MAINTENANCE LURVEY LANDSCAPE SUPPLY JOE MEYER TREE SERVICE	L86 M27	TOP SOIL TREE TRIMMING 515 PARK AVE.	175628 175632	11/26/13 11/26/13	42.00 432.00
			ACCOUNT TOTAL:			474.00
01-60-79-77903	B&G CONTRACTS CRYSTAL MANAGEMENT &	C128	DECEMBER CUSTODIAL	175595	11/26/13	135.00
			ACCOUNT TOTAL:			135.00
01-60-79-77907	B & G BUILDING SUPPLIES GRAINGER, INC.	G9	MOTOR START CAPACITATOR	175613	11/26/13	7.62
			ACCOUNT TOTAL:			7.62
01-60-80-88018	OFFICE EQUIPMENT KONICA MINOLTA KONICA MINOLTA	K33 K33	07/31-10/30/13 COPIER EXPENSE 09/30-10/30/13 COPIER EXPENSE	175625 175625	11/26/13 11/26/13	111.48 205.22
			ACCOUNT TOTAL:			316.70
01-60-82-88202	TELEPHONE SERVICE					

DATE: 11/26/13  
 TIME: 13:23:22  
 ID: AP440000.WOW

VILLAGE OF ROUND LAKE  
 PAID INVOICES BY ACCOUNT NUMBER

GENERAL FUND  
 ACTIVITY FROM 11/14/2013 TO 11/26/2013

ACCOUNT #	ACCOUNT DESCRIPTION VENDOR NAME	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
01-60-82-88202	TELEPHONE SERVICE CALL ONE	C139	11/15-12/14/13 PHONE CHGS.	175597	11/26/13	178.25
			ACCOUNT TOTAL:			178.25
01-60-82-88216	STREET LIGHTS - ELECTRICAL COMED	C3149	10/16-11/14/13 ELECTRIC	175602	11/26/13	4,576.04
	COMED	C3158	10/16-11/14/13 ELECTRIC	175603	11/26/13	18,088.00
	COMED	C6046	10/16-11/14/13 ELECTRIC	175605	11/26/13	1,522.82
			ACCOUNT TOTAL:			24,186.86
01-60-84-88404	VEHICLE REPAIRS A TIRE COUNTY SERVICE	A1	THERMOSTAT, FAN SHROUD #46	175593	11/26/13	640.70
	CHICAGO INTERNATIONAL TRUCKS	C97	TURBO REPAIR #44	175609	11/26/13	821.41
	MIDWEST HOSE AND FITTINGS, INC	M101	REPAIR PARTS #50	175629	11/26/13	185.92
			ACCOUNT TOTAL:			1,648.03
01-60-84-88405	EQUIPMENT REPAIRS JAY-R'S STEEL & WELDING, INC	J20	PLOW BLADES	175623	11/26/13	1,340.15
	WEST SIDE EXCHANGE	W5	REPLACE BACKHOE HOSE	175658	11/26/13	175.80
			ACCOUNT TOTAL:			1,515.95
01-60-92-99214	STORM SEWER MAINTENANCE MID AMERICAN WATER OF WAUCONDA	M25	MASTIK FOR MANHOLES & STRM SWR	175631	11/26/13	60.00
			ACCOUNT TOTAL:			60.00
01-70-71-67107	DENTAL INSURANCE PLIC-SBD GRAND ISLAND	P121	DECEMBER PREMIUM	175641	11/26/13	119.13
			ACCOUNT TOTAL:			119.13
01-70-71-67109	LIFE INSURANCE PLIC-SBD GRAND ISLAND	P121	DECEMBER PREMIUM	175641	11/26/13	13.59
			ACCOUNT TOTAL:			13.59
01-70-72-67204	DUES & MEMBERSHIPS N.W.B.O.C.A.	N33	ANN DUES-BOB,BILL,RUSS	175634	11/26/13	65.00
			ACCOUNT TOTAL:			65.00
01-70-72-67208	MEETINGS, TRAVEL, & TRAINING					

GENERAL FUND  
 ACTIVITY FROM 11/14/2013 TO 11/26/2013

ACCOUNT #	ACCOUNT DESCRIPTION VENDOR NAME	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
01-70-72-67208	MEETINGS, TRAVEL, & TRAINING N.W.B.O.C.A. MARSHA SWENSEN	N33 S199	ANNUAL BOCCA MEETING A.B.C.I. MEETING	175634 175653	11/26/13 11/26/13	80.00 18.00
			ACCOUNT TOTAL:			98.00
01-70-82-88202	TELEPHONE SERVICE CALL ONE	C139	11/15-12/14/13 PHONE CHGS.	175597	11/26/13	131.98
			ACCOUNT TOTAL:			131.98
01-70-91-99105	NETWORK REPAIRS CURRENT TECHNOLOGIES	C280	IT REPAIRS	175599	11/26/13	171.75
			ACCOUNT TOTAL:			171.75
			GENERAL FUND			91,498.62

MOTOR FUEL TAX FUND  
 ACTIVITY FROM 11/14/2013 TO 11/26/2013

ACCOUNT #	ACCOUNT DESCRIPTION VENDOR NAME	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
10-60-74-77436	PATCHING PETER BAKER & SON CO.	P102	HOT MIX, CONCRETE, PATCHING MAT	175639	11/26/13	170.00
			ACCOUNT TOTAL:			170.00
10-60-88-88802	SIDEWALKS FISCHER BROS. FRESH CONCRETE	F6	SIDEWALK REPAIR	175611	11/26/13	309.00
			ACCOUNT TOTAL:			309.00
			MOTOR FUEL TAX FUND			479.00

CAPITAL PROJECTS FUND  
 ACTIVITY FROM 11/14/2013 TO 11/26/2013

ACCOUNT #	ACCOUNT DESCRIPTION VENDOR NAME	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
35-05-66-56601	MISCELLANEOUS RECEIPTS STACY KINGERY	K196	PARKWAY TREE PROGRAM REFUND	175624	11/26/13	20.00
			ACCOUNT TOTAL:			20.00
35-20-88-88801	OTHER ENHANCEMENTS IVANHOE NURSERY IVANHOE NURSERY IVANHOE NURSERY IVANHOE NURSERY	I197 I197 I197 I197	TREE REPLACEMENT PROGRAM TREE REPLACEMENT PROGRAM TREE REPLACEMENT PROGRAM TREE REPLACEMENT PROGRAM	175619 175619 175619 175619	11/26/13 11/26/13 11/26/13 11/26/13	5,695.00 2,234.00 2,661.00 5,571.00
			ACCOUNT TOTAL:			16,161.00
			CAPITAL PROJECTS FUND			16,181.00

WATER/SEWER FUND  
 ACTIVITY FROM 11/14/2013 TO 11/26/2013

ACCOUNT #	ACCOUNT DESCRIPTION VENDOR NAME	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
50-00-24-22498	W/S CREDIT BALANCES NIKOLE & JEFF PAOLI	P140	OVERPAYMENT ON FINAL BILL	175643	11/26/13	47.95
			ACCOUNT TOTAL:			47.95
50-00-24-22499	HYDRANT METER DEPOSITS NORTHERN PIPE LINE	N79	HYDRANT METER DEPOSIT REFUND	175637	11/26/13	1,347.42
			ACCOUNT TOTAL:			1,347.42
50-60-71-67107	DENTAL INSURANCE PLIC-SBD GRAND ISLAND	P121	DECEMBER PREMIUM	175641	11/26/13	278.47
			ACCOUNT TOTAL:			278.47
50-60-71-67109	LIFE INSURANCE PLIC-SBD GRAND ISLAND	P121	DECEMBER PREMIUM	175641	11/26/13	26.22
			ACCOUNT TOTAL:			26.22
50-60-71-67110	HEALTH INSURANCE UMB	U22	HSA CONTRIBUTIONS DECEMBER	175657	11/26/13	437.48
			ACCOUNT TOTAL:			437.48
50-60-73-77301	AUDITING EXPENSE SIKICH LLP	S113	2013 PROGRESS BILLING	175649	11/26/13	2,921.00
			ACCOUNT TOTAL:			2,921.00
50-60-73-77313	LEGAL SERVICES TRESSLER LLP	T110	OCTOBER LEGAL	175655	11/26/13	1,255.60
			ACCOUNT TOTAL:			1,255.60
50-60-73-77320	CONSULTING SERVICES RUSSELL KRALY	K73	11/16-11/30/13 CONSULTING SERV	175626	11/26/13	487.50
			ACCOUNT TOTAL:			487.50
50-60-75-77535	OUTSOURCING WATER BILLS CLASSIC PRINTERY	C13	WATER BILLING STOCK	175596	11/26/13	378.00
			ACCOUNT TOTAL:			378.00
50-60-75-77547	WATER SAMPLES					

WATER/SEWER FUND  
 ACTIVITY FROM 11/14/2013 TO 11/26/2013

ACCOUNT #	ACCOUNT DESCRIPTION VENDOR NAME	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
50-60-75-77547	WATER SAMPLES HACH COMPANY	H1	CHLORINE	175616	11/26/13	34.40
	MCHENRY ANALYTICAL WATER	M97	ROUTINE WATER SAMPLES	175633	11/26/13	325.00
			ACCOUNT TOTAL:			359.40
50-60-79-77903	B&G CONTRACTS CRYSTAL MANAGEMENT & PATEN INDUSTRIES, INC.	C128	DECEMBER CUSTODIAL	175595	11/26/13	135.00
	PATEN INDUSTRIES, INC.	P50	LIFT STATION INSPECTION/MAINT	175646	11/26/13	292.00
	PATEN INDUSTRIES, INC.	P50	LIFT STATION INSPECTION/MAINT	175646	11/26/13	292.00
	PATEN INDUSTRIES, INC.	P50	LIFT STATION INSPECTION/MAINT	175646	11/26/13	292.00
	PATEN INDUSTRIES, INC.	P50	LIFT STATION INSPECTION/MAINT	175646	11/26/13	292.00
	PATEN INDUSTRIES, INC.	P50	LIFT STATION INSPECTION/MAINT	175646	11/26/13	292.00
	PATEN INDUSTRIES, INC.	P50	LIFT STATION INSPECTION/MAINT	175646	11/26/13	292.00
	PATEN INDUSTRIES, INC.	P50	LIFT STATION INSPECTION/MAINT	175646	11/26/13	292.00
	PATEN INDUSTRIES, INC.	P50	LIFT STATION INSPECTION/MAINT	175646	11/26/13	292.00
			ACCOUNT TOTAL:			2,763.00
50-60-79-77907	B&G SUPPLIES INDUSTRIAL TOOLBOX, INC.	I22	BLUE AND GREEN PAINT	175620	11/26/13	898.80
			ACCOUNT TOTAL:			898.80
50-60-80-88018	OFFICE EQUIPMENT KONICA MINOLTA KONICA MINOLTA	K33 K33	07/31-10/30/13 COPIER EXPENSE 09/30-10/30/13 COPIER EXPENSE	175625 175625	11/26/13 11/26/13	111.47 205.21
			ACCOUNT TOTAL:			316.68
50-60-81-88101	WATER/SEWER IMPROVEMENTS SHOGREN FENCE INC. SHOGREN FENCE INC. SHOGREN FENCE INC.	S151 S151 S151	WTR TOWER FENCING PROJECT WTR TOWER FENCING PROJECT REVERSE BARBED WIRE-TOWER SITE	175650 175650 175650	11/26/13 11/26/13 11/26/13	7,240.00 7,800.00 600.00
			ACCOUNT TOTAL:			15,640.00
50-60-82-88202	TELEPHONE SERVICE CALL ONE	C139	11/15-12/14/13 PHONE CHGS.	175597	11/26/13	178.25
			ACCOUNT TOTAL:			178.25
50-60-82-88206	ELECTRICAL SERVICE					

WATER/SEWER FUND  
 ACTIVITY FROM 11/14/2013 TO 11/26/2013

ACCOUNT #	ACCOUNT DESCRIPTION VENDOR NAME	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
50-60-82-88206	ELECTRICAL SERVICE COMED	C3142	10/16-11/14/13 ELECTRIC	175601	11/26/13	112.61
			ACCOUNT TOTAL:			112.61
50-60-82-88208	HEATING NICOR GAS	N7	10/11-11/11/13 HEAT	175636	11/26/13	24.76
	NICOR GAS	N7	10/14-11/12/13 HEAT	175636	11/26/13	76.63
	NICOR GAS	N7	10/12-11/11/13 HEAT	175636	11/26/13	91.53
	NICOR GAS	N7	10/10-11/08/13 HEAT	175636	11/26/13	25.62
			ACCOUNT TOTAL:			218.54
50-60-84-88404	VEHICLE REPAIRS A TIRE COUNTY SERVICE CHICAGO INTERNATIONAL TRUCKS	A1 C97	BRAKE LINE,CALIPER,UNIONS #46 TURBO REPAIR #44	175593 175609	11/26/13 11/26/13	640.70 821.41
			ACCOUNT TOTAL:			1,462.11
50-60-84-88405	EQUIPMENT REPAIRS RUSSO POWER EQUIPMENT WEST SIDE EXCHANGE	R102 W5	THRASH PUMP REPAIR REPLACE BACKHOE HOSE	175648 175658	11/26/13 11/26/13	274.48 175.80
			ACCOUNT TOTAL:			450.28
50-60-92-99202	REPAIRS TO SEWERS GETUM INC. MID AMERICAN WATER OF WAUCONDA	G97 M25	MANHOLE PROTECTOR RINGS MASTIK FOR MANHOLES & STRM SWR	175615 175631	11/26/13 11/26/13	420.00 60.00
			ACCOUNT TOTAL:			480.00
50-60-92-99204	REPAIR TO WATER LINES MID AMERICAN WATER OF WAUCONDA MID AMERICAN WATER OF WAUCONDA MID AMERICAN WATER OF WAUCONDA	M25 M25 M25	WASHINGTON ST WTR MAIN REPAIR WATER MAIN REPAIR SUPPLIES WATER MAIN SUPPLIES	175631 175631 175631	11/26/13 11/26/13 11/26/13	360.00 326.31 617.00
			ACCOUNT TOTAL:			1,303.31
50-60-92-99208	REPAIRS TO LIFT STATIONS GRAINGER, INC. NORTHWEST ELECTRICAL SUPPLY	G9 N39	LIFT STATION REPAIR PARTS LIFT STATION REPAIR PARTS	175613 175635	11/26/13 11/26/13	49.52 20.97
			ACCOUNT TOTAL:			70.49

DATE: 11/26/13  
TIME: 13:23:23  
ID: AP4A0000.WOW

VILLAGE OF ROUND LAKE  
PAID INVOICES BY ACCOUNT NUMBER

WATER/SEWER FUND  
ACTIVITY FROM 11/14/2013 TO 11/26/2013

ACCOUNT #	ACCOUNT DESCRIPTION	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
	VENDOR NAME					
			WATER/SEWER FUND			31,433.11

=====

DATE: 11/26/13  
TIME: 13:23:23  
ID: AP4A0000.WOW

VILLAGE OF ROUND LAKE  
PAID INVOICES BY ACCOUNT NUMBER

COMMUTER PARKING LOT FUND  
ACTIVITY FROM 11/14/2013 TO 11/26/2013

ACCOUNT #	ACCOUNT DESCRIPTION VENDOR NAME	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
51-60-82-88206	ELECTRICAL SERVICE COMED	C6082	10/16-11/14/13 ELECTRIC	175606	11/26/13	16.21
	COMED	C7018	10/16-11/14/13 ELECTRIC	175607	11/26/13	59.18
	COMED	C7018	10/16-11/14/13 ELECTRIC	175607	11/26/13	59.18
	COMED	C8009	10/16-11/14/13 ELECTRIC	175608	11/26/13	384.02
			ACCOUNT TOTAL:			518.59
			COMMUTER PARKING LOT FUND			518.59

VEHICLE REPLACEMENT FUND  
ACTIVITY FROM 11/14/2013 TO 11/26/2013

ACCOUNT #	ACCOUNT DESCRIPTION VENDOR NAME	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
60-40-80-88024	VEHICLE EQUIPMENT CDW GOVERNMENT, INC. HAVEY COMMUNICATIONS, INC.	C34 H42	NEW SQUADS COMPUTER LIGHTS RADIO FACE PLATES-NEW SQUADS	175604 175618	11/26/13 11/26/13	73.06 128.90
			ACCOUNT TOTAL:			201.96
			VEHICLE REPLACEMENT FUND			201.96

DATE: 11/26/13  
TIME: 13:23:23  
ID: AP440000.WOW

VILLAGE OF ROUND LAKE  
PAID INVOICES BY ACCOUNT NUMBER

POLICE PENSION FUND  
ACTIVITY FROM 11/14/2013 TO 11/26/2013

ACCOUNT #	ACCOUNT DESCRIPTION VENDOR NAME	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
70-20-73-77301	AUDITING EXPENSE SIKICH LLP	S113	2013 PROGRESS BILLING	175649	11/26/13	1,317.00
			ACCOUNT TOTAL:			1,317.00
			POLICE PENSION FUND			1,317.00

BUILDERS ESCROW  
ACTIVITY FROM 11/14/2013 TO 11/26/2013

ACCOUNT #	ACCOUNT DESCRIPTION VENDOR NAME	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
83-00-24-22455	PERMIT BONDS HAMILTON REALTOR GROUP	H117	CASH BOND REFUND	175617	11/26/13	250.00
			ACCOUNT TOTAL:			250.00
			BUILDERS ESCROW			250.00

FINAL TOTALS  
ACTIVITY FROM 11/14/2013 TO 11/26/2013

GENERAL FUND	91,498.62
MOTOR FUEL TAX FUND	479.00
CAPITAL PROJECTS FUND	16,181.00
WATER/SEWER FUND	31,433.11
COMMUTER PARKING LOT FUND	518.59
VEHICLE REPLACEMENT FUND	201.96
POLICE PENSION FUND	1,317.00
BUILDERS ESCROW	250.00
GRAND TOTAL	141,879.28

VILLAGE OF ROUND LAKE  
  
THE PRESIDENT AND BOARD OF TRUSTEES OF  
THE VILLAGE OF ROUND LAKE  
APPROVES THE PAYMENT OF PAYROLL  
FOR THE PERIOD ENDING NOVEMBER 17, 2013  
IN THE AMOUNT OF \$116,507.55

Daniel A. MacGillis, Village President

ATTEST:

Patricia C. Blauvelt, Village Clerk

Dated: December 2, 2013

FOR CHECK DATES 11/21/2013 TO 11/21/2013

**Board**

EMPL. #	NAME	CODE	PAY RATE	EARNINGS		TOTAL	TAXES		DEDUCTIONS		EMPLOYER
				HOURS	TOTAL		EMPLOYEE	EMPLOYER	EMPLOYEE	EMPLOYEE	
MP				8.000	800.00	FED	97.50	DD1	3,006.00		
REG				4.000	2,700.00	FICA	217.00				
						MEDIC	50.75				
						STATE	128.75				
				TOTAL FICA EMPLOYEE WAGES:		3,500.00	TOTAL EMPLOYER FICA:		217.00		
				TOTAL MEDICARE EMPLOYEE WAGES:		3,500.00	TOTAL EMPLOYER MEDICARE:		50.75		
				TOTAL FEDERAL EMPLOYEE WAGES:		3,500.00					
				TOTAL STATE EMPLOYEE WAGES:		3,500.00					
GROSS PAY:				TOTAL NUMBER OF EMPLOYEES:		7	TOTAL DEDUCTIONS:		3,500.00	NET PAY:	
						\$3,500.00			\$0.00		



FOR CHECK DATES 11/21/2013 TO 11/21/2013

# Administration

EMPL. #	NAME	CODE	EARNINGS		TAXES		DEDUCTIONS		PENSION/INSUR	EMPLOYER	
			PAY RATE	HOURS	EMPLOYEE	EMPLOYER	EMPLOYEE	EMPLOYEE			
REG			379.750	10,778.15	FED	1,194.65	DD1	7,606.82	IMR	509.57	1,352.09
SIC			2.500	76.77	FICA	685.92	GW	250.00	DFA	17.62	
VAC			16.750	438.35	MEDIC	160.42	HSA	75.00	HEA	158.42	
CMP			1.000	30.71	STATE	490.76	ICM	165.00	VFA	2.20	
GRAND TOTALS:											

TOTAL FICA EMPLOYEE WAGES: 11,063.14 TOTAL EMPLOYER FICA: 685.92  
 TOTAL MEDICARE EMPLOYEE WAGES: 11,063.14 TOTAL EMPLOYER MEDICARE: 160.42  
 TOTAL FEDERAL EMPLOYEE WAGES: 10,138.57 TOTAL EMPLOYER PENSION: 1,352.09  
 TOTAL STATE EMPLOYEE WAGES: 10,138.57  
 TOTAL PENSION EMPLOYEE WAGES: 11,323.98

GROSS PAY: TOTAL NUMBER OF EMPLOYEES: 5  
 \$11,323.98 TOTAL DEDUCTIONS: 11,323.98 NET PAY: \$0.00

FOR CHECK DATES 11/21/2013 TO 11/21/2013

**Police**

EMPL. #	NAME	CODE	EARNINGS		TOTAL	TAXES		DEDUCTIONS		FENSION/INSUR	EMPLOYER
			PAY RATE	HOURS		EMPLOYEE	EMPLOYER	EMPLOYEE	EMPLOYER		
REG			1,945.500		63,134.35	FED	7,701.10	AF2	215.24	IMR	316.65
SIC			71.500		2,371.55	FICA	4,326.79	DD1	34,659.86	DSP	15.20
CMP			38.500		1,236.90	MEDIC	1,011.88	DD2	2,991.57	PSP	134.34
PO			35.900		1,739.24	STATE	3,107.36	AF1	42.29	VFP	6.60
VAC			79.000		2,567.48			GW	350.00	POL	6,151.89
FLH			8.000		164.36			MAP	330.00	DFP	123.34
FTO			10.000		340.61			PLI	54.45	HFP	475.26
DAR			2.000		64.82			HSA	100.00	VSP	3.06
INS			1.000		246.46			ICM	735.00	FFP	970.12
								DD3	1,757.01	DCP	27.33
								CS4	203.00	HCP	70.67
										VCP	2.26
										PCP	108.20

GRAND TOTALS:

TOTAL FICA EMPLOYEE WAGES: 69,787.10 TOTAL EMPLOYER FICA: 4,326.79  
 TOTAL MEDICARE EMPLOYEE WAGES: 69,787.10 TOTAL EMPLOYER MEDICARE: 1,011.88  
 TOTAL FEDERAL EMPLOYEE WAGES: 62,233.56 TOTAL EMPLOYER PENSION: 840.18  
 TOTAL STATE EMPLOYEE WAGES: 62,233.56  
 TOTAL PENSION EMPLOYEE WAGES: 69,114.20

GROSS PAY: TOTAL NUMBER OF EMPLOYEES: 28  
 \$71,865.77 TOTAL DEDUCTIONS: 65,990.47 NET PAY: \$5,875.30

# Public works

FOR CHECK DATES 11/21/2013 TO 11/21/2013

EMPL. #	NAME	EARNINGS			TAXES			DEDUCTIONS			EMPLOYER	EMPLOYEE	PENSION/INSUR	EMPLOYEE	EMPLOYER		
		CODE	PAY RATE	HOURS	TOTAL	CODE	EMPLOYEE	EMPLOYER	CODE	EMPLOYEE						EMPLOYEE	CODE
REG			768.500		19,010.01	FED	2,462.93		AF1	43.98	IMR	988.98					
VAC			72.000		2,212.02	FICA	1,386.33	1,386.33	GW	320.00	DSW	22.80					
OC			14.000		321.90	MEDIC	324.21	324.21	HSA	91.25	HSW	87.75					
CMP			15.500		369.56	STATE	1,036.41		INS	8.00	VSW	2.04					
OT			28.500		955.18				DD1	12,774.32	DFW	52.86					
SIC			8.000		220.64				DD2	1,236.02	PFW	485.06					
FLH			16.000		329.84				DOR	404.40	VFW	6.60					
									PLI	10.72	PCW	108.20					
									AF2	26.36	HFH	158.42					
GRAND TOTALS:																	
			TOTAL FICA EMPLOYEE WAGES:			TOTAL EMPLOYER FICA:						TOTAL EMPLOYEE			TOTAL EMPLOYER		
			22,360.19			22,360.19						1,386.33			1,386.33		
			TOTAL MEDICARE EMPLOYEE WAGES:			TOTAL EMPLOYER MEDICARE:						324.21			324.21		
			21,051.21			21,051.21						2,624.15			2,624.15		
			TOTAL STATE EMPLOYEE WAGES:			TOTAL EMPLOYER PENSION:											
			21,051.21			21,051.21											
			TOTAL PENSION EMPLOYEE WAGES:			TOTAL PENSION EMPLOYEE WAGES:											
			21,977.70			21,977.70											

GROSS PAY: \$23,419.15  
 TOTAL DEDUCTIONS: 22,037.64  
 NET PAY: \$1,381.51

FOR CHECK DATES 11/21/2013 TO 11/21/2013

**Building**

EMPL. #	NAME	CODE	EARNINGS		TOTAL	TAXES		DEDUCTIONS		PENSION/INSUR	EMPLOYER
			PAY RATE	HOURS		EMPLOYEE	EMPLOYER	EMPLOYEE	EMPLOYEE		
REG			233.500		5,969.85						
VAC			6.500		173.80						
GRAND TOTALS:						786.28	362.89	4,030.90	276.46		733.56
						84.87	84.87	28.25	17.62		
						274.79		36.86	242.53		
									2.20		

TOTAL FICA EMPLOYEE WAGES: 5,853.05 TOTAL EMPLOYER FICA: 362.89  
 TOTAL MEDICARE EMPLOYEE WAGES: 5,853.05 TOTAL EMPLOYER MEDICARE: 84.87  
 TOTAL FEDERAL EMPLOYEE WAGES: 5,576.59 TOTAL EMPLOYER PENSION: 733.56  
 TOTAL STATE EMPLOYEE WAGES: 5,576.59  
 TOTAL PENSION EMPLOYEE WAGES: 6,143.65

GROSS PAY: TOTAL NUMBER OF EMPLOYEES: 3  
 \$6,143.65 TOTAL DEDUCTIONS: 6,143.65 NET PAY: \$0.00

VILLAGE OF ROUND LAKE  
 PAYROLL REGISTER REPORT

FOR CHECK DATES 11/21/2013 TO 11/21/2013

ALL

EMPL. #	NAME	EARNINGS		TAXES		DEDUCTIONS		PENSION/INSUR	EMPLOYER			
		CODE	PAY RATE	HOURS	TOTAL	CODE	EMPLOYEE			CODE	EMPLOYEE	
REG		3,331.250			101,592.36	FED	12,242.46	DD1	62,184.72	IMR	2,091.66	
SIC		82.000			2,668.96	FICA	6,994.74	GW	920.00	DFA	17.62	
VAC		174.250			5,391.65	MEDIC	1,635.83	HSA	266.25	HEA	158.42	
CMP		55.000			1,637.17	STATE	5,044.82	ICM	900.00	VFA	2.20	
MP		8.000			800.00			AF1	114.52	DSA	7.60	
COM		6.000			255.00			INS	8.00	DSW	22.80	
OC		14.000			321.90			DD2	4,227.59	HSW	87.75	
OT		28.500			955.18			DOR	404.40	VSW	2.04	
FLH		24.000			494.20			PLI	102.03	DFW	52.86	
FO		35.900			1,739.24			AF2	241.60	PFW	485.06	
FTO		10.000			340.61			MAP	330.00	VFW	6.60	
DAR		2.000			64.82			DD3	1,757.01	PCW	108.20	
INS		1.000			246.46			CS4	203.00	HFV	158.42	
GRAND TOTALS:												
												5,549.98

TOTAL FICA EMPLOYEE WAGES: 112,818.48  
 TOTAL MEDICARE EMPLOYEE WAGES: 112,818.48  
 TOTAL FEDERAL EMPLOYEE WAGES: 102,754.93  
 TOTAL STATE EMPLOYEE WAGES: 102,754.93  
 TOTAL PENSION EMPLOYEE WAGES: 108,559.53

TOTAL EMPLOYER FICA: 6,994.74  
 TOTAL EMPLOYER MEDICARE: 1,635.83  
 TOTAL EMPLOYER PENSION: 5,549.98

GROSS PAY: \$116,507.55  
 TOTAL DEDUCTIONS: 109,128.82  
 NET PAY: \$7,378.73

TOTAL NUMBER OF EMPLOYEES: 60

VILLAGE OF ROUND LAKE

OCTOBER 2013



MONTHLY TREASURER'S REPORT

Steven J. Shields  
Finance Director

**TABLE OF CONTENTS**

**General Fund Operating Results**

Summary of General Fund Operations.....	3
Revenues.....	3 - 6
Expenditures.....	6 - 10

**Water & Sewer Fund Operating Results**

Summary of Water & Sewer Fund Operations.....	11
Revenues.....	11 - 12
Expenditures.....	12 - 13

**Operating Results of Other Funds**

Revenues.....	13 - 15
Expenditures.....	15 - 16

<b>Cash &amp; Investment Activity .....</b>	<b>17 - 19</b>
---	----------------

**GENERAL FUND OPERATING RESULTS**

**GENERAL FUND SUMMARY**

The table below shows the results of operations for the current month and the six months ending October 31, 2013. For the month, actual results are a negative \$42,948 from the expected monthly deficit of \$296,517. For the six months ending October 31, 2013 actual results are a positive \$337,741 from the expected year-to-date budget surplus of \$1,045,515.

**General Fund Operating Results**

	Current Month Budget	Current Month Actual	Year-to-Date Budget	Year-to-Date Actual	Annual Budget	Actual as a % of Annual Budget
Revenues	\$294,511	\$329,893	\$4,818,363	\$5,046,331	\$6,749,011	74.77%
Expenditures	\$591,028	\$669,358	\$3,772,848	\$3,663,075	\$7,716,372	47.47%
Excess (Deficiency)	(\$296,517)	(\$339,465)	\$1,045,515	\$1,383,256	(\$967,361)	

As a benchmark, for the sixth month of the fiscal year, revenues and expenses should be close to 50.00% of the annual operating budget. Revenues are higher than the 50.00% benchmark due to the 1<sup>st</sup> and 2<sup>nd</sup> installment of property taxes received in June and September. Property tax receipts account for 58.07% of the actual year-to-date revenues and represent 43.70% of the overall General Fund revenues budgeted.

Overall expenses are slightly below the benchmark, which shows that spending is below projections. Although the percentage can be at any time during the fiscal year slightly skewed due to one time charges that occur throughout the year, a comparison to a monthly benchmark provides a good indication of how revenues and expenses are tracking for the fiscal year.

**GENERAL FUND REVENUES**

The following is a summary of General Fund revenues by category. The detail that is included in each revenue category can be found in the attached revenue and expense report.

**General Fund Revenue by Type**

Category	Current Month's Budget	Current Month's Actual	Percent Variance	Year-to-Date Budget	Year-to-Date Actual	Percent Variance	Annual Budget	Actual as a % of Annual Budget
Taxes	\$45,129	\$30,373	(32.70%)	\$2,866,130	\$2,930,210	2.24%	\$2,949,313	99.35%
Intergovernmental	\$168,543	\$172,453	2.32%	\$1,355,325	\$1,413,294	4.28%	\$2,569,130	55.01%
Licenses & Permits	\$4,555	\$44,670	880.68%	\$30,072	\$109,033	262.57%	\$76,218	143.05%
Charges for Services	\$47,708	\$49,725	4.23%	\$286,324	\$287,120	0.28%	\$575,650	49.88%
Fines & Forfeits	\$15,859	\$14,748	(7.01%)	\$90,860	\$94,564	4.08%	\$190,000	49.77%
Grants	\$3,192	\$8,000	150.63%	\$19,152	\$16,336	(14.70%)	\$38,300	42.65%
Investment Income	\$1,250	\$291	(76.69%)	\$7,500	\$9,498	26.64%	\$15,000	63.32%
Reimbursements	\$4,700	\$3,062	(34.86%)	\$18,800	\$27,411	45.81%	\$47,000	58.32%
Miscellaneous	\$3,575	\$6,572	83.82%	\$144,200	\$158,864	10.17%	\$288,400	55.08%
<b>Total Revenue</b>	<b>\$294,511</b>	<b>\$329,893</b>	<b>12.01%</b>	<b>\$4,818,363</b>	<b>\$5,046,331</b>	<b>4.73%</b>	<b>\$6,749,011</b>	<b>74.77%</b>

For the month actual revenues are \$35,382 higher than the budget projection and \$227,968 higher than the year-to-date budget.

MONTHLY TREASURER'S REPORT  
OCTOBER 2013

**Taxes:**

The one major revenue source in the category is shown in the table below:

<u>Description</u>	<u>Current Month</u>			<u>Year-to-Date</u>			<u>Prior Year</u>	
	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Actual</u>	<u>Variance</u>
Property Taxes	\$45,129	\$30,373	(32.70%)	\$2,866,130	\$2,930,210	2.24%	\$2,992,214	(2.07%)

**Intergovernmental Revenue:**

The table below lists the major intergovernmental revenues:

<u>Description</u>	<u>Current Month</u>			<u>Year-to-Date</u>			<u>Prior Year</u>	
	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Actual</u>	<u>Variance</u>
Road & Bridge Tax	\$915	\$737	(19.38%)	\$51,933	\$55,673	7.20%	\$52,419	6.21%
State Use Tax	\$22,208	\$25,704	15.74%	\$142,066	\$145,265	2.25%	\$134,815	7.75%
Sales Tax	\$38,868	\$39,733	2.23%	\$229,360	\$241,670	5.37%	\$224,988	7.41%
State Income tax	\$103,341	\$100,880	(2.38%)	\$918,500	\$947,548	3.16%	\$1,051,294	(9.87%)

An income tax payment was received in October; however, the State is one month behind owing the village \$98,416 as of October 31st. Of the \$918,500 received to-date, \$97,292 should have been received in the prior fiscal year. In addition to the above, replacement taxes are \$3,248 above the \$8,966 year-to-date budget and video gaming taxes are \$6,425 over the \$4,500 year-to-date budget.

**Licenses and Permits:**

The one major revenue source in the category is shown in the table below:

<u>Description</u>	<u>Current Month</u>			<u>Year-to-Date</u>			<u>Prior Year</u>	
	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Actual</u>	<u>Variance</u>
Building Permits	\$4,320	\$44,535	930.84%	\$27,172	\$103,544	281.07%	\$70,539	46.79%

Budgeted building permits included only miscellaneous type permits; however, building permits were issued for the continued development of the Emerald Bay subdivision. Other minor revenues recorded in this category included business, liquor, vending licenses, garage sale permits, and inspection fee receipts. All accounts are over the year-to-date budget except for garage sale permits (fee was eliminated in fiscal year end 2014) and inspection fees.

**Charges for Services:**

The one major revenue source in the category is shown in the table below:

<u>Description</u>	<u>Current Month</u>			<u>Year-to-Date</u>			<u>Prior Year</u>	
	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Actual</u>	<u>Variance</u>
Garbage Fees	\$45,321	\$46,449	2.49%	\$272,002	\$272,645	0.24%	\$266,579	2.28%

Besides the labor/equipment reimbursement from the MFT Fund and zoning hearing fees, the other remaining accounts in this category are under the year-to-date revenue amount budgeted.

MONTHLY TREASURER'S REPORT  
OCTOBER 2013

**Fines and Forfeits:**

The one major revenue source in the category is shown in the table below:

<u>Description</u>	<u>Current Month</u>			<u>Year-to-Date</u>			<u>Prior Year</u>	
	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Actual</u>	<u>Variance</u>
Circuit Court Fines	\$10,960	\$10,948	(0.11%)	\$61,466	\$72,239	17.53%	\$58,738	22.99%

Besides senate 740 revenues, all other accounts in this category are under the annual budget, including the Village fines account, and all seizure accounts.

**Grant Income:**

To-date, \$16,336 has been received in grant income. In October, the Village received an urban forest EAB \$8,000 grant, in August the Village received \$7,685 for a grant under the Police Training Act for reimbursement of basic training costs for Officer Stephans and Tinsley and in September \$651 was recorded for reimbursement #1 of an IDOT traffic safety grant.

**Investment Income:**

Interest is \$1,998 over the \$7,500 year-to-date budget due to investment returns on the \$877,000 of investments held at PNC bank.

**Reimbursements:**

The village received year-to-date four insurance reimbursements totaling \$7,703. In May a \$500 deductible reimbursement for light pole damage was received, in June \$4,142 was received for damage to two police vehicles, and in October the Village was reimbursed \$3,062 for damage to a street light. In the month of August, the village received \$19,708 for School Resource Officer payments (February, March, April & May).

**Miscellaneous Income:**

The one major revenue source in the category is shown in the table below:

<u>Description</u>	<u>Current Month</u>			<u>Year-to-Date</u>			<u>Prior Year</u>	
	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Actual</u>	<u>Variance</u>
Cable/Video Franchise	\$0	\$0	0.00%	\$112,750	\$116,454	3.29%	\$105,766	10.11%

Other minor revenues recorded in this category included miscellaneous receipts, AT&T franchise fees, recycling rebates, and rent payments, all of which are under the year-to-date budget at the end of October, except for the miscellaneous receipts account. In addition, in the month of August, \$14,505 was received for the auction of a 2007 paint striping machine and in October \$5,208 for a jet rodder machine.

Following is a summary of the major revenues in the General Fund:

**Major Revenue Summary**

Description	Annual Budget	Year-to-Date Budget	Year-to-Date Actual	Dollar Variance	Percent Variance
Real Estate Taxes	\$2,949,313	\$2,866,130	\$2,930,210	\$64,080	2.24%
Road & Bridge Tax	\$53,500	\$51,933	\$55,673	\$3,740	7.20%
State Use Tax	\$296,282	\$142,066	\$145,265	\$3,199	2.25%
Sales Tax	\$448,000	\$229,360	\$241,670	\$12,310	5.37%
State Income tax	\$1,744,770	\$918,500	\$947,548	\$29,048	3.16%
Building Permits	\$41,250	\$27,172	\$103,544	\$76,372	281.07%
Garbage Fees	\$547,000	\$272,002	\$272,645	\$643	0.24%
Circuit Court Fines	\$118,000	\$61,466	\$72,239	\$10,773	17.53%
Cable/Video Franchise	\$225,500	\$112,750	\$116,454	\$3,704	3.29%
<b>Total Major Revenues</b>	<b>\$6,423,615</b>	<b>\$4,681,379</b>	<b>\$4,885,246</b>	<b>\$203,867</b>	<b>4.35%</b>
<b>All Other Revenues</b>	<b>\$325,396</b>	<b>\$136,984</b>	<b>\$161,085</b>	<b>\$24,101</b>	<b>17.59%</b>
<b>Total Revenues</b>	<b>\$6,749,011</b>	<b>\$4,818,363</b>	<b>\$5,046,331</b>	<b>\$227,968</b>	<b>4.73%</b>

The major revenues reported on above account for ninety-six percent of the budgeted General Fund revenues.

**GENERAL FUND EXPENDITURES**

For the month, actual expenditures are \$78,330 over the expected monthly amount of \$591,028. For the six months ending October 31, 2013 actual expenditures are \$109,773 under the year-to-date budget of \$3,772,848. Expenses are at 47.47% of the annual budget, versus the October benchmark of 50.00%. The detail included for each department can be found in the attached revenue and expense report.

**General Fund Expenditures by Department**

Department	Current Month's Budget	Current Month's Actual	Percent Variance	Year-to-Date Budget	Year-to-Date Actual	Percent Variance	Annual Budget	Actual as a % of Annual Budget
Administration	\$137,841	\$186,523	(35.32%)	\$838,614	\$882,351	(5.22%)	\$1,807,724	48.81%
Police Department	\$234,014	\$261,650	(11.81%)	\$1,504,306	\$1,411,866	6.14%	\$2,995,447	47.13%
Public Works	\$83,359	\$80,219	3.77%	\$432,608	\$372,896	13.80%	\$917,829	40.63%
Building Department	\$19,883	\$25,034	(25.91%)	\$126,732	\$125,371	1.07%	\$254,191	49.32%
Transfers Out	\$115,931	\$115,932	(0.00%)	\$870,588	\$870,590	(0.00%)	\$1,741,181	50.00%
<b>Total Expense</b>	<b>\$591,028</b>	<b>\$669,358</b>	<b>(13.25%)</b>	<b>\$3,772,848</b>	<b>\$3,663,075</b>	<b>2.91%</b>	<b>\$7,716,372</b>	<b>47.47%</b>

**Administration**

<b>Administration</b>	<b>Monthly Amount (Over) Under</b>	<b>Percent Variance (Over) Under</b>	<b>Year-to-Date (Over) Under</b>	<b>Percent Variance (Over) Under</b>	<b>Percent Expended of Annual Budget</b>
Payroll Expenses	\$8,458	30.62%	(\$11,722)	(6.61%)	53.31%
Taxes, Pen. & Ins.	(\$2,193)	(28.89%)	(\$1,235)	(2.58%)	51.29%
Personnel Related	\$603	53.08%	\$5,226	67.61%	12.72%
Professional Services	(\$49,761)	(432.82%)	(\$59,221)	(112.84%)	102.39%
Commodities	(\$710)	(94.48%)	(\$955)	(21.20%)	60.62%
Contractual Services	\$574	0.76%	(\$7,827)	(1.69%)	45.41%
Misc. Expense	\$433	32.52%	\$1,256	10.29%	54.09%
Building & Grounds	\$334	22.35%	(\$551)	(6.14%)	53.07%
Capital Outlay	\$95	21.53%	(\$253)	(9.54%)	54.78%
Utilities	(\$987)	(126.02%)	(\$1,386)	(29.49%)	61.50%
Technology	(\$5,528)	(57.07%)	\$32,930	56.66%	19.16%
<b>Total</b>	<b>(\$48,682)</b>	<b>(35.32%)</b>	<b>(\$43,737)</b>	<b>(5.22%)</b>	<b>48.81%</b>

Administration expenses are over our projection by 35.32% for the month and 5.22% year-to-date. The following comments are for any category over the year-to-date budget as of October 31, 2013.

**Payroll**

- Regular salaries are \$23,696 over the \$145,502 year-to-date budget due to the severance payout for the previous Administrator.

**Taxes, Pensions, Insurance**

- IMRF, social security, and Medicare are all over the year-to-date budget due to the severance payout for the previous Administrator.

**Professional Services**

- The management consulting services (Village Administrator) charges are recorded in a new account within the professional services category with \$32,955 spent year-to-date.
- Legal services are \$6,745 over the \$58,750 annual budget due to additional charges for the GROOT transfer station, a tree issue case, and separation of the Village Administrator.
- Engineering services are \$445 over the \$6,156 year-to-date budget due to charges higher than anticipated for Board, staff, and project meetings.

**Commodities**

- Office supplies are \$651 over the \$2,844 year-to-date budget due to the replacement purchases of a toaster and microwave in the amount of \$164, \$179 for tax forms, and other such items.
- Printing is \$212 over the \$794 annual budget due to charges of \$727 for payroll and payable check stock.

**Contractual Services**

- Publications & subscriptions has charges of \$330 for newspaper subscriptions not budgeted.
- The insurance premium account is \$10,367 over the \$0 year-to-date budget due to a "true-up" paid for the 2012 workers compensation plan audit performed by the Village's insurance company.
- SWALCO is \$3,813 over the \$3,882 year-to-date budget as the invoice typically paid in March/April was paid in May.

**Buildings & Grounds**

- B&G maintenance is \$353 over the \$600 year-to-date budget due to charges of \$953 for painting of certain Village Hall areas.
- B&G repairs is \$618 over the \$1,500 year-to-date budget due to re-keying locks at the village hall in the amount of \$303 and charges of \$881 for HVAC repairs in the month of May, along with phone system repairs in June of \$830.

**Capital Outlay**

- Office equipment is \$253 over the \$2,652 year-to-date budget due to higher than anticipated copier charges.

**Utilities**

- Telephone service is \$1,770 over the \$2,545 year-to-date budget due to an increase in the monthly CENTREX charge of the Call One invoice.

**Police Department**

Police Department	Monthly	Percent	Percent		Percent Expended of Annual Budget
	Amount (Over) Under	Variance (Over) Under	Year-to-Date (Over) Under	Variance (Over) Under	
Payroll Expenses	\$6,520	4.24%	\$52,802	5.28%	47.36%
Taxes, Pen. & Ins.	(\$39,195)	(127.61%)	(\$7,425)	(3.89%)	51.94%
Personnel Related	\$37	1.24%	\$4,365	20.35%	43.29%
Professional Services	(\$300)	(5.66%)	\$14,886	46.81%	26.59%
Commodities	\$942	52.97%	\$2,050	19.20%	40.40%
Contractual Services	\$1,278	5.80%	\$3,870	2.66%	50.44%
Misc. Expense	\$1,960	92.50%	(\$840)	(6.61%)	53.32%
Building & Grounds	(\$540)	(41.59%)	\$1,512	19.41%	40.33%
Capital Outlay	\$483	38.83%	\$2,714	36.34%	31.84%
Utilities	(\$370)	(46.44%)	(\$1,233)	(25.79%)	59.76%
Vehicles & Equip.	\$1,858	16.33%	\$18,552	27.19%	36.41%
Technology	(\$309)	(47.72%)	\$1,186	30.52%	34.75%
<b>Total</b>	<b>(\$27,636)</b>	<b>(11.81%)</b>	<b>\$92,440</b>	<b>6.14%</b>	<b>47.13%</b>

Police Department expenses are over our projection by 11.81% for the month, but under year-to-date by 6.14%. The following comments are for any category over the year-to-date budget as of October 31, 2013.

**Taxes, Pensions, and Insurance**

- Health insurance is \$13,829 over the \$93,750 year-to-date budget due to seven payments made through the sixth period of the year.

**Miscellaneous Expense**

- Senate 740 expenses are \$4,708 over the \$7,728 year-to-date budget due to charges of \$11,860 for squad car video cameras purchased in July.

**Utilities**

- Telephone and cellular services are a combined \$1,233 over the year-to-date budgets due to a charge of \$933 that needs to be reclassified to another account and Verizon credits will be done in an upcoming month.

**Public Works**

Public Works	Monthly	Percent	Year-to-Date	Percent	Percent
	Amount	Variance		Variance	
	(Over) Under	(Over) Under	(Over) Under	(Over) Under	Annual Budget
Payroll Expenses	\$595	2.68%	\$9,209	6.41%	45.53%
Taxes, Pen. & Ins.	(\$8,858)	(118.19%)	(\$3,610)	(7.66%)	53.84%
Personnel Related	\$27	6.72%	\$510	21.07%	39.50%
Professional Services	(\$1,592)	(129.88%)	(\$2,806)	(38.14%)	69.08%
Commodities	\$3,586	19.35%	\$8,037	33.63%	13.48%
Contractual Services	\$1,475	44.34%	\$20,889	77.31%	16.14%
Building & Grounds	\$5,379	79.25%	\$9,207	22.61%	43.90%
Capital Outlay	\$8,148	99.20%	\$13,262	26.91%	36.54%
Utilities	(\$6,046)	(76.11%)	(\$1,881)	(3.95%)	51.70%
Vehicles & Equip.	\$20	0.39%	\$10,104	32.02%	33.99%
Technology	(\$335)	(251.53%)	(\$40)	(4.97%)	52.49%
Infrastructure Maint.	\$739	40.19%	(\$3,169)	(28.72%)	64.34%
<b>Total</b>	<b>\$3,139</b>	<b>3.77%</b>	<b>\$59,712</b>	<b>13.80%</b>	<b>40.63%</b>

Public Works expenses are under our monthly projection by 3.77% and 13.80% year-to-date. The following comments are for any category over the year-to-date budget as of October 31, 2013.

**Taxes, Pensions, and Insurance**

- Vision expenses are \$49 over the \$126 year-to-date budget due to the annual budget recorded at \$250 when it should have been \$350.
- Health insurance is \$6,028 over the \$17,502 year-to-date budget due to seven payments made through the sixth period of the year.

**Professional Services**

- Engineering expenses are \$1,812 over the \$4,854 year-to-date budget due to charges for items such as IDOT bridge program, NICOR permit, NPDES annual report, FEMA flood maps, and wetland issues.
- Legal services are \$2,235 over the \$2,502 year-to-date budget, the charges are all related to union negotiations.

**Utilities**

- Telephone service is \$118 over the \$1,092 year-to-date budget due to an increase in the monthly CENTREX charge of the Call One invoice.
- Cellular service is \$62 over the \$1,074 year-to-date budget due to structure of the Verizon billings, with credits forthcoming over the next two months.
- Street lights – electrical is \$1,924 over the \$45,000 year-to-date budget due to the timing of invoice payments (seven payments in six periods).

**Technology**

- Network repairs are \$40 over the \$798 year-to-date budget due to email problems and other such issues with Public Works computers.

**Infrastructure Maintenance**

- Street light repairs are \$530 over the year-to-date budget of \$9,036 due to the purchase of two replacement street lights at a cost of \$6,097.
- Storm sewer maintenance is \$2,639 over the year-to-date budget of \$1,665 due to a charge of \$1,305 to clean a 12" storm sewer at the Police/Public Works facility in June, a \$1,000 annual NPDES fees in July and charges of \$1,526 for storm sewer supplies in the same month.

**Building Department**

Building Department	Monthly		Year-to-Date		Percent Expended of Annual Budget
	Amount (Over) Under	Percent Variance (Over) Under	Amount (Over) Under	Percent Variance (Over) Under	
Payroll Expenses	\$152	1.22%	\$1,626	2.01%	48.99%
Taxes, Pen. & Ins.	(\$5,153)	(112.33%)	(\$1,506)	(5.24%)	52.62%
Personnel Related	\$147	100.00%	\$655	74.32%	12.91%
Professional Services	(\$131)	(7.54%)	(\$197)	(1.88%)	50.96%
Commodities	\$174	100.00%	\$888	85.07%	7.51%
Contractual Services	\$16	100.00%	\$96	100.00%	0.00%
Utilities	(\$140)	(65.78%)	(\$101)	(7.87%)	53.87%
Vehicles & Equip.	\$18	3.65%	(\$184)	(6.13%)	53.10%
Technology	(\$233)	(369.51%)	\$82	21.75%	19.63%
<b>Total</b>	<b>(\$5,151)</b>	<b>(25.91%)</b>	<b>\$1,361</b>	<b>1.07%</b>	<b>49.32%</b>

Building Department expenses are over our projection by 25.91% for the month, but under year-to-date by 1.07%. The following comments are for any category over the year-to-date budget as of October 31, 2013.

**Taxes, Pensions & Insurance**

- Health insurance is \$2,135 over the \$11,748 year-to-date budget due to seven payments made through the sixth period of the year.

**Professional Services**

- Building inspection services are \$2 over the \$342 year-to-date budget due to the \$301 semi-annual elevator inspections payment.
- Engineering expenses are \$1,431 over the \$2,280 year-to-date budget due to charges for items such as FEMA maps and a watershed permit for the Round Lake High School health clinic.
- Plumbing inspector services are \$954 over the \$5,646 year-to-date budget, due to inspections related to the continued development of the Emerald Bay subdivision.

**Utilities**

- Telephone service is \$169 over the \$558 year-to-date budget due to an increase in the monthly CENTREX charge of the Call One invoice.

**Vehicle & Equipment**

- Vehicle repairs are \$372 over the \$576 year-to-date budget due to charges of \$414 for an ignition coil and plugs, vehicle #18 in August and \$279 for an alternator/battery repairs for vehicle #16.

**Other Financing Uses**

Other Financing Uses	Monthly		Year-to-Date		Percent Expended of Annual Budget
	Amount (Over) Under	Percent Variance (Over) Under	Amount (Over) Under	Percent Variance (Over) Under	
Transfers Out / Contributions	\$0	0.00%	\$0	0.00%	50.00%
<b>Total</b>	<b>\$0</b>	<b>0.00%</b>	<b>\$0</b>	<b>0.00%</b>	<b>50.00%</b>

A total of \$1,741,181 is budgeted for transfers and contributions to other funds. \$350,000 is to be transferred to the 2010 Debt Service Fund. The transfers will be done in June and December to cover the debt service payment due July 1<sup>st</sup> and January 1<sup>st</sup>. \$1,100,000 is for street projects, \$400,000 to the Motor Fuel Tax Fund and \$700,000 to the Capital Improvements Fund. The remaining \$291,181 relates to the budgeted internal service fund contributions. The street projects and internal service fund contributions are done on a monthly basis.

**WATER & SEWER FUND OPERATING RESULTS**

**WATER AND SEWER FUND SUMMARY**

The table below shows the results of operations for the current month and the six months ending October 31, 2013. For the month, actual results are a positive \$53,868 from the expected monthly deficit of \$161,096. For the six months ending October 31, 2013 actual results are a positive \$879,798 from the expected year-to-date budget deficit of \$1,017,010.

**Water and Sewer Fund Operating Results**

	Current Month Budget	Current Month Actual	Year-to-Date Budget	Year-to-Date Actual	Annual Budget	Actual as a % of Annual Budget
Revenues	\$334,282	\$426,934	\$1,957,515	\$2,113,234	\$3,846,601	54.94%
Expenditures	\$495,378	\$534,162	\$2,974,525	\$2,250,446	\$6,046,998	37.22%
Excess (Deficiency)	(\$161,096)	(\$107,228)	(\$1,017,010)	(\$137,212)	(\$2,200,397)	

As a benchmark, for the sixth month of the fiscal year, revenues and expenses should be close to 50.00% of the annual operating budget. Actual revenues are slightly above the benchmark while expenses are also below the benchmark, which shows that spending through the current month in the fiscal year is below projections.

**WATER AND SEWER FUND REVENUES**

The following is a summary of Water & Sewer Fund revenues by category. The detail that is included in each revenue category can be found in the attached revenue and expense report.

**Water & Sewer Fund Revenue by Type**

Category	Current Month's Budget	Current Month's Actual	Percent Variance	Year-to-Date Budget	Year-to-Date Actual	Percent Variance	Annual Budget	Actual as a % of Annual Budget
Licenses & Permits	\$0	\$65,700	100.00%	\$0	\$109,500	100.00%	\$0	100.00%
Charges for Services	\$332,586	\$360,797	8.48%	\$1,947,339	\$1,971,859	1.26%	\$3,826,244	51.54%
Investment Income	\$1,571	\$379	(75.88%)	\$9,426	\$31,243	231.45%	\$18,857	165.68%
Miscellaneous	\$125	\$59	(53.20%)	\$750	\$632	(15.71%)	\$1,500	42.15%
<b>Total Revenue</b>	<b>\$334,282</b>	<b>\$426,934</b>	<b>27.72%</b>	<b>\$1,957,515</b>	<b>\$2,113,234</b>	<b>7.95%</b>	<b>\$3,846,601</b>	<b>54.94%</b>

For the month actual revenues are \$92,652 above the monthly budget projection and \$155,719 over the year-to-date budget.

**Licenses & Permits**

Year-to-date \$109,500 has been received for developer permit fees related to the Emerald Bay subdivision, which was not budgeted.

**Charges for Services:**

The major revenue sources in this category are shown in the table below:

MONTHLY TREASURER'S REPORT  
OCTOBER 2013

<u>Description</u>	<u>Current Month</u>			<u>Year-to-Date</u>			<u>Prior Year</u>	
	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Actual</u>	<u>Variance</u>
Village Fees	\$145,457	\$150,880	3.73%	\$855,699	\$857,085	0.16%	\$875,499	(2.10%)
Water Fees	\$82,941	\$84,122	1.42%	\$480,843	\$472,178	(1.80%)	\$524,872	(10.04%)
Sewer Fees	\$96,646	\$90,072	(6.80%)	\$565,545	\$548,754	(2.97%)	\$561,852	(2.33%)
<b>Total</b>	<b>\$325,044</b>	<b>\$325,073</b>	<b>0.01%</b>	<b>\$1,902,087</b>	<b>\$1,878,017</b>	<b>(1.27%)</b>	<b>\$1,962,224</b>	<b>(4.29%)</b>

In addition to the above, connection fees of \$40,707 were received related to the Emerald Bay development and meters held for resale are \$1,964 over the \$10,000 annual budget related to the same. The LRSD user fees are also tracking \$917 above the projection and water and sewer penalties are tracking at the year-to-date budget.

**Investment Income:**

Interest income is \$12,384 over the \$18,857 annual budget due to investment returns on the \$3.3 million of investments held at PNC bank.

**Miscellaneous Income:**

Miscellaneous income is below the year-to-date budget, which mainly includes charges to customers for bounced checks (NSF fees).

**WATER AND SEWER FUND EXPENDITURES**

For the month, actual expenditures are \$38,784 higher than the expected monthly amount of \$495,378. For the six months ending October 31, 2013 actual expenditures are \$724,079 under the year-to-date budget of \$2,974,525. Expenses are at 37.22% of the annual budget, versus the monthly benchmark of 50.00%. The detail included for each category can be found in the attached revenue and expense report.

**Water and Sewer Expenditures**

<u>Category</u>	<u>Current Month's Budget</u>	<u>Current Month's Actual</u>	<u>Percent Variance</u>	<u>Year-to-Date Budget</u>	<u>Year-to-Date Actual</u>	<u>Percent Variance</u>	<u>Annual Budget</u>	<u>Actual as a % of Annual Budget</u>
Payroll Expenses	\$33,271	\$31,470	5.41%	\$215,743	\$210,802	2.29%	\$439,482	47.97%
Taxes, Pen. & Ins.	\$11,280	\$20,133	(78.48%)	\$70,888	\$70,777	0.16%	\$141,775	49.92%
Personnel Related	\$364	\$497	(36.41%)	\$2,184	\$1,885	13.71%	\$4,363	43.19%
Professional Services	\$12,129	\$8,379	30.91%	\$67,274	\$30,123	55.22%	\$135,916	22.16%
Commodities	\$3,113	\$7,809	(150.86%)	\$18,678	\$24,592	(31.66%)	\$37,359	65.82%
Contractual Services	\$3,076	\$8,058	(161.98%)	\$18,916	\$19,064	(0.78%)	\$78,688	24.23%
Miscellaneous Expenses	\$0	\$0	0.00%	\$7,260	\$7,260	0.00%	\$7,260	100.00%
Building & Grounds	\$3,160	\$3,068	2.91%	\$18,960	\$14,135	25.45%	\$35,851	39.43%
Capital Outlay	\$27,068	\$30,556	(12.89%)	\$162,408	\$215,648	(32.78%)	\$324,808	66.39%
Water & Sewer Improvements	\$187,404	\$203,703	(8.70%)	\$1,124,424	\$443,371	60.57%	\$2,248,853	19.72%
Utilities	\$195,875	\$196,874	(0.51%)	\$1,141,820	\$1,110,081	2.78%	\$2,226,709	49.85%
Vehicles & Equipment	\$4,399	\$5,420	(23.20%)	\$26,394	\$20,384	22.77%	\$52,784	38.62%
Charges for Services	\$0	\$0	0.00%	\$0	\$0	0.00%	\$1,700	0.00%
Technology	\$1,673	\$4,880	(191.69%)	\$10,038	\$4,880	51.38%	\$21,676	22.51%
Infrastructure Maintenance	\$5,173	\$5,922	(14.49%)	\$31,038	\$18,949	38.95%	\$62,080	30.52%
Debt Service	\$0	\$0	0.00%	\$14,142	\$14,141	0.01%	\$138,983	10.17%
Transfers Out / Contributions	\$7,393	\$7,393	0.01%	\$44,358	\$44,355	0.00%	\$88,711	50.00%
<b>Total Expenses</b>	<b>\$495,378</b>	<b>\$534,162</b>	<b>(7.83%)</b>	<b>\$2,974,525</b>	<b>\$2,250,446</b>	<b>24.34%</b>	<b>\$6,046,998</b>	<b>37.22%</b>

The following comments are for any category over the year-to-date budget as of October 31, 2013.

**Commodities**

- The water meters account is \$2,143 over the \$4,998 year-to-date budget due to the purchase of water meters for the Emerald Bay subdivision development.
- Postage is \$3,963 over the \$12,678 year-to-date budget due to the timing of the postage due to the Village's third party vendor for printing and mailing water bills.

**Contractual Services**

- The insurance premium account is \$7,141 over the \$0 year-to-date budget due to a "true-up" paid for the 2012 workers compensation plan audit performed by the Village's insurance company.

**Capital Outlay**

- Vehicles are \$61,722 over the \$121,878 year-to-date budget due to the purchase of a sewer vac truck, split between the General and Water/Sewer Funds in the month of July.
- Office equipment is \$260 over the \$190 year-to-date budget due to the purchase of a \$278 TV (split 50/50 General & Water/Sewer) for the PW staff conference room and higher than anticipated copier charges.

**OPERATING RESULTS OF OTHER FUNDS  
REVENUES**

The table that follows are all other funds and the total budget and actual revenues for the month. The detail for each fund's revenue can be found in the attached revenue and expense report.

Funds	Fund #	Current Month's Budget	Current Month's Actual	Percent Variance	Year-to-Date Budget	Year-to-Date Actual	Percent Variance	Annual Budget	Actual as a % of Annual Budget
<b>Special Revenue</b>									
Motor Fuel Tax	10	\$81,755	\$112,168	37.20%	\$503,668	\$470,189	(6.65%)	\$1,151,821	40.82%
SSA #1 Bright Meadows	16	\$385	\$218	(43.31%)	\$23,195	\$23,809	2.65%	\$23,998	99.21%
<b>Debt Service Funds</b>									
2005 Bonds Debt Service	24	\$24,461	\$21,612	(11.65%)	\$145,729	\$137,003	(5.99%)	\$287,051	47.73%
2010 Bonds Debt Service	26	\$17,442	\$16,499	(5.41%)	\$248,860	\$246,195	(1.07%)	\$532,572	46.23%
2011 Bonds Debt Service	28	\$36,695	\$37,666	2.65%	\$203,132	\$181,587	(10.61%)	\$388,123	46.79%
<b>Capital Project Funds</b>									
Capital Projects	35	\$79,782	\$126,601	58.68%	\$448,654	\$467,669	4.24%	\$1,033,701	45.24%
<b>Enterprise Funds</b>									
Commuter Parking	51	\$8,427	\$7,762	(7.89%)	\$46,848	\$43,581	(6.97%)	\$91,739	47.51%
<b>Internal Service Funds</b>									
Vehicle Replacement	60	\$18,011	\$17,992	(0.11%)	\$108,066	\$107,950	(0.11%)	\$216,123	49.95%
Technology Replacement	61	\$6,778	\$6,563	(3.16%)	\$40,666	\$39,379	(3.17%)	\$81,332	48.42%
Building Replacement	62	\$7,116	\$7,105	(0.15%)	\$42,696	\$42,631	(0.15%)	\$85,396	49.92%
<b>Agency Funds</b>									
Working Cash	81	\$237	\$89	(62.38%)	\$7,030	\$8,133	15.69%	\$8,038	101.18%
Builders Escrow	83	\$9	\$2	(72.89%)	\$54	\$14	(73.61%)	\$110	12.95%

**Special Revenue Funds**

The one major revenue source in this fund category is shown in the table below:

<u>Description</u>	<u>Current Month</u>			<u>Year-to-Date</u>			<u>Prior Year</u>	
	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Actual</u>	<u>Variance</u>
Motor Fuel Tax	\$33,273	\$31,101	(6.53%)	\$212,776	\$222,390	4.52%	\$220,214	0.99%

The \$400,000 contribution from the General Fund is done on a monthly basis, \$33,333 per month. Interest income is less than the year-to-date budget due to lower rates than what was projected and no reimbursements for Hart Road engineering services has been received.

SSA #1 Bright Meadows property tax receipts of \$23,799 are above the annual budget of \$23,061, however, interest income is lower than anticipated.

**Debt Service Funds**

The major revenue sources in this fund category are shown in the table below:

<u>Description</u>	<u>Current Month</u>			<u>Year-to-Date</u>			<u>Prior Year</u>	
	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Actual</u>	<u>Variance</u>
Electric	\$37,819	\$38,825	2.66%	\$209,353	\$187,185	(10.59%)	\$211,770	(11.61%)
Gas	\$21,864	\$21,179	(3.13%)	\$71,114	\$70,985	(0.18%)	\$47,953	48.03%
Telephone	\$29,826	\$26,352	(11.65%)	\$177,689	\$167,068	(5.98%)	\$170,145	(1.81%)

Electric and telephone utility receipts are a combined \$32,791 below the year-to-date budget amount of \$387,044. Two quarterly gas payments have been received, which are \$64 under the year-to-date budget. Below is a summary of the major revenues that support all debt service payments in the three budgeted debt service funds. The four major revenues listed account for ninety-nine percent of the budgeted debt service funds revenues.

**Debt Service Major Revenue Summary**

<u>Description</u>	<u>Annual Budget</u>	<u>Year-to-Date Budget</u>	<u>Year-to-Date Actual</u>	<u>Dollar Variance</u>	<u>Percent Variance</u>
Utility Tax Electric	\$400,000	\$209,354	\$187,185	(\$22,169)	(10.59%)
Utility Tax - Gas (1)	\$107,500	\$35,557	\$35,493	(\$64)	(0.18%)
Utility Tax Telephone	\$350,000	\$177,690	\$167,068	(\$10,622)	(5.98%)
Transfers In	\$350,000	\$175,000	\$175,000	\$0	0.00%
<b>Total Major Revenues</b>	<b>\$1,207,500</b>	<b>\$597,601</b>	<b>\$564,746</b>	<b>(\$32,856)</b>	<b>(5.50%)</b>
<b>All Other Revenues</b>	<b>\$246</b>	<b>\$120</b>	<b>\$40</b>	<b>(\$80)</b>	<b>(67.02%)</b>
<b>Total Revenues</b>	<b>\$1,207,746</b>	<b>\$597,721</b>	<b>\$564,785</b>	<b>(\$32,936)</b>	<b>(5.51%)</b>

- (1) This amount represents 50% of the gas tax receipts; the other 50% is recorded in the Capital Improvements Fund.

**Capital Projects Funds**

Revenue in the Capital Projects Fund is above the projection due to a \$20,000 grant received from the Watershed Management Board, budgeted year-to-date at \$10,350 and \$53,580 in developer impact fees received for the continued development of the Emerald Bay subdivision. However, two quarterly gas tax payments are in, \$64 lower than the \$35,557 year-to-date budget. However, only \$1,513 in reimbursements have been received to-date for the MacGillis Bridge project budgeted year-to-date at \$51,519.

MONTHLY TREASURER'S REPORT  
OCTOBER 2013

The \$700,000 contribution from the General Fund is done on a monthly basis, \$58,333 per month and interest income is higher then our projection. Finally, \$4,714 has been received for the tree replacement cost sharing program.

**Enterprise**

The one major revenue source in this fund is shown in the table below:

<u>Description</u>	<u>Current Month</u>			<u>Year-to-Date</u>			<u>Prior Year</u>	
	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Actual</u>	<u>Variance</u>
Commuter Lot Revenue	\$8,365	\$7,759	(7.24%)	\$46,476	\$43,565	(6.26%)	\$46,286	(5.88%)

Interest income is also less then the year-to-date budget due to lower rates then what was projected.

**Internal Service Funds**

A total of \$379,892 is budgeted for contributions from other funds. \$291,181 is contributed from the General Fund and \$88,711 from the Water and Sewer Fund. Contributions are done on a monthly basis. Interest income is less then the year-to-date budget in each fund due to lower rates then what was projected.

**Agency Funds**

The Working Cash Fund has a positive year-to-date budget variance due to property tax receipts higher then budget. The Builders Escrow Fund has a negative year-to-date budget variance due to interest income less then budgeted due to lower rates then what was projected.

**OPERATING RESULTS OF OTHER FUNDS  
EXPENDITURES**

The table that follows includes all other funds and the total budget and actual expenses for the month. The detail for each fund's expenses can be found in the attached revenue and expense report.

<u>Funds</u>	<u>Fund #</u>	<u>Current Month's Budget</u>	<u>Current Month's Actual</u>	<u>Percent Variance</u>	<u>Year-to-Date Budget</u>	<u>Year-to-Date Actual</u>	<u>Percent Variance</u>	<u>Annual Budget</u>	<u>Actual as a % of Annual Budget</u>
<b>Special Revenue</b>									
Motor Fuel Tax	10	\$134,722	\$36,917	72.60%	\$808,332	\$299,140	62.99%	\$1,616,666	18.50%
SSA #1 Bright Meadows	16	\$2,835	\$0	100.00%	\$17,009	\$6,713	60.53%	\$25,641	26.18%
<b>Debt Service Funds</b>									
2005 Bonds Debt Service	24	\$18	\$0	100.00%	\$24,866	\$24,758	0.44%	\$275,266	8.99%
2010 Bonds Debt Service	26	\$5	\$0	100.00%	\$209,628	\$209,598	0.01%	\$530,295	39.52%
2011 Bonds Debt Service	28	\$45	\$0	100.00%	\$47,195	\$46,975	0.47%	\$387,350	12.13%
<b>Capital Project Funds</b>									
Capital Projects	35	\$97,580	\$237,951	(143.85%)	\$585,480	\$293,185	49.92%	\$1,170,948	25.04%
<b>Enterprise Funds</b>									
Commuter Parking	51	\$2,400	\$2,063	14.06%	\$14,606	\$10,138	30.59%	\$42,293	23.97%
<b>Internal Service Funds</b>									
Vehicle Replacement	60	\$8,833	\$4,828	45.34%	\$116,498	\$77,590	33.40%	\$160,500	48.34%
Technology Replacement	61	\$4,765	\$0	100.00%	\$28,588	\$0	100.00%	\$57,184	0.00%
Building Replacement	62	\$0	\$0	0.00%	\$0	\$0	0.00%	\$0	0.00%

**Special Revenue Funds**

Ninety-three percent of the annual budgeted Motor Fuel Tax Fund expenses relate to roadway improvements of which \$280,287 has been spent through month end. All other categories in this fund are under the year-to-date budget.

Ninety-eight percent of the budgeted SSA #1 Bright Meadows expenses relate to landscaping charges of which \$6,710 was spent through month end, budgeted at \$16,746 year-to-date.

**Debt Service Funds**

In the month of June, semi-annual interest payments were made on all bond series.

**Capital Projects Funds**

Of the \$293,185 spent to-date, expenses mainly relate to the items listed below:

<u>Project Name</u>	<u>Dollar Amount</u>	<u>Percent of Total</u>
Cedar Valley Park Pond	\$5,515	1.88%
Nippersink Design & Construction Engineering	\$12,350	4.21%
Hart/Sunset Design Engineering	\$42,910	14.64%
Traffic Signal LED Upgrade	\$1,715	0.58%
Long Lake West Construction & Constr. Engineering	\$210,734	71.88%
Long Lake West Design Engineering	\$17,913	6.11%
Total Project Expenses Listed	<u>\$291,137</u>	<u>99.30%</u>
 Total Y-T-D Expenses	 <u>\$293,185</u>	

**Enterprise**

The Commuter Parking Lot Fund has one category over the year-to-date budget. The utilities (electrical service) category is \$18 over the \$2,220 year-to-date budget due to the timing of the payments (seven in a six month period).

**Internal Service Funds**

There are three (3) funds in this fund type; vehicle, technology, and building replacement. There are no expenses budgeted in the Building Replacement Fund and there have been no charges in the Technology Replacement Fund through the month of October. Through the month of October there has been \$77,590 recorded in the Vehicle Replacement Fund for the purchase of three (3) squads and related equipment.

**Agency Funds**

There are no budgeted expenses for Agency Funds.

### INVESTMENT ACTIVITY

The Village's cash and investment holdings totaled \$19.1 million at the end of the month, with cash & investments changes since May 1<sup>st</sup> by fund listed below.

#### Change in Cash and Investments Balances

Funds	Fund #	May 1st Cash & Investments	Month End Cash & Investments	Actual Change in Cash & Investments	Projected Change in Cash & Investments
<b>General Fund</b>	01	\$6,390,435	\$7,767,331	\$1,376,895	\$1,045,515
<b>Special Revenue</b>					
Motor Fuel Tax	10	\$926,529	\$1,097,578	\$171,049	(\$304,664)
SSA #1 Bright Meadows	16	\$107,631	\$124,727	\$17,096	\$6,186
<b>Debt Service Funds</b>					
2005 Bonds Debt Service	24	\$23,196	\$135,442	\$112,246	\$120,863
2010 Bonds Debt Service	26	\$5,301	\$41,889	\$36,587	\$39,232
2011 Bonds Debt Service	28	\$45,925	\$180,537	\$134,612	\$155,937
<b>Capital Project Funds</b>					
Capital Projects	35	\$940,298	\$1,113,980	\$173,682	(\$136,826)
<b>Enterprise Funds</b>					
Water & Sewer Fund	50	\$7,448,787	\$7,288,184	(\$160,603)	(\$1,017,010)
Commuter Parking	51	\$296,090	\$329,532	\$33,442	\$32,242
<b>Internal Service Funds</b>					
Vehicle Replacement	60	\$94,200	\$124,560	\$30,360	(\$8,432)
Technology Replacement	61	\$59,770	\$99,149	\$39,379	\$12,078
Building Replacement	62	\$56,839	\$99,469	\$42,631	\$42,696
<b>Agency Funds</b>					
Working Cash	81	\$665,277	\$673,411	\$8,133	\$7,030
Builders Escrow	83	\$57,586	\$57,022	(\$563)	\$54

The Village's cash and investment position of \$19.1 million at the end of the month does not include the Police Pension Fund investments, which are subject to the control and oversight by a separate board and the Lakewood Grove Special Service Area (SSA) Funds cash accounts as the village only acts as an agent for the property owners in the SSA's. At the end of the month the Village's portfolio by institution was as follows:

#### Portfolio Diversification

Institution	Type	Amount Held	% of Portfolio
Petty & Register Cash	Cash	\$1,200	0.01%
Illinois Funds	Money Market	\$3,996,526	20.89%
PNC	Investments & MM	\$5,235,346	27.36%
Chase	Money Market	\$3,493,324	18.26%
First American	Money Market	\$755,859	3.95%
NorStates	Savings, NOW, MM	\$5,650,554	29.53%
<b>Total Portfolio</b>		<b>\$19,132,809</b>	

Per the investment policy no financial institution shall hold more than 50% of the Village's portfolio. Illinois Funds shall not exceed 40% of portfolio.

At the end of the month \$14.8 million was held in short term money market accounts and \$4.3 million held in fixed income securities. The table that follows lists the cash and cash equivalent balances and investments per fund.

**Summary of Cash and Investments by Fund**

Funds	Fund #	Cash & Cash Equivalents	Investments	Total Cash and Investments	Percent of Overall Portfolio
<b>General Fund</b>	01	\$6,889,785	\$877,545	\$7,767,331	40.60%
<b>Special Revenue</b>					
Motor Fuel Tax	10	\$1,097,578	\$0	\$1,097,578	5.74%
SSA #1 Bright Meadows	16	\$124,727	\$0	\$124,727	0.65%
<b>Debt Service Funds</b>					
2005 Bonds Debt Service	24	\$135,442	\$0	\$135,442	0.71%
2010 Bonds Debt Service	26	\$40,675	\$1,214	\$41,889	0.22%
2011 Bonds Debt Service	28	\$180,537	\$0	\$180,537	0.94%
<b>Capital Project Funds</b>					
Capital Projects	35	\$1,015,077	\$98,903	\$1,113,980	5.82%
<b>Enterprise Funds</b>					
Water & Sewer Fund	50	\$4,003,098	\$3,285,086	\$7,288,184	38.09%
Commuter Parking	51	\$329,532	\$0	\$329,532	1.72%
<b>Internal Service Funds</b>					
Vehicle Replacement	60	\$124,560	\$0	\$124,560	0.65%
Technology Replacement	61	\$99,149	\$0	\$99,149	0.52%
Building Replacement	62	\$99,469	\$0	\$99,469	0.52%
<b>Agency Funds</b>					
Working Cash	81	\$673,411	\$0	\$673,411	3.52%
Builders Escrow	83	\$57,022	\$0	\$57,022	0.30%

The following is the fixed income investment split by fund:

Fund Description	Treasury Bonds/Notes	Agency Bonds	Money Market	Total
General	\$212,867.82	\$652,433.45	\$12,244.06	\$877,545.34
2010 Debt Service	\$294.50	\$902.65	\$16.94	\$1,214.09
Capital Projects	\$23,990.97	\$73,531.60	\$1,379.95	\$98,902.52
Water & Sewer	\$796,869.45	\$2,442,380.80	\$45,835.58	\$3,285,085.83
<b>Total</b>	<b>\$1,034,022.75</b>	<b>\$3,169,248.50</b>	<b>\$59,476.53</b>	<b>\$4,262,747.78</b>

MONTHLY TREASURER'S REPORT  
OCTOBER 2013

The detail investment holdings at month end are on the table that follows.

Type	Settlement Date	Maturity Date	Month End Market Value	Unrealized Gain/(Loss)	Monthly Interest Received	Rate	Estimated Annual Income	Accrued Income
<b><u>Money Mkt Account</u></b>								
-	6/29/2012	-	\$59,476.53	\$0.00	\$1.23	0.02%	\$5.95	\$0.46
<b><u>Treas. Bonds/Notes</u></b>								
US Treasury Note	6/26/2012	4/30/2014	\$202,086.00	(\$3,594.36)	\$0.00	1.875%	\$3,750.00	\$1,577.87
US Treasury Note	6/26/2012	10/15/2013	\$200,032.00	(\$523.36)	\$0.00	0.500%	\$1,000.00	\$461.75
US Treasury Note	6/26/2012	12/15/2013	\$200,288.00	(\$1,032.98)	\$0.00	0.750%	\$1,500.00	\$442.62
US Treasury Note	6/26/2012	6/15/2014	\$200,922.00	(\$750.54)	\$0.00	0.625%	\$1,500.00	\$442.62
US Treasury Note	8/2/2013	7/31/2016	\$230,694.75	(\$89.21)	\$0.00	1.500%	\$3,375.00	\$568.61
<b>Total Treasurer Bonds/Notes</b>			<b>\$1,034,022.75</b>	<b>(\$5,990.45)</b>	<b>\$0.00</b>		<b>\$11,125.00</b>	<b>\$3,493.47</b>
<b><u>Agency Bonds</u></b>								
FHL Bank Bonds	4/1/2013	3/13/2015	\$258,905.00	(\$3,040.00)	\$3,437.50	2.750%	\$6,875.00	\$343.75
FHL Bank Bonds	6/26/2012	6/18/2014	\$518,240.00	(\$29,800.00)	\$0.00	5.250%	\$26,250.00	\$7,510.42
FFC Bank Bonds	6/26/2012	10/7/2013	\$250,157.50	(\$11,095.00)	\$0.00	3.875%	\$9,687.50	\$4,682.29
FFC Bank Bonds	8/16/2013	8/25/2016	\$507,078.00	(\$1,264.50)	\$0.00	5.125%	\$23,062.50	\$2,306.25
FHL Bank Bonds	6/26/2012	12/27/2013	\$200,380.00	(\$1,224.00)	\$0.00	0.875%	\$1,750.00	\$456.94
FHL Bank Notes	6/26/2012	5/28/2014	\$327,665.00	(\$3,594.50)	\$0.00	1.375%	\$4,468.75	\$1,526.82
FHL Mtg Corp. Notes	6/26/2012	11/15/2013	\$301,779.00	(\$16,944.00)	\$0.00	4.875%	\$14,625.00	\$5,525.00
FHL Mtg Corp. Notes	6/26/2012	4/28/2014	\$400,612.00	\$764.00	\$0.00	0.375%	\$1,500.00	\$637.50
FHL Mtg Corp. Notes	6/26/2012	4/23/2014	\$304,080.00	(\$7,761.00)	\$0.00	2.500%	\$7,500.00	\$3,291.67
FHL Mtg Corp. Notes	4/17/2013	4/17/2015	\$100,352.00	(\$109.00)	\$0.00	0.500%	\$500.00	\$477.78
<b>Total Agency Bonds</b>			<b>\$3,169,248.50</b>	<b>(\$74,068.00)</b>	<b>\$3,437.50</b>		<b>\$96,218.75</b>	<b>\$26,758.42</b>
<b>Total Investments</b>			<b>\$4,262,747.78</b>	<b>(\$80,058.45)</b>	<b>\$3,438.73</b>		<b>\$107,349.70</b>	<b>\$30,252.35</b>

Respectfully submitted,

*Steven J. Shields*

Steven J. Shields  
Finance Director/Treasurer

FUND: GENERAL FUND

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI- ANCE	FISCAL YEAR BUDGET	YEAR-TO-DATE ACTUAL	% VARI- ANCE
<b>REVENUES</b>							
<b>TAXES</b>							
01-05-50-55001	REAL ESTATE TAXES	45,129.00	30,372.76	(32.7)	2,949,313.00	2,930,209.87	(0.6)
<b>TOTAL TAXES</b>		45,129.00	30,372.76	(32.7)	2,949,313.00	2,930,209.87	(0.6)
<b>INTERGOVERNMENTAL</b>							
01-05-52-55201	ROAD & BRIDGE TAX	915.00	737.30	(19.4)	53,500.00	55,672.59	4.0
01-05-52-55203	STATE USE TAX	22,208.00	25,704.45	15.7	296,282.00	145,264.80	(50.9)
01-05-52-55205	SALES TAX	38,868.00	39,733.18	2.2	448,000.00	241,669.83	(46.0)
01-05-52-55207	STATE INCOME TAX	103,341.00	100,880.40	(2.3)	1,744,770.00	947,547.65	(45.6)
01-05-52-55209	REPLACEMENT TAX	2,461.00	3,121.91	26.8	17,578.00	12,213.93	(30.5)
01-05-52-55211	VIDEO GAMING TAX	750.00	2,276.01	203.4	9,000.00	10,925.20	21.3
<b>TOTAL INTERGOVERNMENTAL</b>		168,543.00	172,453.25	2.3	2,569,130.00	1,413,294.00	(44.9)
<b>LICENSES &amp; PERMITS</b>							
01-05-54-55401	BUSINESS LICENSES	50.00	35.00	(30.0)	8,525.00	1,670.00	(80.4)
01-05-54-55403	VENDOR LICENSES	0.00	0.00	0.0	1,443.00	285.00	(80.2)
01-05-54-55405	LIQUOR LICENSES	0.00	50.00	100.0	22,800.00	2,500.00	(89.0)
01-05-54-55407	GARAGE SALE LICENSE	60.00	0.00	100.0	700.00	404.00	(42.2)
01-05-54-55409	BUILDING PERMITS	4,320.00	44,535.14	930.9	41,250.00	103,543.70	151.0
01-05-54-55411	INSPECTION FEES	125.00	50.00	(60.0)	1,500.00	630.00	(58.0)
<b>TOTAL LICENSES &amp; PERMITS</b>		4,555.00	44,670.14	880.6	76,218.00	109,032.70	43.0
<b>CHARGES FOR SERVICES</b>							
01-05-56-55605	LAB/EQUIP REIMB-MFT	2,083.00	2,083.33	0.0	25,000.00	12,499.98	(50.0)
01-05-56-55611	SALE OF PUBLICATIONS	8.00	1.00	(87.5)	100.00	6.60	(93.4)
01-05-56-55613	GARBAGE FEES	45,321.00	46,448.58	2.4	547,000.00	272,644.70	(50.1)
01-05-56-55615	ZONING HEARING FEES	125.00	1,125.00	800.0	1,500.00	1,125.00	(25.0)
01-05-56-55617	PUD FILING FEES	4.00	0.00	100.0	50.00	5.88	(88.2)
01-05-56-55619	OFF / ACCIDENT RECEIPTS	150.00	66.67	(55.5)	1,800.00	838.33	(53.4)
01-05-56-55623	LEIN REVENUE	17.00	0.00	100.0	200.00	0.00	100.0
<b>TOTAL CHARGES FOR SERVICES</b>		47,708.00	49,724.58	4.2	575,650.00	287,120.49	(50.1)
<b>FINES &amp; FORFEITS</b>							
01-05-60-56001	FINES	2,833.00	2,450.00	(13.5)	34,000.00	16,225.01	(52.2)
01-05-60-56003	CIRCUIT COURT FINES	10,960.00	10,947.61	(0.1)	118,000.00	72,238.92	(38.7)
01-05-60-56005	SENATE 740 REVENUES	500.00	1,350.00	170.0	19,200.00	6,100.00	(68.2)
01-05-60-56007	SEIZURE: COMPUTER CRIME	333.00	0.00	100.0	4,000.00	0.00	100.0
01-05-60-56009	FEDERAL SEIZURES	1,233.00	0.00	100.0	14,800.00	0.00	100.0
01-05-60-56010	STATE SEIZURES	0.00	0.00	0.0	0.00	0.00	0.0

FUND: GENERAL FUND

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI- ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI- ANCE
<b>REVENUES</b>							
<b>FINES &amp; FORFEITS</b>							
01-05-60-56015	FALSE ALARM FEES	0.00	0.00	0.0	0.00	0.00	0.0
TOTAL FINES & FORFEITS		15,859.00	14,747.61	(7.0)	190,000.00	94,563.93	(50.2)
<b>GRANTS</b>							
01-05-62-56200	GRANT INCOME	3,192.00	8,000.00	150.6	38,300.00	16,336.30	(57.3)
TOTAL GRANTS		3,192.00	8,000.00	150.6	38,300.00	16,336.30	(57.3)
<b>INVESTMENT INCOME</b>							
01-05-64-56401	INTEREST INCOME	1,250.00	291.42	(76.6)	15,000.00	9,498.18	(36.6)
TOTAL INVESTMENT INCOME		1,250.00	291.42	(76.6)	15,000.00	9,498.18	(36.6)
<b>REIMBURSEMENTS</b>							
01-05-65-56508	INSURANCE REIMB.	0.00	3,061.61	100.0	0.00	7,703.31	100.0
01-05-65-56520	SRO REIMBURSEMENT	4,700.00	0.00	100.0	47,000.00	19,708.08	(58.0)
TOTAL REIMBURSEMENTS		4,700.00	3,061.61	(34.8)	47,000.00	27,411.39	(41.6)
<b>MISCELLANEOUS REVENUE</b>							
01-05-66-56601	MISCELLANEOUS RECEIPTS	850.00	601.13	(29.2)	10,200.00	6,686.36	(34.4)
01-05-66-56607	COMCAST CABLE FRANCHISE	0.00	0.00	0.0	167,500.00	83,518.87	(50.1)
01-05-66-56608	AT&T VIDEO FRANCHISE	0.00	0.00	0.0	48,500.00	27,446.25	(43.4)
01-05-66-56609	AT&T FRANCHISE	625.00	477.28	(23.6)	7,500.00	3,039.24	(59.4)
01-05-66-56610	AT&T PEG FEES	0.00	0.00	0.0	9,500.00	5,489.25	(42.2)
01-05-66-56611	RECYCLING REBATE SWAL	0.00	285.12	100.0	20,000.00	8,726.31	(56.3)
01-05-66-56617	RENT PAYMENT	2,100.00	0.00	100.0	25,200.00	4,200.00	(83.3)
01-05-66-56619	AUCTION PROCEEDS	0.00	5,208.00	100.0	0.00	19,758.00	100.0
TOTAL MISCELLANEOUS REVENUE		3,575.00	6,571.53	83.8	288,400.00	158,864.28	(44.9)
TOTAL REVENUES: REVENUES		294,511.00	329,892.90	12.0	6,749,011.00	5,046,331.14	(25.2)
<b>ADMINISTRATION EXPENSES</b>							
<b>PAYROLL EXPENSES</b>							
01-20-70-67001	REGULAR SALARIES	22,385.00	15,127.77	32.4	291,000.00	169,198.49	41.8
01-20-70-67006	ELECTED OFFICIALS SALARIES	3,800.00	3,500.00	7.8	45,600.00	21,594.51	52.6
01-20-70-67011	COMMITTEE MEMBER SALARIES	510.00	510.00	0.0	6,120.00	(2,980.00)	148.6
01-20-70-67021	PART-TIME SALARIES	689.00	0.00	100.0	8,954.00	0.00	100.0
01-20-70-67031	OVERTIME	10.00	27.39	(173.9)	125.00	63.90	48.8

VILLAGE OF ROUND LAKE  
 DETAILED REVENUE & EXPENSE REPORT  
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE  
 FOR 6 PERIODS ENDING OCTOBER 31, 2013

FUND: GENERAL FUND

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
ADMINISTRATION EXPENSES							
PAYROLL EXPENSES							
01-20-70-67036	TRANSPORTATION ALLOWANCE	229.00	0.00	100.0	2,750.00	1,122.85	59.1
TOTAL PAYROLL EXPENSES		27,623.00	19,165.16	30.6	354,549.00	188,999.75	46.6
TAXES, PENSIONS, & INSURANCE							
01-20-71-67101	IMRF	2,700.00	1,809.53	32.9	35,100.00	20,166.69	42.5
01-20-71-67107	DENTAL INSURANCE	217.00	476.77	(119.7)	2,600.00	1,124.44	56.7
01-20-71-67108	VISION INSURANCE	25.00	16.75	33.0	300.00	122.87	59.0
01-20-71-67109	LIFE INSURANCE	19.00	24.34	(28.1)	225.00	79.89	64.4
01-20-71-67110	HEALTH INSURANCE	2,542.00	6,017.41	(136.7)	30,500.00	13,896.40	54.4
01-20-71-67111	SOCIAL SECURITY	1,692.00	1,166.56	31.0	22,000.00	11,081.51	49.6
01-20-71-67112	MEDICARE	396.00	272.85	31.1	5,150.00	2,702.97	47.5
TOTAL TAXES, PENSIONS, & INSURANCE		7,591.00	9,784.21	(28.8)	95,875.00	49,174.77	48.7
PERSONNEL RELATED							
01-20-72-67204	DUES & MEMBERSHIPS	86.00	0.00	100.0	7,085.00	360.00	94.9
01-20-72-67208	MEETINGS, TRAVEL, & TRAINING	1,025.00	533.00	48.0	12,296.00	2,143.73	82.5
01-20-72-67234	HIRING PROCESS	25.00	0.00	100.0	300.00	0.00	100.0
TOTAL PERSONNEL RELATED		1,136.00	533.00	53.0	19,681.00	2,503.73	87.2
PROFESSIONAL SERVICES							
01-20-73-77301	AUDITING EXPENSE	4,125.00	5,392.00	(30.7)	20,625.00	5,392.00	73.8
01-20-73-77307	ENGINEERING EXPENSES	1,026.00	2,637.56	(157.0)	12,317.00	6,601.03	46.4
01-20-73-77309	VILLAGE PLANNER	325.00	0.00	100.0	3,905.00	0.00	100.0
01-20-73-77313	LEGAL SERVICES	4,896.00	42,705.00	(772.2)	58,750.00	65,494.50	(11.4)
01-20-73-77314	ORDINANCE REVIEW - LEGAL	220.00	1,261.00	(473.1)	2,639.00	1,261.00	52.2
01-20-73-77315	ECONOMIC DEVELOPMENT MARKETING	72.00	0.00	100.0	862.00	0.00	100.0
01-20-73-77319	CONSULTANT STUDIES	833.00	0.00	100.0	10,000.00	0.00	100.0
01-20-73-77320	CONSULTING SERVICES	0.00	9,262.50	100.0	0.00	32,954.50	100.0
TOTAL PROFESSIONAL SERVICES		11,497.00	61,258.06	(432.8)	109,098.00	111,703.03	(2.3)
COMMODITIES							
01-20-74-77430	OFFICE SUPPLIES	474.00	1,299.03	(174.0)	5,684.00	3,494.59	38.5
01-20-74-77432	POSTAGE EXPENSE	211.00	161.54	23.4	2,530.00	959.95	62.0
01-20-74-77440	PRINTING	66.00	0.00	100.0	794.00	1,006.55	(26.7)
TOTAL COMMODITIES		751.00	1,460.57	(94.4)	9,008.00	5,461.09	39.3
CONTRACTUAL SERVICES							

FUND: GENERAL FUND

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
ADMINISTRATION							
EXPENSES							
CONTRACTUAL SERVICES							
01-20-75-77511	PUBLICATIONS & SUBSCRIPTIONS	0.00	0.00	0.0	0.00	329.90	100.0
01-20-75-77512	NOTIFICATION SYSTEM	0.00	0.00	0.0	9,000.00	9,000.00	0.0
01-20-75-77515	GARBAGE COLLECTION	74,606.00	74,859.40	(0.3)	895,277.00	441,762.44	50.6
01-20-75-77519	INSURANCE PREMIUM	0.00	0.00	0.0	119,631.00	10,366.75	91.3
01-20-75-77537	LEGAL NOTICES/RECORDING FEES	252.00	72.00	71.4	3,021.00	702.40	76.7
01-20-75-77541	SWAPCO	647.00	0.00	100.0	7,758.00	7,695.44	0.8
TOTAL CONTRACTUAL SERVICES		75,505.00	74,931.40	0.7	1,034,687.00	469,856.93	54.5
MISCELLANEOUS EXPENSE							
01-20-77-77704	SPECIAL EVENTS	200.00	355.97	(77.9)	5,150.00	4,709.70	8.5
01-20-77-77706	MISCELLANEOUS EXPENSE	387.00	167.15	56.8	6,169.00	3,695.52	40.1
01-20-77-77716	FIRE & POLICE COMMISSION	744.00	375.00	49.6	8,925.00	2,544.30	71.4
TOTAL MISCELLANEOUS EXPENSE		1,331.00	898.12	32.5	20,244.00	10,949.52	45.9
BUILDING & GROUNDS							
01-20-79-77901	B&G MAINTENANCE	100.00	0.00	100.0	1,200.00	953.00	20.5
01-20-79-77903	B&G CONTRACTS	1,146.00	1,161.71	(1.3)	13,751.00	6,456.31	53.0
01-20-79-77905	B&G REPAIRS	250.00	0.00	100.0	3,000.00	2,118.08	29.4
TOTAL BUILDING & GROUNDS		1,496.00	1,161.71	22.3	17,951.00	9,527.39	46.9
CAPITAL OUTLAY							
01-20-80-88018	OFFICE EQUIPMENT	442.00	346.82	21.5	5,303.00	2,905.04	45.2
TOTAL CAPITAL OUTLAY		442.00	346.82	21.5	5,303.00	2,905.04	45.2
UTILITIES							
01-20-82-88202	TELEPHONE SERVICE	509.00	1,647.46	(223.6)	6,104.00	4,823.90	20.9
01-20-82-88204	CELLULAR SERVICE	274.00	122.24	55.3	3,288.00	1,259.66	61.6
01-20-82-88208	HEATING	0.00	0.00	0.0	500.00	0.00	100.0
TOTAL UTILITIES		783.00	1,769.70	(126.0)	9,892.00	6,083.56	38.5
LAND/LAND IMPROVEMENTS							
01-20-86-88602	LAND PURCHASE	0.00	0.00	0.0	0.00	0.00	0.0
TOTAL LAND/LAND IMPROVEMENTS		0.00	0.00	0.0	0.00	0.00	0.0
TECHNOLOGY							
01-20-91-99105	NETWORK REPAIRS	84.00	238.55	(183.9)	1,008.00	1,323.96	(31.3)

VILLAGE OF ROUND LAKE  
 DETAILED REVENUE & EXPENSE REPORT  
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE  
 FOR 6 PERIODS ENDING OCTOBER 31, 2013

FUND: GENERAL FUND

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
ADMINISTRATION							
EXPENSES							
TECHNOLOGY							
01-20-91-99107	IT MAINTENANCE SERVICES	2,270.00	10,095.73	(344.7)	42,440.00	18,981.99	55.2
01-20-91-99117	IT EQUIPMENT	5,832.00	4,880.00	16.3	69,988.00	4,880.00	93.0
01-20-91-99119	GIS SUPPORT	1,500.00	0.00	100.0	18,000.00	0.00	100.0
TOTAL TECHNOLOGY							
		9,686.00	15,214.28	(57.0)	131,436.00	25,185.95	80.8
TOTAL EXPENSES: ADMINISTRATION							
		137,841.00	186,523.03	(35.3)	1,807,724.00	882,350.76	51.1
POLICE DEPARTMENT							
EXPENSES							
PAYROLL EXPENSES							
01-40-70-67001	REGULAR SALARIES	141,489.00	138,811.67	1.8	1,839,361.00	894,371.36	51.3
01-40-70-67021	PART-TIME SALARIES	4,230.00	2,095.62	50.4	54,996.00	13,113.13	76.1
01-40-70-67031	OVERTIME	8,000.00	6,291.54	21.3	104,000.00	38,888.88	62.6
TOTAL PAYROLL EXPENSES							
		153,719.00	147,198.83	4.2	1,998,357.00	946,373.37	52.6
TAXES, PENSIONS, & INSURANCE							
01-40-71-67101	IMRF	1,692.00	1,680.38	0.6	22,000.00	10,831.03	50.7
01-40-71-67107	DENTAL INSURANCE	1,375.00	3,039.51	(121.0)	16,500.00	8,052.73	51.2
01-40-71-67108	VISION INSURANCE	142.00	133.76	5.8	1,700.00	793.44	53.3
01-40-71-67109	LIFE INSURANCE	113.00	244.62	(116.4)	1,350.00	656.82	51.3
01-40-71-67110	HEALTH INSURANCE	15,625.00	53,870.23	(244.7)	187,500.00	107,579.12	42.6
01-40-71-67111	SOCIAL SECURITY	9,538.00	8,868.58	7.0	124,000.00	57,168.53	53.9
01-40-71-67112	MEDICARE	2,231.00	2,074.08	7.0	29,000.00	13,370.08	53.9
TOTAL TAXES, PENSIONS, & INSURANCE							
		30,716.00	69,911.16	(127.6)	382,050.00	198,451.75	48.0
PERSONNEL RELATED							
01-40-72-67202	UNIFORMS	2,075.00	1,761.71	15.1	24,900.00	6,806.51	72.6
01-40-72-67204	DUES & MEMBERSHIPS	95.00	0.00	100.0	2,145.00	1,695.00	20.9
01-40-72-67206	MEDICAL/PSYCHOLOGICAL	75.00	0.00	100.0	900.00	104.50	88.3
01-40-72-67208	MEETINGS, TRAVEL, & TRAINING	412.00	1,005.00	(143.9)	7,370.00	4,580.90	37.8
01-40-72-67234	HIRING PROCESS	346.00	199.00	42.4	4,150.00	3,896.00	6.1
TOTAL PERSONNEL RELATED							
		3,003.00	2,965.71	1.2	39,465.00	17,082.91	56.7
PROFESSIONAL SERVICES							
01-40-73-77311	VILLAGE PROSECUTOR	2,800.00	2,800.00	0.0	33,600.00	14,114.00	57.9
01-40-73-77313	LEGAL SERVICES	2,500.00	2,800.00	(12.0)	30,000.00	2,800.00	90.6
TOTAL PROFESSIONAL SERVICES							
		5,300.00	5,600.00	(5.6)	63,600.00	16,914.00	73.4

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
FUND: GENERAL FUND							
POLICE DEPARTMENT EXPENSES							
COMMODITIES							
01-40-74-77402	AMMO / GUNS	533.00	254.82	52.1	6,400.00	5,244.30	18.0
01-40-74-77430	OFFICE SUPPLIES	500.00	211.93	57.6	6,000.00	1,718.02	71.3
01-40-74-77432	POSTAGE	246.00	207.99	15.4	2,946.00	1,161.42	60.5
01-40-74-77434	OPERATING SUPPLIES	208.00	0.00	100.0	2,500.00	207.00	91.7
01-40-74-77440	PRINTING	292.00	162.00	44.5	3,500.00	293.51	91.6
TOTAL COMMODITIES		1,779.00	836.74	52.9	21,346.00	8,624.25	59.6
CONTRACTUAL SERVICES							
01-40-75-77501	ALERTS / MDT LINES	608.00	608.43	0.0	7,301.00	3,649.57	50.0
01-40-75-77503	ANIMAL CONTROL	117.00	65.00	44.4	1,400.00	315.00	77.5
01-40-75-77505	CENCOM	20,488.00	20,032.66	2.2	245,850.00	120,350.15	51.0
01-40-75-77511	PUBLICATIONS & SUBSCRIPTIONS	506.00	32.00	93.6	6,074.00	3,948.70	34.9
01-40-75-77525	LAKE COUNTY MEG MEMBERSHIP	0.00	0.00	0.0	13,200.00	12,600.00	4.5
01-40-75-77531	NIPAS EMERGENCY SERV.	297.00	0.00	100.0	6,563.00	563.00	91.4
TOTAL CONTRACTUAL SERVICES		22,016.00	20,738.09	5.8	280,388.00	141,426.42	49.5
MISCELLANEOUS EXPENSE							
01-40-77-77706	MISCELLANEOUS EXPENSE	260.00	118.95	54.2	3,120.00	981.95	68.5
01-40-77-77710	DARE FUND EXPENSES	92.00	40.00	56.5	1,100.00	40.00	96.3
01-40-77-77711	STATE SEIZURE EXPENSES	0.00	0.00	0.0	0.00	0.00	0.0
01-40-77-77712	SENATE 740 EXPENSES	1,288.00	0.00	100.0	15,450.00	12,436.40	19.5
01-40-77-77714	FEDERAL SEIZURE EXPENSES	42.00	0.00	100.0	500.00	0.00	100.0
01-40-77-77715	COMPUTER CRIME EXPENSES	333.00	0.00	100.0	4,000.00	0.00	100.0
01-40-77-77718	CANINE EXPENSE	0.00	0.00	0.0	0.00	0.00	0.0
01-40-77-77720	COMMUNITY EDUCATION	83.00	0.00	100.0	1,000.00	0.00	100.0
01-40-77-77722	BICYCLE PATROL EXPENSES	21.00	0.00	100.0	250.00	95.86	61.6
TOTAL MISCELLANEOUS EXPENSE		2,119.00	158.95	92.5	25,420.00	13,554.21	46.6
BUILDING & GROUNDS							
01-40-79-77901	B&G MAINTENANCE	100.00	168.41	(68.4)	1,200.00	198.98	83.4
01-40-79-77903	B&G CONTRACTS	614.00	1,010.57	(64.5)	7,364.00	3,282.97	55.4
01-40-79-77905	B&G REPAIRS	417.00	373.33	10.4	5,000.00	1,892.91	62.1
01-40-79-77907	B&G SUPPLIES	168.00	286.90	(70.7)	2,010.00	906.68	54.8
TOTAL BUILDING & GROUNDS		1,299.00	1,839.21	(41.5)	15,574.00	6,281.54	59.6
CAPITAL OUTLAY							
01-40-80-88018	OFFICE EQUIPMENT	828.00	460.67	44.3	9,937.00	3,236.66	67.4
01-40-80-88024	VEHICLE EQUIPMENT	417.00	300.85	27.8	5,000.00	1,518.87	69.6

VILLAGE OF ROUND LAKE  
 DETAILED REVENUE & EXPENSE REPORT  
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE  
 FOR 6 PERIODS ENDING OCTOBER 31, 2013

FUND: GENERAL FUND

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
TOTAL CAPITAL OUTLAY							
		1,245.00	761.52	38.8	14,937.00	4,755.53	68.1
UTILITIES							
01-40-82-88202	TELEPHONE SERVICE	339.00	649.85	(91.7)	4,065.00	2,962.76	27.1
01-40-82-88204	CELLULAR SERVICE	458.00	517.31	(12.9)	5,500.00	3,052.53	44.5
01-40-82-88208	HEATING	0.00	0.00	0.0	500.00	0.00	100.0
TOTAL UTILITIES							
		797.00	1,167.16	(46.4)	10,065.00	6,015.29	40.2
VEHICLE & EQUIPMENT							
01-40-84-88402	GAS & OIL	7,167.00	5,626.59	21.4	86,000.00	37,045.22	56.9
01-40-84-88404	VEHICLE REPAIRS	3,750.00	3,133.83	16.4	45,000.00	9,021.13	79.9
01-40-84-88406	VEHICLE MAINTENANCE	456.00	754.98	(65.5)	5,470.00	3,619.32	33.8
TOTAL VEHICLE & EQUIPMENT							
		11,373.00	9,515.40	16.3	136,470.00	49,685.67	63.5
TECHNOLOGY							
01-40-91-99105	NETWORK REPAIRS	375.00	57.25	84.7	4,500.00	1,013.51	77.4
01-40-91-99107	IT MAINTENANCE SERVICES	273.00	900.00	(229.6)	3,275.00	1,688.00	48.4
TOTAL TECHNOLOGY							
		648.00	957.25	(47.7)	7,775.00	2,701.51	65.2
TOTAL EXPENSES: POLICE DEPARTMENT							
		234,014.00	261,650.02	(11.8)	2,995,447.00	1,411,866.45	52.8
PUBLIC WORKS EXPENSES							
PAYROLL EXPENSES							
01-60-70-67001	REGULAR SALARIES	19,385.00	20,169.33	(4.0)	252,000.00	126,627.06	49.7
01-60-70-67021	PART-TIME SALARIES	1,020.00	889.59	12.7	13,264.00	4,312.06	67.4
01-60-70-67026	SEASONAL	787.00	0.00	100.0	10,228.00	0.00	100.0
01-60-70-67031	OVERTIME	1,000.00	537.73	46.2	20,000.00	3,599.18	82.0
TOTAL PAYROLL EXPENSES							
		22,192.00	21,596.65	2.6	295,492.00	134,538.30	54.4
TAXES, PENSIONS, & INSURANCE							
01-60-71-67101	IMRF	2,519.00	2,472.45	1.8	32,750.00	15,549.19	52.5
01-60-71-67107	DENTAL INSURANCE	263.00	575.14	(118.6)	3,150.00	1,550.04	50.7
01-60-71-67108	VISION INSURANCE	21.00	29.16	(38.8)	250.00	174.96	30.0
01-60-71-67109	LIFE INSURANCE	21.00	39.86	(89.8)	250.00	113.63	54.5
01-60-71-67110	HEALTH INSURANCE	2,917.00	11,665.49	(299.9)	35,000.00	23,529.78	32.7
01-60-71-67111	SOCIAL SECURITY	1,423.00	1,273.37	10.5	18,500.00	7,941.79	57.0
01-60-71-67112	MEDICARE	331.00	297.82	10.0	4,300.00	1,857.42	56.8
01-60-71-67116	UNEMPLOYMENT INSURANCE	0.00	0.00	0.0	0.00	0.00	0.0
TOTAL TAXES, PENSIONS, & INSURANCE							
		7,495.00	16,353.29	(118.1)	94,200.00	50,716.81	46.1

VILLAGE OF ROUND LAKE  
 DETAILED REVENUE & EXPENSE REPORT  
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE  
 FOR 6 PERIODS ENDING OCTOBER 31, 2013

FUND: GENERAL FUND

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
<b>PUBLIC WORKS EXPENSES</b>							
<b>PERSONNEL RELATED</b>							
01-60-72-67202	UNIFORMS	176.00	212.91	(20.9)	2,116.00	1,117.91	47.1
01-60-72-67204	DUES & MEMBERSHIPS	19.00	0.00	100.0	228.00	92.00	59.6
01-60-72-67206	MEDICAL/PSYCHOLOGICAL	55.00	128.00	(132.7)	655.00	128.00	80.4
01-60-72-67208	MEETING, TRAVEL, & TRAINING	103.00	35.00	66.0	1,232.00	513.50	58.3
01-60-72-67234	HIRING PROCESS	50.00	0.00	100.0	600.00	57.00	90.5
<b>TOTAL PERSONNEL RELATED</b>							
		403.00	375.91	6.7	4,831.00	1,908.41	60.5
<b>PROFESSIONAL SERVICES</b>							
01-60-73-77307	ENGINEERING EXPENSES	809.00	1,376.85	(70.1)	9,710.00	5,424.97	44.1
01-60-73-77313	LEGAL SERVICES	417.00	1,441.52	(245.6)	5,000.00	4,736.53	5.2
<b>TOTAL PROFESSIONAL SERVICES</b>							
		1,226.00	2,818.37	(129.8)	14,710.00	10,161.50	30.9
<b>COMMODITIES</b>							
01-60-74-77418	ICE CONTROL	17,467.00	14,547.98	16.7	104,800.00	14,547.98	86.1
01-60-74-77430	OFFICE SUPPLIES	128.00	404.64	(216.1)	1,534.00	768.75	49.8
01-60-74-77432	POSTAGE EXPENSE	7.00	0.00	100.0	78.00	6.33	91.8
01-60-74-77452	STREET SIGNS	788.00	0.00	100.0	9,450.00	539.21	94.2
01-60-74-77458	VILLAGE SIGNS/BANNERS/FLAGS	149.00	0.00	100.0	1,789.00	0.00	100.0
<b>TOTAL COMMODITIES</b>							
		18,539.00	14,952.62	19.3	117,651.00	15,862.27	86.5
<b>CONTRACTUAL SERVICES</b>							
01-60-75-77511	PUBLICATIONS & SUBSCRIPTIONS	12.50	0.00	100.0	150.00	0.00	100.0
01-60-75-77527	LAKES MANAGEMENT	0.00	500.00	100.0	500.00	500.00	0.0
01-60-75-77539	STREET SWEEPING	3,314.00	0.00	100.0	23,200.00	0.00	100.0
01-60-75-77543	TRAFFIC SIGNAL MAINT. CONTRACT	0.00	1,351.40	100.0	14,124.00	5,630.40	60.1
<b>TOTAL CONTRACTUAL SERVICES</b>							
		3,326.50	1,851.40	44.3	37,974.00	6,130.40	83.8
<b>BUILDING &amp; GROUNDS</b>							
01-60-79-77901	B&G MAINTENANCE	1,042.00	234.00	77.5	12,500.00	7,164.51	42.6
01-60-79-77903	B&G CONTRACTS	364.00	300.57	17.4	4,370.00	1,365.80	68.7
01-60-79-77905	B&G REPAIRS	1,125.00	0.00	100.0	13,501.00	1,936.24	85.6
01-60-79-77907	B & G BUILDING SUPPLIES	1,035.00	874.10	15.5	12,425.00	4,237.24	65.9
01-60-79-77911	LANDSCAPING	3,222.00	0.00	100.0	29,000.00	16,817.00	42.0
<b>TOTAL BUILDING &amp; GROUNDS</b>							
		6,788.00	1,408.67	79.2	71,796.00	31,520.79	56.1
<b>CAPITAL OUTLAY</b>							
01-60-80-88001	EQUIPMENT	1,542.00	0.00	100.0	18,500.00	2,284.08	87.6

VILLAGE OF ROUND LAKE  
 DETAILED REVENUE & EXPENSE REPORT  
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE  
 FOR 6 PERIODS ENDING OCTOBER 31, 2013

FUND: GENERAL FUND

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
<b>PUBLIC WORKS</b>							
<b>EXPENSES</b>							
<b>CAPITAL OUTLAY</b>							
01-60-80-88002	SAFETY EQUIPMENT	43.00	0.00	100.0	516.00	0.00	100.0
01-60-80-88004	VEHICLES	4,271.00	0.00	100.0	51,250.00	32,400.00	36.7
01-60-80-88018	OFFICE EQUIPMENT	24.00	65.43	(172.6)	289.00	515.17	(78.2)
01-60-80-88024	VEHICLE EQUIPMENT	2,333.00	0.00	100.0	28,000.00	816.99	97.0
<b>TOTAL CAPITAL OUTLAY</b>							
		8,213.00	65.43	99.2	98,555.00	36,016.24	63.4
<b>UTILITIES</b>							
01-60-82-88202	TELEPHONE SERVICE	182.00	379.78	(108.6)	2,184.00	1,209.94	44.6
01-60-82-88204	CELLULAR SERVICE	179.00	211.62	(18.2)	2,142.00	1,136.22	46.9
01-60-82-88206	ELECTRICAL SERVICE	83.00	41.10	50.4	1,000.00	274.20	72.5
01-60-82-88208	HEATING	0.00	0.00	0.0	500.00	0.00	100.0
01-60-82-88216	STREET LIGHTS - ELECTRICAL	7,500.00	13,357.29	(78.1)	90,000.00	46,924.41	47.8
<b>TOTAL UTILITIES</b>							
		7,944.00	13,989.79	(76.1)	95,826.00	49,544.77	48.3
<b>VEHICLES &amp; EQUIPMENT</b>							
01-60-84-88402	GAS & OIL	2,058.00	2,410.33	(17.1)	24,696.00	9,132.75	63.0
01-60-84-88404	VEHICLE REPAIRS	1,673.00	2,444.84	(46.1)	20,075.00	6,563.13	67.3
01-60-84-88405	EQUIPMENT REPAIRS	1,131.00	160.41	85.8	13,571.00	5,031.33	62.9
01-60-84-88406	VEHICLE MAINTENANCE	273.00	89.08	67.3	3,280.00	233.08	92.8
01-60-84-88412	EQUIPMENT RENTAL	125.00	135.00	(8.0)	1,500.00	495.40	66.9
<b>TOTAL VEHICLES &amp; EQUIPMENT</b>							
		5,260.00	5,239.66	0.3	63,122.00	21,455.69	66.0
<b>TECHNOLOGY</b>							
01-60-91-99105	NETWORK REPAIRS	133.00	467.54	(251.5)	1,596.00	837.67	47.5
<b>TOTAL TECHNOLOGY</b>							
		133.00	467.54	(251.5)	1,596.00	837.67	47.5
<b>INFRASTRUCTURE MAINTENANCE</b>							
01-60-92-99210	STREET LIGHT REPAIRS	1,506.00	1,089.92	27.6	18,076.00	9,566.04	47.0
01-60-92-99214	STORM SEWER MAINTENANCE	333.00	10.00	97.0	4,000.00	4,637.11	(15.9)
<b>TOTAL INFRASTRUCTURE MAINTENANCE</b>							
		1,839.00	1,099.92	40.1	22,076.00	14,203.15	35.6
<b>TOTAL EXPENSES: PUBLIC WORKS</b>							
		83,358.50	80,219.25	3.7	917,829.00	372,896.00	59.3
<b>BUILDING DEPARTMENT EXPENSES</b>							
01-70-70-67001	REGULAR SALARIES	12,385.00	12,287.32	0.7	161,000.00	79,145.00	50.8

VILLAGE OF ROUND LAKE  
 DETAILED REVENUE & EXPENSE REPORT  
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE  
 FOR 6 PERIODS ENDING OCTOBER 31, 2013

FUND: GENERAL FUND

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI- ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI- ANCE
BUILDING DEPARTMENT							
EXPENSES							
01-70-70-67031	OVERTIME	54.00	0.00	100.0	705.00	81.51	88.4
TOTAL PAYROLL EXPENSES		12,439.00	12,287.32	1.2	161,705.00	79,226.51	51.0
TAXES, PENSIONS, & INSURANCE							
01-70-71-67101	IMRF	1,485.00	1,467.12	1.2	19,300.00	9,459.73	50.9
01-70-71-67107	DENTAL INSURANCE	167.00	342.52	(105.1)	2,000.00	930.44	53.4
01-70-71-67108	VISION INSURANCE	19.00	18.08	4.8	225.00	108.48	51.7
01-70-71-67109	LIFE INSURANCE	13.00	27.18	(109.0)	160.00	77.94	51.2
01-70-71-67110	HEALTH INSURANCE	1,958.00	6,991.04	(257.0)	23,500.00	13,883.48	40.9
01-70-71-67111	SOCIAL SECURITY	769.00	725.78	5.6	10,000.00	4,691.62	53.0
01-70-71-67112	MEDICARE	177.00	169.76	4.0	2,300.00	1,097.33	52.2
TOTAL TAXES, PENSIONS, & INSURANCE		4,588.00	9,741.48	(112.3)	57,485.00	30,249.02	47.3
PERSONNEL RELATED							
01-70-72-67202	UNIFORMS	26.00	0.00	100.0	310.00	0.00	100.0
01-70-72-67204	DUES & MEMBERSHIPS	15.00	0.00	100.0	175.00	0.00	100.0
01-70-72-67208	MEETINGS, TRAVEL, & TRAINING	106.00	0.00	100.0	1,270.00	226.52	82.1
TOTAL PERSONNEL RELATED		147.00	0.00	100.0	1,755.00	226.52	87.0
PROFESSIONAL SERVICES							
01-70-73-77305	BUILDING INSPECTION SERVICES	57.00	301.00	(428.0)	688.00	344.00	50.0
01-70-73-77307	ENGINEERING EXPENSES	380.00	523.48	(37.7)	4,558.00	3,710.90	18.5
01-70-73-77310	PLAN REVIEWS	100.00	0.00	100.0	1,200.00	0.00	100.0
01-70-73-77313	LEGAL SERVICES	265.00	0.00	100.0	3,176.00	0.00	100.0
01-70-73-77321	PLUMBING INSPECTOR	941.00	1,050.00	(11.5)	11,286.00	6,600.00	41.5
TOTAL PROFESSIONAL SERVICES		1,743.00	1,874.48	(7.5)	20,908.00	10,654.90	49.0
COMMODITIES							
01-70-74-77430	OFFICE SUPPLIES	127.00	0.00	100.0	1,521.00	0.00	100.0
01-70-74-77432	POSTAGE EXPENSE	35.00	0.00	100.0	416.00	110.84	73.3
01-70-74-77440	PRINTING	12.00	0.00	100.0	139.00	45.00	67.6
TOTAL COMMODITIES		174.00	0.00	100.0	2,076.00	155.84	92.4
CONTRACTUAL SERVICES							
01-70-75-77511	PUBLICATIONS & SUBSCRIPTIONS	8.00	0.00	100.0	100.00	0.00	100.0
01-70-75-77537	LEGAL NOTICES/RECORDING FEES	8.00	0.00	100.0	100.00	0.00	100.0
TOTAL CONTRACTUAL SERVICES		16.00	0.00	100.0	200.00	0.00	100.0

VILLAGE OF ROUND LAKE  
 DETAILED REVENUE & EXPENSE REPORT  
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE  
 FOR 6 PERIODS ENDING OCTOBER 31, 2013

FUND: GENERAL FUND

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI- ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI- ANCE
<b>BUILDING DEPARTMENT</b>							
<b>EXPENSES</b>							
<b>UTILITIES</b>							
01-70-82-88202	TELEPHONE SERVICE	93.00	244.33	(162.7)	1,118.00	726.96	34.9
01-70-82-88204	CELLULAR SERVICE	120.00	108.79	9.3	1,441.00	651.60	54.7
<b>TOTAL UTILITIES</b>		213.00	353.12	(65.7)	2,559.00	1,378.56	46.1
<b>VEHICLES &amp; EQUIPMENT</b>							
01-70-84-88402	GAS & OIL	377.00	306.32	18.7	4,520.00	2,146.41	52.5
01-70-84-88405	VEHICLE REPAIRS	96.00	175.44	(82.7)	1,153.00	947.67	17.8
01-70-84-88406	VEHICLE MAINTENANCE	27.00	0.00	100.0	323.00	89.90	72.1
<b>TOTAL VEHICLES &amp; EQUIPMENT</b>		500.00	481.76	3.6	5,996.00	3,183.98	46.9
<b>TECHNOLOGY</b>							
01-70-91-99105	NETWORK REPAIRS	63.00	295.79	(369.5)	750.00	295.79	60.5
01-70-91-99107	IT MAINTENANCE SERVICES	0.00	0.00	0.0	757.00	0.00	100.0
<b>TOTAL TECHNOLOGY</b>		63.00	295.79	(369.5)	1,507.00	295.79	80.3
<b>TOTAL EXPENSES: BUILDING DEPARTMENT</b>		19,883.00	25,033.95	(25.9)	254,191.00	125,371.12	50.6
<b>OTHER FINANCING USES</b>							
<b>EXPENSES</b>							
<b>TRANSFERS OUT</b>							
01-80-96-99610	CONTRIBUTION TO MFT	33,333.33	33,333.33	0.0	400,000.00	199,999.98	50.0
01-80-96-99626	TRANSFER TO 2010 DEBT SERVICE	0.00	0.00	0.0	350,000.00	175,000.00	50.0
01-80-96-99635	CONTRIBUTION TO CIP FUND	58,333.00	58,333.33	0.0	700,000.00	349,999.98	50.0
01-80-96-99660	CONTR. TO VEHICLE REPLACEMENT	13,278.00	13,278.00	0.0	159,336.00	79,668.00	50.0
01-80-96-99661	CONTR. TO TECHNOLOGY REPLAC.	5,075.00	5,075.00	0.0	60,900.00	30,450.00	50.0
01-80-96-99662	CONTR. TO BUILDING REPLACEMENT	5,912.00	5,912.08	0.0	70,945.00	35,472.48	50.0
<b>TOTAL TRANSFERS OUT</b>		115,931.33	115,931.74	0.0	1,741,181.00	870,590.44	50.0
<b>TOTAL EXPENSES: OTHER FINANCING USES</b>		115,931.33	115,931.74	0.0	1,741,181.00	870,590.44	50.0
<b>TOTAL FUND REVENUES</b>							
<b>TOTAL FUND EXPENSES</b>							
<b>FUND SURPLUS (DEFICIT)</b>							
		294,511.00	329,892.90	12.0	6,749,011.00	5,046,331.14	(25.2)
		591,027.83	669,357.99	(13.2)	7,716,372.00	3,663,074.77	52.5
		(296,516.83)	(339,465.09)	14.4	(967,361.00)	1,383,256.37	(242.9)

FUND: MOTOR FUEL TAX FUND

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
<b>REVENUES</b>							
<b>INTERGOVERNMENTAL</b>							
10-05-52-55211	MFT SPECIAL ALLOTMENT	0.00	47,708.00	100.0	47,881.00	47,708.00	(0.3)
10-05-52-55213	MOTOR FUEL TAX	33,273.00	31,101.41	(6.5)	429,792.00	222,389.81	(48.2)
<b>TOTAL INTERGOVERNMENTAL</b>		33,273.00	78,809.41	136.8	477,673.00	270,097.81	(43.4)
<b>CONTRIBUTIONS</b>							
10-05-58-55845	CONTRIBUTION FROM GENERAL FUND	33,333.00	33,333.66	0.0	400,000.00	199,999.98	(50.0)
<b>TOTAL CONTRIBUTIONS</b>		33,333.00	33,333.66	0.0	400,000.00	199,999.98	(50.0)
<b>GRANTS</b>							
10-05-62-56201	CDBG REVENUES	0.00	0.00	0.0	92,369.00	0.00	100.0
<b>TOTAL GRANTS</b>		0.00	0.00	0.0	92,369.00	0.00	100.0
<b>INVESTMENT INCOME</b>							
10-05-64-56401	INTEREST INCOME	192.00	25.21	(86.8)	2,299.00	91.17	(96.0)
<b>TOTAL INVESTMENT INCOME</b>		192.00	25.21	(86.8)	2,299.00	91.17	(96.0)
<b>REIMBURSEMENTS</b>							
10-05-65-56526	HART ROAD CONTR. ENGINEERING	14,957.00	0.00	100.0	179,480.00	0.00	100.0
<b>TOTAL REIMBURSEMENTS</b>		14,957.00	0.00	100.0	179,480.00	0.00	100.0
<b>TOTAL REVENUES: REVENUES</b>		81,755.00	112,168.28	37.2	1,151,821.00	470,188.96	(59.1)
<b>PUBLIC WORKS EXPENSES</b>							
<b>PROFESSIONAL SERVICES</b>							
10-60-73-77307	ENGINEERING EXPENSES	83.00	0.00	100.0	1,000.00	0.00	100.0
<b>TOTAL PROFESSIONAL SERVICES</b>		83.00	0.00	100.0	1,000.00	0.00	100.0
<b>COMMODITIES</b>							
10-60-74-77414	GRAVEL EXPENSE	417.00	590.65	(41.6)	5,000.00	590.65	88.1
10-60-74-77418	ICE CONTROL	250.00	0.00	100.0	3,000.00	0.00	100.0
10-60-74-77436	PATCHING	4,116.00	316.25	92.3	49,390.00	5,658.60	88.5
10-60-74-77438	PAVEMENT MARKING	958.00	0.00	100.0	11,500.00	0.00	100.0
<b>TOTAL COMMODITIES</b>		5,741.00	906.90	84.2	68,890.00	6,249.25	90.9

FUND: MOTOR FUEL TAX FUND

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI- ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI- ANCE
PUBLIC WORKS							
EXPENSES							
ADMINISTRATIVE CHARGES							
10-60-78-77802	LABOR / EQUIPMENT REIMB. TO GF	2,083.00	2,083.33	0.0	25,000.00	12,499.98	50.0
TOTAL ADMINISTRATIVE CHARGES		2,083.00	2,083.33	0.0	25,000.00	12,499.98	50.0
ROADWAY IMPROVEMENTS							
10-60-83-88301	ROADWAY IMPROVEMENTS	125,750.00	33,927.21	73.0	1,508,996.00	280,286.76	81.4
TOTAL ROADWAY IMPROVEMENTS		125,750.00	33,927.21	73.0	1,508,996.00	280,286.76	81.4
OTHER ENHANCEMENTS							
10-60-88-88802	SIDEWALKS	1,065.00	0.00	100.0	12,780.00	104.00	99.1
TOTAL OTHER ENHANCEMENTS		1,065.00	0.00	100.0	12,780.00	104.00	99.1
TOTAL EXPENSES: PUBLIC WORKS		134,722.00	36,917.44	72.6	1,616,666.00	299,139.99	81.5
TOTAL FUND REVENUES							
TOTAL FUND EXPENSES		81,755.00	112,168.28	37.2	1,151,821.00	470,188.96	(59.1)
FUND SURPLUS (DEFICIT)		134,722.00	36,917.44	72.6	1,616,666.00	299,139.99	81.5
		(52,967.00)	75,250.84	(242.0)	(464,845.00)	171,048.97	(136.8)

VILLAGE OF ROUND LAKE  
 DETAILED REVENUE & EXPENSE REPORT  
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE  
 FOR 6 PERIODS ENDING OCTOBER 31, 2013

FUND: SSA #1 BRIGHT MEADOWS

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
REVENUES							
TAXES							
16-05-50-55001	REAL ESTATE TAXES	363.00	213.16	(41.2)	23,730.00	23,779.25	0.2
TOTAL TAXES		363.00	213.16	(41.2)	23,730.00	23,779.25	0.2
INVESTMENT INCOME							
16-05-64-56401	INTEREST INCOME	22.33	5.29	(76.3)	268.00	29.76	(88.9)
TOTAL INVESTMENT INCOME		22.33	5.29	(76.3)	268.00	29.76	(88.9)
TOTAL REVENUES: REVENUES		385.33	218.45	(43.3)	23,998.00	23,809.01	(0.7)
ADMINISTRATION EXPENSES							
PROFESSIONAL SERVICES							
16-20-73-77313	LEGAL SERVICES	41.67	0.00	100.0	500.00	0.00	100.0
TOTAL PROFESSIONAL SERVICES		41.67	0.00	100.0	500.00	0.00	100.0
MISCELLANEOUS EXPENSE							
16-20-77-77706	MISCELLANEOUS EXPENSE	2.08	0.00	100.0	25.00	3.00	88.0
TOTAL MISCELLANEOUS EXPENSE		2.08	0.00	100.0	25.00	3.00	88.0
BUILDING & GROUNDS							
16-20-79-77911	LANDSCAPING	2,791.00	0.00	100.0	25,116.00	6,710.41	73.2
TOTAL BUILDING & GROUNDS		2,791.00	0.00	100.0	25,116.00	6,710.41	73.2
TOTAL EXPENSES: ADMINISTRATION		2,834.75	0.00	100.0	25,641.00	6,713.41	73.8
TOTAL FUND REVENUES		385.33	218.45	(43.3)	23,998.00	23,809.01	(0.7)
TOTAL FUND EXPENSES		2,834.75	0.00	100.0	25,641.00	6,713.41	73.8
FUND SURPLUS (DEFICIT)		(2,449.42)	218.45	(108.9)	(1,643.00)	17,095.60	(1140.5)

VILLAGE OF ROUND LAKE  
 DETAILED REVENUE & EXPENSE REPORT  
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE  
 FOR 6 PERIODS ENDING OCTOBER 31, 2013

FUND: 2005 DEBT SERVICE FUND

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI- ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI- ANCE
REVENUES							
REVENUES							
TAXES							
24-05-50-55007	UTILITY TAX TELEPHONE	24,457.00	21,608.89	(11.6)	287,000.00	136,995.86	(52.2)
TOTAL TAXES		24,457.00	21,608.89	(11.6)	287,000.00	136,995.86	(52.2)
INVESTMENT INCOME							
24-05-64-56401	INTEREST INCOME	4.00	3.26	(18.5)	51.00	7.45	(85.3)
TOTAL INVESTMENT INCOME		4.00	3.26	(18.5)	51.00	7.45	(85.3)
TOTAL REVENUES: REVENUES		24,461.00	21,612.15	(11.6)	287,051.00	137,003.31	(52.2)
ADMINISTRATION EXPENSES							
DEBT SERVICE							
24-20-94-99426	2005 REFUNDING BONDS INT.	0.00	0.00	0.0	49,516.00	24,757.50	50.0
24-20-94-99428	2005 REFUNDING BONDS PRINCIPAL	0.00	0.00	0.0	225,000.00	0.00	100.0
24-20-94-99432	BOND ADMIN & DISCLOSURE FEES	18.00	0.00	100.0	750.00	0.00	100.0
TOTAL DEBT SERVICE		18.00	0.00	100.0	275,266.00	24,757.50	91.0
TOTAL EXPENSES: ADMINISTRATION		18.00	0.00	100.0	275,266.00	24,757.50	91.0
TOTAL FUND REVENUES							
TOTAL FUND EXPENSES							
FUND SURPLUS (DEFICIT)							
		24,461.00	21,612.15	(11.6)	287,051.00	137,003.31	(52.2)
		18.00	0.00	100.0	275,266.00	24,757.50	91.0
		24,443.00	21,612.15	(11.5)	11,785.00	112,245.81	852.4

FUND: 2010 DEBT SERVICE FUND

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
<b>REVENUES</b>							
<b>TAXES</b>							
26-05-50-55003	UTILITY TAX ELECTRIC	1,135.00	1,164.75	2.6	12,000.00	5,615.55	(53.2)
26-05-50-55005	UTILITY TAX - GAS	10,932.00	10,589.42	(3.1)	107,500.00	35,492.68	(66.9)
26-05-50-55007	UTILITY TAX TELEPHONE	5,369.00	4,743.41	(11.6)	63,000.00	30,072.26	(52.2)
TOTAL TAXES		17,436.00	16,497.58	(5.3)	182,500.00	71,180.49	(61.0)
<b>INVESTMENT INCOME</b>							
26-05-64-56401	INTEREST INCOME	6.00	1.20	(80.0)	72.00	14.30	(80.1)
TOTAL INVESTMENT INCOME		6.00	1.20	(80.0)	72.00	14.30	(80.1)
<b>TRANSFERS IN</b>							
26-05-68-56801	TRANSFERS FROM GENERAL	0.00	0.00	0.0	350,000.00	175,000.00	(50.0)
TOTAL TRANSFERS IN		0.00	0.00	0.0	350,000.00	175,000.00	(50.0)
TOTAL REVENUES: REVENUES		17,442.00	16,498.78	(5.4)	532,572.00	246,194.79	(53.7)
<b>ADMINISTRATION EXPENSES</b>							
<b>DEBT SERVICE</b>							
26-20-94-99432	BOND ADMIN & DISCLOSURE FEES	5.00	0.00	100.0	1,100.00	0.00	100.0
26-20-94-99460	2010A BOND INTEREST	0.00	0.00	0.0	390,975.00	195,487.50	50.0
26-20-94-99464	2010B BOND INTEREST	0.00	0.00	0.0	28,220.00	14,110.00	50.0
26-20-94-99466	2010B BOND DEBT PRINCIPAL	0.00	0.00	0.0	110,000.00	0.00	100.0
TOTAL DEBT SERVICE		5.00	0.00	100.0	530,295.00	209,597.50	60.4
TOTAL EXPENSES: ADMINISTRATION		5.00	0.00	100.0	530,295.00	209,597.50	60.4
TOTAL FUND REVENUES		17,442.00	16,498.78	(5.4)	532,572.00	246,194.79	(53.7)
TOTAL FUND EXPENSES		5.00	0.00	100.0	530,295.00	209,597.50	60.4
FUND SURPLUS (DEFICIT)		17,437.00	16,498.78	(5.3)	2,277.00	36,597.29	1507.2

VILLAGE OF ROUND LAKE  
 DETAILED REVENUE & EXPENSE REPORT  
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE  
 FOR 6 PERIODS ENDING OCTOBER 31, 2013

FUND: 2011 DEBT SERVICE FUND

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI- ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI- ANCE
<b>REVENUES</b>							
<b>TAXES</b>							
28-05-50-55003	UTILITY TAX ELECTRIC	36,685.00	37,660.24	2.6	388,000.00	181,569.15	(53.2)
TOTAL TAXES		36,685.00	37,660.24	2.6	388,000.00	181,569.15	(53.2)
<b>INVESTMENT INCOME</b>							
28-05-64-56401	INTEREST INCOME	10.00	5.86	(41.4)	123.00	17.83	(85.5)
TOTAL INVESTMENT INCOME		10.00	5.86	(41.4)	123.00	17.83	(85.5)
TOTAL REVENUES: REVENUES		36,695.00	37,666.10	2.6	388,123.00	181,586.98	(53.2)
<b>ADMINISTRATION EXPENSES</b>							
28-20-82-88218	SENIOR CITIZEN REBATE	0.00	0.00	0.0	7,500.00	50.00	99.3
TOTAL UTILITIES		0.00	0.00	0.0	7,500.00	50.00	99.3
<b>DEBT SERVICE</b>							
28-20-94-99432	BOND ADMIN & DISCLOSURE FEES	45.00	0.00	100.0	1,000.00	0.00	100.0
28-20-94-99470	2011 BONDS PRINCIPAL	0.00	0.00	0.0	285,000.00	0.00	100.0
28-20-94-99472	2011 BONDS INTEREST	0.00	0.00	0.0	93,850.00	46,925.00	50.0
TOTAL DEBT SERVICE		45.00	0.00	100.0	379,850.00	46,925.00	87.6
TOTAL EXPENSES: ADMINISTRATION		45.00	0.00	100.0	387,350.00	46,975.00	87.8
<b>TOTAL FUND REVENUES</b>							
<b>TOTAL FUND EXPENSES</b>							
<b>FUND SURPLUS (DEFICIT)</b>							
		36,695.00	37,666.10	2.6	388,123.00	181,586.98	(53.2)
		45.00	0.00	100.0	387,350.00	46,975.00	87.8
		36,650.00	37,666.10	2.7	773.00	134,611.98	7314.2

VILLAGE OF ROUND LAKE  
 DETAILED REVENUE & EXPENSE REPORT  
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE  
 FOR 6 PERIODS ENDING OCTOBER 31, 2013

FUND: CAPITAL PROJECTS FUND

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI- ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI- ANCE
<b>REVENUES</b>							
<b>TAXES</b>							
35-05-50-55005	UTILITY TAX - GAS	10,932.00	10,589.42	(3.1)	107,500.00	35,492.68	(66.9)
<b>TOTAL TAXES</b>		10,932.00	10,589.42	(3.1)	107,500.00	35,492.68	(66.9)
<b>CONTRIBUTIONS</b>							
35-05-58-55845	CONTRIBUTION FROM GENERAL FUND	58,333.00	58,333.33	0.0	700,000.00	349,999.98	(50.0)
<b>TOTAL CONTRIBUTIONS</b>		58,333.00	58,333.33	0.0	700,000.00	349,999.98	(50.0)
<b>GRANTS</b>							
35-05-62-56201	CDBG REVENUES	0.00	0.00	0.0	100,000.00	0.00	100.0
35-05-62-56202	WATERSHED MGMT BOARD GRANT	1,725.00	20,700.00	1100.0	20,700.00	20,700.00	0.0
<b>TOTAL GRANTS</b>		1,725.00	20,700.00	1100.0	120,700.00	20,700.00	(82.8)
<b>IMPACT FEES</b>							
35-05-63-56303	DEVELOPER IMPACT FEES	0.00	32,148.00	100.0	0.00	53,580.00	100.0
<b>TOTAL IMPACT FEES</b>		0.00	32,148.00	100.0	0.00	53,580.00	100.0
<b>INVESTMENT INCOME</b>							
35-05-64-56401	INTEREST INCOME	205.00	116.30	(43.2)	2,460.00	1,669.04	(32.1)
<b>TOTAL INVESTMENT INCOME</b>		205.00	116.30	(43.2)	2,460.00	1,669.04	(32.1)
<b>REIMBURSEMENTS</b>							
35-05-65-56508	INSURANCE REIMB.	0.00	0.00	0.0	0.00	0.00	0.0
35-05-65-56524	MCGILLIS BRIDGE REIMB.	8,587.00	0.00	100.0	103,041.00	1,513.05	(98.5)
<b>TOTAL REIMBURSEMENTS</b>		8,587.00	0.00	100.0	103,041.00	1,513.05	(98.5)
<b>MISCELLANEOUS REVENUE</b>							
35-05-66-56601	MISCELLANEOUS RECEIPTS	0.00	4,714.00	100.0	0.00	4,714.00	100.0
<b>TOTAL MISCELLANEOUS REVENUE</b>		0.00	4,714.00	100.0	0.00	4,714.00	100.0
<b>TOTAL REVENUES: REVENUES</b>		79,782.00	126,601.05	58.6	1,033,701.00	467,668.75	(54.7)
<b>ADMINISTRATION EXPENSES</b>							
35-20-73-77307	ENGINEERING EXPENSES	22,396.00	23,863.74	(6.5)	268,746.00	71,132.70	73.5

FUND: CAPITAL PROJECTS FUND

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
ADMINISTRATION							
EXPENSES							
PROFESSIONAL SERVICES							
35-20-73-77313	LEGAL SERVICES	42.00	0.00	100.0	500.00	0.00	100.0
TOTAL PROFESSIONAL SERVICES		22,438.00	23,863.74	(6.3)	269,246.00	71,132.70	73.5
CAPITAL OUTLAY							
OTHER EQUIPMENT							
35-20-80-88001	OTHER EQUIPMENT	0.00	0.00	0.0	0.00	1,715.10	100.0
35-20-80-88028	URBAN FOREST MANAGEMENT	0.00	488.75	100.0	0.00	488.75	100.0
TOTAL CAPITAL OUTLAY		0.00	488.75	100.0	0.00	2,203.85	100.0
ROADWAY IMPROVEMENTS							
35-20-83-88301	ROADWAY IMPROVEMENTS	51,575.00	213,598.27	(314.1)	618,900.00	214,333.81	65.3
TOTAL ROADWAY IMPROVEMENTS		51,575.00	213,598.27	(314.1)	618,900.00	214,333.81	65.3
OTHER ENHANCEMENTS							
35-20-88-88601	LAND / LAND IMPROVEMENTS	4,167.00	0.00	100.0	50,000.00	0.00	100.0
35-20-88-88801	OTHER ENHANCEMENTS	19,400.00	0.00	100.0	232,802.00	5,514.66	97.6
TOTAL OTHER ENHANCEMENTS		23,567.00	0.00	100.0	282,802.00	5,514.66	98.0
TOTAL EXPENSES: ADMINISTRATION		97,580.00	237,950.76	(143.8)	1,170,948.00	293,185.02	74.9
TOTAL FUND REVENUES							
TOTAL FUND EXPENSES							
FUND SURPLUS (DEFICIT)							
TOTAL FUND REVENUES		79,782.00	126,601.05	58.6	1,033,701.00	467,668.75	(54.7)
TOTAL FUND EXPENSES		97,580.00	237,950.76	(143.8)	1,170,948.00	293,185.02	74.9
FUND SURPLUS (DEFICIT)		(17,798.00)	(111,349.71)	525.6	(137,247.00)	174,483.73	(227.1)

VILLAGE OF ROUND LAKE  
 DETAILED REVENUE & EXPENSE REPORT  
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE  
 FOR 6 PERIODS ENDING OCTOBER 31, 2013

FUND: WATER/SEWER FUND

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
<b>REVENUES</b>							
<b>LICENSES &amp; PERMITS</b>							
50-05-54-59411	WATER SEWER PERMITS	0.00	65,700.00	100.0	0.00	109,500.00	100.0
<b>TOTAL LICENSES &amp; PERMITS</b>		0.00	65,700.00	100.0	0.00	109,500.00	100.0
<b>CHARGES FOR SERVICES</b>							
50-05-56-55603	METER ETC. FOR RESALE	833.00	7,276.00	773.4	10,000.00	11,964.00	19.6
50-05-56-55604	LRSD USER FEES	1,042.00	1,234.02	18.4	12,500.00	7,168.57	(42.6)
50-05-56-55606	LRSD CONNECTION FEES	0.00	20,397.72	100.0	0.00	40,707.06	100.0
50-05-56-55623	WATER LIEN REVENUE	0.00	0.00	0.0	0.00	0.00	0.0
50-05-56-55627	W/S MAINTENANCE FEE	145,457.00	150,879.63	3.7	1,693,522.00	857,085.47	(49.3)
50-05-56-55629	WATER FEES	82,941.00	84,121.63	1.4	915,011.00	472,177.64	(48.4)
50-05-56-55631	SEWER FEES	96,646.00	90,071.56	(6.8)	1,127,211.00	548,753.55	(51.3)
50-05-56-55637	WATER SEWER PENALTIES	5,667.00	6,816.03	20.2	68,000.00	34,002.71	(50.0)
<b>TOTAL CHARGES FOR SERVICES</b>		332,586.00	360,796.59	8.4	3,826,244.00	1,971,859.00	(48.4)
<b>INVESTMENT INCOME</b>							
50-05-64-56401	INTEREST INCOME	1,571.00	378.90	(75.8)	18,857.00	31,242.63	65.6
<b>TOTAL INVESTMENT INCOME</b>		1,571.00	378.90	(75.8)	18,857.00	31,242.63	65.6
<b>REIMBURSEMENTS</b>							
50-05-65-56508	INSURANCE REIMBURSEMENT	0.00	0.00	0.0	0.00	0.00	0.0
<b>TOTAL REIMBURSEMENTS</b>		0.00	0.00	0.0	0.00	0.00	0.0
<b>MISCELLANEOUS REVENUES</b>							
50-05-66-56601	MISCELLANEOUS RECEIPTS	125.00	58.50	(53.2)	1,500.00	632.19	(57.8)
<b>TOTAL MISCELLANEOUS REVENUES</b>		125.00	58.50	(53.2)	1,500.00	632.19	(57.8)
<b>TOTAL REVENUES: REVENUES</b>		334,282.00	426,933.99	27.7	3,846,601.00	2,113,233.82	(45.0)
<b>PUBLIC WORKS EXPENSES</b>							
<b>PAYROLL EXPENSES</b>							
50-60-70-67001	REGULAR SALARIES	30,423.00	30,041.52	1.2	395,500.00	202,361.01	48.8
50-60-70-67021	PART-TIME SALARIES	1,020.00	889.58	12.7	13,264.00	4,311.98	67.4
50-60-70-67026	SEASONAL	787.00	0.00	100.0	10,228.00	0.00	100.0
50-60-70-67031	OVERTIME	1,000.00	539.17	46.0	20,000.00	3,930.51	80.3
50-60-70-67036	TRANSPORTATION ALLOWANCE	41.00	0.00	100.0	490.00	198.15	59.5
<b>TOTAL PAYROLL EXPENSES</b>		33,271.00	31,470.27	5.4	439,482.00	210,801.65	52.0

VILLAGE OF ROUND LAKE  
 DETAILED REVENUE & EXPENSE REPORT  
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE  
 FOR 6 PERIODS ENDING OCTOBER 31, 2013

FUND: WATER/SEWER FUND

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
<b>PUBLIC WORKS</b>							
<b>EXPENSES</b>							
TAXES, PENSIONS & INSURANCE							
50-60-71-67101	IMRF	3,827.00	3,651.34	4.5	49,750.00	24,631.06	50.4
50-60-71-67107	DENTAL INSURANCE	379.00	709.06	(87.0)	4,550.00	1,873.88	58.8
50-60-71-67108	VISION INSURANCE	40.00	35.05	12.3	475.00	214.04	54.9
50-60-71-67109	LIFE INSURANCE	31.00	53.10	(71.2)	375.00	166.27	55.6
50-60-71-67110	HEALTH INSURANCE	4,417.00	13,367.16	(202.6)	53,000.00	28,401.74	46.4
50-60-71-67111	SOCIAL SECURITY	2,096.00	1,877.92	10.4	27,250.00	12,538.01	53.9
50-60-71-67112	MEDICARE	490.00	439.13	10.3	6,375.00	2,951.63	53.7
TOTAL TAXES, PENSIONS & INSURANCE		11,280.00	20,132.76	(78.4)	141,775.00	70,776.63	50.0
<b>PERSONNEL RELATED</b>							
50-60-72-67202	UNIFORMS	176.00	293.55	(66.7)	2,116.00	1,135.04	46.3
50-60-72-67204	DUES & MEMBERSHIPS	42.00	0.00	100.0	503.00	92.00	81.7
50-60-72-67206	MEDICAL/PSYCHOLOGICAL	35.00	128.00	(265.7)	415.00	128.00	69.1
50-60-72-67208	MEETING, TRAVEL, & TRAINING	94.00	75.00	20.2	1,129.00	472.50	58.1
50-60-72-67234	HIRING PROCESS	17.00	0.00	100.0	200.00	57.00	71.5
TOTAL PERSONNEL RELATED		364.00	496.55	(36.4)	4,363.00	1,884.54	56.8
<b>PROFESSIONAL SERVICES</b>							
50-60-73-77301	AUDITING EXPENSE	1,375.00	1,797.00	(30.6)	6,875.00	1,797.00	73.8
50-60-73-77307	ENGINEERING EXPENSES	5,212.00	237.39	95.4	62,541.00	1,467.34	97.6
50-60-73-77313	LEGAL SERVICES	5,542.00	5,857.53	(5.6)	66,500.00	22,763.01	65.7
50-60-73-77320	CONSULTING SERVICES	0.00	487.50	100.0	0.00	4,095.50	100.0
TOTAL PROFESSIONAL SERVICES		12,129.00	8,379.42	30.9	135,916.00	30,122.85	77.8
<b>COMMODITIES</b>							
50-60-74-77428	WATER METERS	833.00	1,407.32	(68.9)	10,000.00	7,141.34	28.5
50-60-74-77430	OFFICE SUPPLIES	167.00	601.94	(260.4)	2,000.00	808.80	59.5
50-60-74-77432	POSTAGE	2,113.00	5,800.00	(174.4)	25,359.00	16,641.41	34.3
TOTAL COMMODITIES		3,113.00	7,809.26	(150.8)	37,359.00	24,591.55	34.1
<b>CONTRACTUAL SERVICES</b>							
50-60-75-77519	INSURANCE PREMIUM	0.00	4,837.68	100.0	39,877.00	7,459.93	81.2
50-60-75-77529	METRA EASEMENTS	0.00	0.00	0.0	1,435.00	0.00	100.0
50-60-75-77535	OUTSOURCING WATER BILLS	2,345.00	2,558.20	(9.0)	28,142.00	9,294.28	66.9
50-60-75-77537	LEGAL NOTICES/RECORDING FEES	45.00	0.00	100.0	1,000.00	0.00	100.0
50-60-75-77545	WATER METER TESTING	103.00	0.00	100.0	1,234.00	0.00	100.0
50-60-75-77547	WATER SAMPLES	583.00	662.50	(13.6)	7,000.00	2,309.50	67.0
TOTAL CONTRACTUAL SERVICES		3,076.00	8,058.38	(161.9)	78,688.00	19,063.71	75.7

VILLAGE OF ROUND LAKE  
 DETAILED REVENUE & EXPENSE REPORT  
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE  
 FOR 6 PERIODS ENDING OCTOBER 31, 2013

FUND: WATER/SEWER FUND

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
<b>PUBLIC WORKS</b>							
<b>EXPENSES</b>							
<b>MISCELLANEOUS EXPENSE</b>							
50-60-77-77740	RLSD GRANT REIMB.	0.00	0.00	0.0	7,260.00	7,260.00	0.0
<b>TOTAL MISCELLANEOUS EXPENSE</b>		0.00	0.00	0.0	7,260.00	7,260.00	0.0
<b>BUILDING &amp; GROUNDS</b>							
50-60-79-77901	B&G MAINTENANCE	278.00	627.76	(125.8)	3,338.00	1,159.67	65.2
50-60-79-77903	B&G CONTRACTS	1,167.00	1,216.26	(4.2)	14,000.00	7,258.29	48.1
50-60-79-77905	B&G REPAIRS	943.00	297.58	68.4	11,313.00	569.84	94.9
50-60-79-77907	B&G SUPPLIES	83.00	546.48	(558.4)	1,000.00	2,091.43	(109.1)
50-60-79-77911	LANDSCAPING	689.00	380.00	44.8	6,200.00	3,056.00	50.7
<b>TOTAL BUILDING &amp; GROUNDS</b>		3,160.00	3,068.08	2.9	35,851.00	14,135.23	60.5
<b>CAPITAL OUTLAY</b>							
50-60-80-88001	EQUIPMENT	6,583.00	30,491.00	(363.1)	79,000.00	31,533.30	60.0
50-60-80-88002	SAFETY EQUIPMENT	134.00	0.00	100.0	1,606.00	0.00	100.0
50-60-80-88004	VEHICLES	20,313.00	0.00	100.0	243,750.00	183,600.00	24.6
50-60-80-88018	OFFICE EQUIPMENT	38.00	65.42	(72.1)	452.00	515.16	(13.9)
<b>TOTAL CAPITAL OUTLAY</b>		27,068.00	30,556.42	(12.8)	324,808.00	215,648.46	33.6
<b>WATER/SEWER IMPROVEMENTS</b>							
50-60-81-88101	WATER/SEWER IMPROVEMENTS	187,404.00	203,702.78	(8.7)	2,248,853.00	443,371.31	80.2
<b>TOTAL WATER/SEWER IMPROVEMENTS</b>		187,404.00	203,702.78	(8.7)	2,248,853.00	443,371.31	80.2
<b>UTILITIES</b>							
50-60-82-88202	TELEPHONE SERVICE	182.00	379.79	(108.6)	2,184.00	1,287.49	41.0
50-60-82-88204	CELLULAR SERVICE	166.00	211.62	(27.4)	1,993.00	1,227.23	38.4
50-60-82-88206	ELECTRICAL SERVICE	4,146.00	6,771.85	(63.3)	49,752.00	20,225.56	59.3
50-60-82-88208	HEATING	451.00	345.98	23.2	5,417.00	1,239.32	77.1
50-60-82-88210	JAWA EXPENSE	94,284.00	89,024.00	5.5	1,040,152.00	532,412.85	48.8
50-60-82-88212	LAKE COUNTY SEWER	96,646.00	100,140.30	(3.6)	1,127,211.00	553,688.46	50.8
<b>TOTAL UTILITIES</b>		195,875.00	196,873.54	(0.5)	2,226,709.00	1,110,080.91	50.1
<b>VEHICLES &amp; EQUIPMENT</b>							
50-60-84-88402	GAS & OIL	1,956.00	2,410.32	(23.2)	23,466.00	9,132.72	61.0
50-60-84-88404	VEHICLE REPAIRS	1,308.00	2,171.39	(66.0)	15,697.00	4,991.07	68.2
50-60-84-88405	EQUIPMENT REPAIRS	532.00	455.45	14.3	6,380.00	5,733.36	10.1
50-60-84-88406	VEHICLE MAINTENANCE	269.00	89.08	66.8	3,222.00	233.08	92.7
50-60-84-88408	EQUIPMENT MAINTENANCE	69.00	293.40	(325.2)	832.00	293.40	64.7

FUND: WATER/SEWER FUND

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
<b>PUBLIC WORKS</b>							
<b>EXPENSES</b>							
<b>VEHICLES &amp; EQUIPMENT</b>							
50-60-84-88410	RADIO READ SYSTEM	182.00	0.00	100.0	2,187.00	0.00	100.0
50-60-84-88412	EQUIPMENT RENTAL	83.00	0.00	100.0	1,000.00	0.00	100.0
<b>TOTAL VEHICLES &amp; EQUIPMENT</b>		4,399.00	5,419.64	(23.2)	52,784.00	20,383.63	61.3
<b>CHARGES FOR SERVICES</b>							
50-60-90-99005	J.U.L.I.E.	0.00	0.00	0.0	1,700.00	0.00	100.0
<b>TOTAL CHARGES FOR SERVICES</b>		0.00	0.00	0.0	1,700.00	0.00	100.0
<b>TECHNOLOGY</b>							
50-60-91-99101	SCADA MAINTENANCE	709.00	0.00	100.0	8,505.00	0.00	100.0
50-60-91-99105	NETWORK REPAIRS	99.00	0.00	100.0	1,183.00	0.00	100.0
50-60-91-99107	IT MAINTENANCE	33.00	0.00	100.0	2,000.00	0.00	100.0
50-60-91-99117	IT EQUIPMENT	832.00	4,880.00	(486.5)	9,988.00	4,880.00	51.1
<b>TOTAL TECHNOLOGY</b>		1,673.00	4,880.00	(191.6)	21,676.00	4,880.00	77.4
<b>INFRASTRUCTURE MAINTENANCE</b>							
50-60-92-99202	REPAIRS TO SEWERS	358.00	0.00	100.0	4,300.00	4,094.50	4.7
50-60-92-99204	REPAIR TO WATER LINES	1,589.00	3,845.63	(142.0)	19,070.00	5,601.82	70.6
50-60-92-99206	REPAIRS PUMPS / TELEMET	2,592.00	0.00	100.0	31,099.00	5,934.00	80.9
50-60-92-99208	REPAIRS TO LIFT STATIONS	634.00	2,076.83	(227.5)	7,611.00	3,318.38	56.4
<b>TOTAL INFRASTRUCTURE MAINTENANCE</b>		5,173.00	5,922.46	(14.4)	62,080.00	18,948.70	69.4
<b>DEBT SERVICE</b>							
50-60-94-99418	2003C BONDS PRINCIPAL	0.00	0.00	0.0	110,000.00	0.00	100.0
50-60-94-99420	2003C BONDS INTEREST	0.00	0.00	0.0	28,283.00	14,141.25	50.0
50-60-94-99432	BOND ADMIN & DISCLOSURE FEES	0.00	0.00	0.0	700.00	0.00	100.0
<b>TOTAL DEBT SERVICE</b>		0.00	0.00	0.0	138,983.00	14,141.25	89.8
<b>TOTAL EXPENSES: PUBLIC WORKS</b>		487,985.00	526,769.56	(7.9)	5,958,287.00	2,206,090.42	62.9
<b>OTHER FINANCING USES</b>							
<b>EXPENSES</b>							
<b>TRANSFERS OUT</b>							
50-80-96-99660	CONTR. TO VEHICLE REPLACEMENT	4,713.00	4,712.66	0.0	56,552.00	28,275.96	50.0
50-80-96-99661	CONTR. TO TECHNOLOGY REPLAC.	1,488.00	1,487.50	0.0	17,850.00	8,925.00	50.0
50-80-96-99662	CONTR. TO BUILDING REPLACEMENT	1,192.00	1,192.41	0.0	14,309.00	7,154.46	50.0
<b>TOTAL TRANSFERS OUT</b>		7,393.00	7,392.57	0.0	88,711.00	44,355.42	50.0

VILLAGE OF ROUND LAKE  
 DETAILED REVENUE & EXPENSE REPORT  
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE  
 FOR 6 PERIODS ENDING OCTOBER 31, 2013

FUND: WATER/SEWER FUND

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI- ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI- ANCE
-----							
TOTAL EXPENSES: OTHER FINANCING USES							
		7,393.00	7,392.57	0.0	88,711.00	44,355.42	50.0
-----							
	TOTAL FUND REVENUES	334,282.00	426,933.99	27.7	3,846,601.00	2,113,233.82	(45.0)
	TOTAL FUND EXPENSES	495,378.00	534,162.13	(7.8)	6,046,998.00	2,250,445.84	62.7
	FUND SURPLUS (DEFICIT)	(161,096.00)	(107,228.14)	(33.4)	(2,200,397.00)	(137,212.02)	(93.7)

VILLAGE OF ROUND LAKE  
 DETAILED REVENUE & EXPENSE REPORT  
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE  
 FOR 6 PERIODS ENDING OCTOBER 31, 2013

FUND: COMMUTER PARKING LOT FUND

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
<b>REVENUES</b>							
<b>REVENUES</b>							
<b>CHARGES FOR SERVICES</b>							
51-05-56-55625	PARKING LOT INCOME	8,365.00	7,759.34	(7.2)	91,000.00	43,565.44	(52.1)
<b>TOTAL CHARGES FOR SERVICES</b>		8,365.00	7,759.34	(7.2)	91,000.00	43,565.44	(52.1)
<b>INVESTMENT INCOME</b>							
51-05-64-56401	INTEREST INCOME	62.00	2.69	(95.6)	739.00	15.27	(97.9)
<b>TOTAL INVESTMENT INCOME</b>		62.00	2.69	(95.6)	739.00	15.27	(97.9)
<b>TOTAL REVENUES: REVENUES</b>		8,427.00	7,762.03	(7.8)	91,739.00	43,580.71	(52.4)
<b>PUBLIC WORKS EXPENSES</b>							
<b>PROFESSIONAL SERVICES</b>							
51-60-73-77307	ENGINEERING EXPENSES	63.00	0.00	100.0	750.00	0.00	100.0
51-60-73-77313	LEGAL SERVICES	42.00	0.00	100.0	500.00	0.00	100.0
<b>TOTAL PROFESSIONAL SERVICES</b>		105.00	0.00	100.0	1,250.00	0.00	100.0
<b>COMMODITIES</b>							
51-60-74-77434	OPERATING SUPPLIES	31.00	0.00	100.0	375.00	0.00	100.0
51-60-74-77440	PRINTING	500.00	500.79	(0.1)	500.00	500.79	(0.1)
51-60-74-77452	STREET SIGNS	42.00	0.00	100.0	500.00	0.00	100.0
<b>TOTAL COMMODITIES</b>		573.00	500.79	12.6	1,375.00	500.79	63.5
<b>CONTRACTUAL SERVICES</b>							
51-60-75-77507	COMMUTER PARKING RENT	0.00	0.00	0.0	4,800.00	0.00	100.0
<b>TOTAL CONTRACTUAL SERVICES</b>		0.00	0.00	0.0	4,800.00	0.00	100.0
<b>MISCELLANEOUS EXPENSES</b>							
51-60-77-77706	MISCELLANEOUS EXPENSE	0.00	0.00	0.0	2,706.00	2,694.99	0.4
<b>TOTAL MISCELLANEOUS EXPENSES</b>		0.00	0.00	0.0	2,706.00	2,694.99	0.4
<b>BUILDING &amp; GROUNDS</b>							
51-60-79-77903	B&G CONTRACTS	0.00	0.00	0.0	14,900.00	0.00	100.0
51-60-79-77905	B&G REPAIRS	63.00	264.00	(319.0)	750.00	793.01	(5.7)
51-60-79-77911	LANDSCAPING	850.00	0.00	100.0	6,800.00	3,381.46	50.2
51-60-79-77915	PARKING LOT MAINTENANCE	439.00	510.00	(16.1)	5,272.00	530.09	89.9
<b>TOTAL BUILDING &amp; GROUNDS</b>		1,352.00	774.00	42.7	27,722.00	4,704.56	83.0

VILLAGE OF ROUND LAKE  
 DETAILED REVENUE & EXPENSE REPORT  
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE  
 FOR 6 PERIODS ENDING OCTOBER 31, 2013

FUND: COMMUTER PARKING LOT FUND

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARIANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARIANCE
PUBLIC WORKS							
EXPENSES							
UTILITIES							
51-60-82-88206	ELECTRICAL SERVICE	370.00	787.73	(112.9)	4,440.00	2,238.01	49.5
TOTAL UTILITIES		370.00	787.73	(112.9)	4,440.00	2,238.01	49.5
TOTAL EXPENSES: PUBLIC WORKS		2,400.00	2,062.52	14.0	42,293.00	10,138.35	76.0
TOTAL FUND REVENUES		8,427.00	7,762.03	(7.8)	91,739.00	43,580.71	(52.4)
TOTAL FUND EXPENSES		2,400.00	2,062.52	14.0	42,293.00	10,138.35	76.0
FUND SURPLUS (DEFICIT)		6,027.00	5,699.51	(5.4)	49,446.00	33,442.36	(32.3)

FUND: VEHICLE REPLACEMENT FUND

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI- ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI- ANCE
REVENUES							
CONTRIBUTIONS							
60-05-58-55845	CONTRIBUTION FROM GENERAL FUND	13,278.00	13,278.00	0.0	159,336.00	79,668.00	(50.0)
60-05-58-55850	CONTRIBUTION FROM WATER/SEWER	4,713.00	4,712.66	0.0	56,552.00	28,275.96	(50.0)
TOTAL CONTRIBUTIONS		17,991.00	17,990.66	0.0	215,888.00	107,943.96	(50.0)
INVESTMENT INCOME							
60-05-64-56401	INTEREST INCOME	20.00	0.91	(95.4)	235.00	6.09	(97.4)
TOTAL INVESTMENT INCOME		20.00	0.91	(95.4)	235.00	6.09	(97.4)
TOTAL REVENUES: REVENUES		18,011.00	17,991.57	(0.1)	216,123.00	107,950.05	(50.0)

POLICE DEPARTMENT

EXPENSES							
CAPITAL OUTLAY							
60-40-80-88004	VEHICLES	0.00	285.00	100.0	72,500.00	70,197.00	3.1
60-40-80-88024	VEHICLE EQUIPMENT	3,000.00	4,543.03	(51.4)	18,000.00	7,392.83	58.9
TOTAL CAPITAL OUTLAY		3,000.00	4,828.03	(60.9)	90,500.00	77,589.83	14.2
TOTAL EXPENSES: POLICE DEPARTMENT		3,000.00	4,828.03	(60.9)	90,500.00	77,589.83	14.2

PUBLIC WORKS

EXPENSES							
CAPITAL OUTLAY							
60-60-80-88004	VEHICLES	5,833.00	0.00	100.0	70,000.00	0.00	100.0
TOTAL CAPITAL OUTLAY		5,833.00	0.00	100.0	70,000.00	0.00	100.0
TOTAL EXPENSES: PUBLIC WORKS		5,833.00	0.00	100.0	70,000.00	0.00	100.0

TOTAL FUND REVENUES

TOTAL FUND REVENUES		18,011.00	17,991.57	(0.1)	216,123.00	107,950.05	(50.0)
TOTAL FUND EXPENSES		8,833.00	4,828.03	45.3	160,500.00	77,589.83	51.6
FUND SURPLUS (DEFICIT)		9,178.00	13,163.54	43.4	55,623.00	30,360.22	(45.4)

FUND: TECHNOLOGY REPLACEMENT

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
REVENUES							
CONTRIBUTIONS							
61-05-58-55845	CONTRIBUTION FROM GENERAL FUND	5,075.00	5,075.00	0.0	60,900.00	30,450.00	(50.0)
61-05-58-55850	CONTRIBUTION FROM WATER/SEWER	1,488.00	1,487.50	0.0	17,850.00	8,925.00	(50.0)
TOTAL CONTRIBUTIONS		6,563.00	6,562.50	0.0	78,750.00	39,375.00	(50.0)
FINES & FORFEITS							
61-05-60-56010	STATE SEIZURES	202.67	0.00	100.0	2,432.00	0.00	100.0
TOTAL FINES & FORFEITS		202.67	0.00	100.0	2,432.00	0.00	100.0
INVESTMENT INCOME							
61-05-64-56401	INTEREST INCOME	12.00	0.74	(93.8)	150.00	3.73	(97.5)
TOTAL INVESTMENT INCOME		12.00	0.74	(93.8)	150.00	3.73	(97.5)
TOTAL REVENUES: REVENUES		6,777.67	6,563.24	(3.1)	81,332.00	39,378.73	(51.5)
ADMINISTRATION EXPENSES							
61-20-91-99117	IT EQUIPMENT	3,044.67	0.00	100.0	36,536.00	0.00	100.0
TOTAL TECHNOLOGY EXPENSES: ADMINISTRATION		3,044.67	0.00	100.0	36,536.00	0.00	100.0
POLICE DEPARTMENT EXPENSES							
61-40-91-99117	IT EQUIPMENT	1,619.00	0.00	100.0	19,432.00	0.00	100.0
TOTAL TECHNOLOGY EXPENSES: POLICE DEPARTMENT		1,619.00	0.00	100.0	19,432.00	0.00	100.0
PUBLIC WORKS EXPENSES							
61-60-91-99117	IT EQUIPMENT	101.00	0.00	100.0	1,216.00	0.00	100.0
TOTAL TECHNOLOGY EXPENSES: PUBLIC WORKS		101.00	0.00	100.0	1,216.00	0.00	100.0

VILLAGE OF ROUND LAKE  
 DETAILED REVENUE & EXPENSE REPORT  
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE  
 FOR 6 PERIODS ENDING OCTOBER 31, 2013

FUND: TECHNOLOGY REPLACEMENT

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
TOTAL FUND REVENUES		6,777.67	6,563.24	(3.1)	81,332.00	39,378.73	(51.5)
TOTAL FUND EXPENSES		4,764.67	0.00	100.0	57,184.00	0.00	100.0
FUND SURPLUS (DEFICIT)		2,013.00	6,563.24	226.0	24,148.00	39,378.73	63.0

FUND: BUILDING REPLACEMENT

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
REVENUES							
CONTRIBUTIONS							
62-05-58-55845	CONTRIBUTION FROM GENERAL FUND	5,912.00	5,912.08	0.0	70,945.00	35,472.48	(50.0)
62-05-58-55850	CONTRIBUTION FROM WATER/SEWER	1,192.00	1,192.41	0.0	14,309.00	7,154.46	(50.0)
TOTAL CONTRIBUTIONS		7,104.00	7,104.49	0.0	85,254.00	42,626.94	(50.0)
INVESTMENT INCOME							
62-05-64-56401	INTEREST INCOME	12.00	0.74	(93.8)	142.00	3.65	(97.4)
TOTAL INVESTMENT INCOME		12.00	0.74	(93.8)	142.00	3.65	(97.4)
TOTAL REVENUES: REVENUES		7,116.00	7,105.23	(0.1)	85,396.00	42,630.59	(50.0)
TOTAL FUND REVENUES							
TOTAL FUND EXPENSES		7,116.00	7,105.23	(0.1)	85,396.00	42,630.59	(50.0)
FUND SURPLUS (DEFICIT)		0.00	0.00	0.0	0.00	0.00	0.0

VILLAGE OF ROUND LAKE  
 DETAILED REVENUE & EXPENSE REPORT  
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE  
 FOR 6 PERIODS ENDING OCTOBER 31, 2013

FUND: POLICE PENSION FUND

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
REVENUES							
TAXES							
70-05-50-55001	REAL ESTATE TAXES	6,492.00	4,366.96	(32.7)	424,248.00	421,302.09	(0.6)
TOTAL TAXES		6,492.00	4,366.96	(32.7)	424,248.00	421,302.09	(0.6)
CONTRIBUTIONS							
70-05-58-55801	POLICE OFFICER CONTRIBUTIONS	12,308.00	12,289.85	(0.1)	160,000.00	80,819.66	(49.4)
TOTAL CONTRIBUTIONS		12,308.00	12,289.85	(0.1)	160,000.00	80,819.66	(49.4)
INVESTMENT INCOME							
70-05-64-56401	INTEREST INCOME	6,250.00	5,042.52	(19.3)	75,000.00	34,775.63	(53.6)
70-05-64-56417	REALIZED GAINS	2,500.00	0.00	100.0	30,000.00	0.00	100.0
70-05-64-56419	UNREALIZED GAINS	0.00	95,287.00	100.0	0.00	405,543.76	100.0
70-05-64-56425	DIVIDEND INCOME	2,167.00	668.90	(69.1)	26,000.00	5,132.39	(80.2)
TOTAL INVESTMENT INCOME		10,917.00	100,998.42	825.1	131,000.00	445,451.78	240.0
MISCELLANEOUS REVENUE							
70-05-66-56601	MISCELLANEOUS INCOME	83.00	0.00	100.0	1,000.00	0.00	100.0
TOTAL MISCELLANEOUS REVENUE		83.00	0.00	100.0	1,000.00	0.00	100.0
TOTAL REVENUES: REVENUES		29,800.00	117,655.23	294.8	716,248.00	947,573.53	32.3
ADMINISTRATION EXPENSES							
PAYROLL EXPENSES							
70-20-70-67050	RETIREMENT BENEFITS	18,905.00	18,903.32	0.0	228,800.00	113,419.92	50.4
70-20-70-67055	DISABILITY BENEFITS	6,995.00	6,988.12	0.1	84,300.00	41,928.72	50.2
70-20-70-67056	SURVIVING SPOUSE	2,458.00	2,457.93	0.0	29,496.00	14,747.58	50.0
70-20-70-67057	REFUND OF CONTRIBUTIONS	417.00	0.00	100.0	5,000.00	0.00	100.0
TOTAL PAYROLL EXPENSES		28,775.00	28,349.37	1.4	347,596.00	170,096.22	51.0
PERSONNEL RELATED							
70-20-72-67204	DUES & MEMBERSHIPS	0.00	775.00	100.0	800.00	775.00	3.1
70-20-72-67206	MEDICAL/PSYCHOLOGICAL	417.00	0.00	100.0	5,000.00	0.00	100.0
70-20-72-67208	MEETINGS, TRAVEL, & TRAINING	250.00	750.00	(200.0)	4,250.00	2,188.69	48.5
TOTAL PERSONNEL RELATED		667.00	1,525.00	(128.6)	10,050.00	2,963.69	70.5
PROFESSIONAL SERVICES							

VILLAGE OF ROUND LAKE  
 DETAILED REVENUE & EXPENSE REPORT  
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE  
 FOR 6 PERIODS ENDING OCTOBER 31, 2013

FUND: POLICE PENSION FUND

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
ADMINISTRATION							
EXPENSES							
PROFESSIONAL SERVICES							
70-20-73-77301	AUDITING EXPENSE	620.00	811.00	(30.8)	3,100.00	811.00	73.8
70-20-73-77313	LEGAL SERVICES	1,250.00	0.00	100.0	18,000.00	2,700.00	85.0
70-20-73-77325	ACTUARIAL SERVICES	142.00	0.00	100.0	1,700.00	1,700.00	0.0
TOTAL PROFESSIONAL SERVICES							
		2,012.00	811.00	59.6	22,800.00	5,211.00	77.1
COMMODITIES							
70-20-74-77430	OFFICE SUPPLIES	8.00	0.00	100.0	100.00	0.00	100.0
70-20-74-77432	POSTAGE	8.00	0.00	100.0	100.00	21.99	78.0
TOTAL COMMODITIES							
		16.00	0.00	100.0	200.00	21.99	89.0
MISCELLANEOUS EXPENSE							
70-20-77-77750	REALIZED LOSSES	0.00	1,005.29	100.0	0.00	23,425.26	100.0
70-20-77-77755	UNREALIZED LOSSES	0.00	0.00	0.0	0.00	165,598.17	100.0
TOTAL MISCELLANEOUS EXPENSE							
		0.00	1,005.29	100.0	0.00	189,023.43	100.0
CHARGES FOR SERVICES							
70-20-90-99001	BANK FEES	4,800.00	4,948.21	(3.0)	19,200.00	9,755.21	49.1
70-20-90-99003	DOI COMPLIANCE FEE	0.00	0.00	0.0	925.00	914.97	1.0
TOTAL CHARGES FOR SERVICES							
		4,800.00	4,948.21	(3.0)	20,125.00	10,670.18	46.9
TOTAL EXPENSES: ADMINISTRATION							
		36,270.00	36,638.87	(1.0)	400,771.00	377,986.51	5.6
TOTAL FUND REVENUES							
		29,800.00	117,655.23	294.8	716,248.00	947,573.53	32.3
TOTAL FUND EXPENSES							
		36,270.00	36,638.87	(1.0)	400,771.00	377,986.51	5.6
FUND SURPLUS (DEFICIT)							
		(6,470.00)	81,016.36	(1352.1)	315,477.00	569,587.02	80.5

VILLAGE OF ROUND LAKE  
 DETAILED REVENUE & EXPENSE REPORT  
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE  
 FOR 6 PERIODS ENDING OCTOBER 31, 2013

FUND: WORKING CASH FUND

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI- ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI- ANCE
REVENUES							
TAXES							
81-05-50-55001	REAL ESTATE TAXES	98.00	83.98	(14.3)	6,375.00	8,101.97	27.0
TOTAL TAXES		98.00	83.98	(14.3)	6,375.00	8,101.97	27.0
INVESTMENT INCOME							
81-05-64-56401	INTEREST INCOME	139.00	5.19	(96.2)	1,663.00	31.23	(98.1)
TOTAL INVESTMENT INCOME		139.00	5.19	(96.2)	1,663.00	31.23	(98.1)
TOTAL REVENUES: REVENUES		237.00	89.17	(62.3)	8,038.00	8,133.20	1.1
TOTAL FUND REVENUES							
TOTAL FUND EXPENSES							
FUND SURPLUS (DEFICIT)		237.00	89.17	(62.3)	8,038.00	8,133.20	1.1

FUND: IMPACT FEE FUND

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARIANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARIANCE
REVENUES							
INVESTMENT INCOME							
82-05-64-56401	INTEREST INCOME	0.00	0.82	100.0	0.00	2.24	100.0
-----							
TOTAL INVESTMENT INCOME		0.00	0.82	100.0	0.00	2.24	100.0
TOTAL REVENUES: REVENUES		0.00	0.82	100.0	0.00	2.24	100.0
TOTAL FUND REVENUES							
TOTAL FUND EXPENSES		0.00	0.00	0.0	0.00	0.00	0.0
FUND SURPLUS (DEFICIT)		0.00	0.82	100.0	0.00	2.24	100.0

FUND: BUILDERS ESCROW

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI- ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI- ANCE
REVENUES							
INVESTMENT INCOME							
83-05-64-56401	INTEREST INCOME	9.00	2.44	(72.8)	110.00	14.25	(87.0)
TOTAL INVESTMENT INCOME		9.00	2.44	(72.8)	110.00	14.25	(87.0)
TOTAL REVENUES: REVENUES		9.00	2.44	(72.8)	110.00	14.25	(87.0)
TOTAL FUND REVENUES		9.00	2.44	(72.8)	110.00	14.25	(87.0)
TOTAL FUND EXPENSES		0.00	0.00	0.0	0.00	0.00	0.0
FUND SURPLUS (DEFICIT)		9.00	2.44	(72.8)	110.00	14.25	(87.0)

FUND: LAKEWOOD GROVE #1

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI- ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI- ANCE
<b>REVENUES</b>							
<b>TAXES</b>							
85-05-50-55001	REAL ESTATE TAX	0.00	9,704.46	100.0	0.00	912,495.92	100.0
<b>TOTAL TAXES</b>		0.00	9,704.46	100.0	0.00	912,495.92	100.0
<b>INVESTMENT INCOME</b>							
85-05-64-56401	INTEREST INCOME	0.00	0.00	0.0	0.00	44.61	100.0
<b>TOTAL INVESTMENT INCOME</b>		0.00	0.00	0.0	0.00	44.61	100.0
<b>MISCELLANEOUS REVENUE</b>							
85-05-66-56601	MISCELLANEOUS INCOME	0.00	0.00	0.0	0.00	195.83	100.0
85-05-66-56630	SSA PREPAYMENT	0.00	0.00	0.0	0.00	0.00	0.0
<b>TOTAL MISCELLANEOUS REVENUE</b>		0.00	0.00	0.0	0.00	195.83	100.0
<b>TRANSFERS IN</b>							
85-05-68-56885	TRANSFER FROM OTHER ACCOUNTS	0.00	0.00	0.0	0.00	0.00	0.0
85-05-68-56886	TRANSFER FROM SSA #3	0.00	0.00	0.0	0.00	0.00	0.0
<b>TOTAL TRANSFERS IN</b>		0.00	0.00	0.0	0.00	0.00	0.0
<b>TOTAL REVENUES: REVENUES</b>		0.00	9,704.46	100.0	0.00	912,736.36	100.0
<b>ADMINISTRATION EXPENSES</b>							
<b>EXPENSES</b>							
85-20-90-99007	ADMIN FEES	0.00	0.00	0.0	0.00	7,825.50	100.0
85-20-90-99009	OTHER EXPENSES	0.00	0.00	0.0	0.00	0.00	0.0
85-20-90-99013	BANK FEES	0.00	0.00	0.0	0.00	44.61	100.0
<b>TOTAL EXPENSES</b>		0.00	0.00	0.0	0.00	7,870.11	100.0
<b>DEBT SERVICES</b>							
85-20-94-99436	BOND INTEREST	0.00	0.00	0.0	0.00	342,568.33	100.0
85-20-94-99442	BOND PRINCIPAL	0.00	0.00	0.0	0.00	25,000.00	100.0
<b>TOTAL DEBT SERVICES</b>		0.00	0.00	0.0	0.00	367,568.33	100.0
<b>TOTAL EXPENSES: ADMINISTRATION</b>		0.00	0.00	0.0	0.00	375,438.44	100.0
<b>OTHER FINANCING USES EXPENSES</b>							

FUND: LAKEWOOD GROVE #1

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARIANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARIANCE
OTHER FINANCING USES							
EXPENSES							
TRANSFERS OUT							
85-80-96-99685	TRANSFER TO OTHER ACCOUNTS	0.00	0.00	0.0	0.00	0.00	0.0
TOTAL TRANSFERS OUT							
		0.00	0.00	0.0	0.00	0.00	0.0
TOTAL EXPENSES: OTHER FINANCING USES		0.00	0.00	0.0	0.00	0.00	0.0
TOTAL FUND REVENUES							
		0.00	9,704.46	100.0	0.00	912,736.36	100.0
TOTAL FUND EXPENSES		0.00	0.00	0.0	0.00	375,438.44	100.0
FUND SURPLUS (DEFICIT)		0.00	9,704.46	100.0	0.00	537,297.92	100.0

FUND: LAKEWOOD GROVE #3

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARIANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARIANCE
<b>REVENUES</b>							
<b>TAXES</b>							
86-05-50-55001	REAL ESTATE TAX	0.00	1,870.24	100.0	0.00	273,672.78	100.0
<b>TOTAL TAXES</b>		0.00	1,870.24	100.0	0.00	273,672.78	100.0
<b>INVESTMENT INCOME</b>							
86-05-64-56401	INTEREST INCOME	0.00	0.00	0.0	0.00	13.88	100.0
<b>TOTAL INVESTMENT INCOME</b>		0.00	0.00	0.0	0.00	13.88	100.0
<b>TRANSFERS IN</b>							
86-05-68-56875	TRANSFER FROM OTHER ACCOUNTS	0.00	0.00	0.0	0.00	0.00	0.0
86-05-68-56886	TRANSFER FROM OTHER ACCOUNTS	0.00	0.00	0.0	0.00	0.00	0.0
<b>TOTAL TRANSFERS IN</b>		0.00	0.00	0.0	0.00	0.00	0.0
<b>TOTAL REVENUES: REVENUES</b>		0.00	1,870.24	100.0	0.00	273,686.66	100.0
<b>ADMINISTRATION EXPENSES</b>							
<b>EXPENSES</b>							
86-20-90-99007	ADMIN FEES	0.00	0.00	0.0	0.00	6,525.25	100.0
86-20-90-99013	BANK FEES	0.00	0.00	0.0	0.00	13.88	100.0
<b>TOTAL EXPENSES</b>		0.00	0.00	0.0	0.00	6,539.13	100.0
<b>DEBT SERVICES</b>							
<b>EXPENSES</b>							
86-20-94-99438	BOND INTEREST	0.00	0.00	0.0	0.00	85,663.25	100.0
86-20-94-99443	BOND PRINCIPAL	0.00	0.00	0.0	0.00	0.00	0.0
<b>TOTAL DEBT SERVICES</b>		0.00	0.00	0.0	0.00	85,663.25	100.0
<b>TOTAL EXPENSES: ADMINISTRATION</b>		0.00	0.00	0.0	0.00	92,202.38	100.0
<b>OTHER FINANCING USES</b>							
<b>EXPENSES</b>							
<b>TRANSFERS OUT</b>							
86-80-96-99685	TRANSFER TO SSA #1	0.00	0.00	0.0	0.00	0.00	0.0
86-80-96-99686	TRANSFER TO OTHER ACCOUNTS	0.00	0.00	0.0	0.00	0.00	0.0
<b>TOTAL TRANSFERS OUT</b>		0.00	0.00	0.0	0.00	0.00	0.0
<b>TOTAL EXPENSES: OTHER FINANCING USES</b>		0.00	0.00	0.0	0.00	0.00	0.0

VILLAGE OF ROUND LAKE  
 DETAILED REVENUE & EXPENSE REPORT  
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE  
 FOR 6 PERIODS ENDING OCTOBER 31, 2013

FUND: LAKEWOOD GROVE #3

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARIANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARIANCE
TOTAL FUND REVENUES		0.00	1,870.24	100.0	0.00	273,686.66	100.0
TOTAL FUND EXPENSES		0.00	0.00	0.0	0.00	92,202.38	100.0
FUND SURPLUS (DEFICIT)		0.00	1,870.24	100.0	0.00	181,484.28	100.0

VILLAGE OF ROUND LAKE  
 DETAILED REVENUE & EXPENSE REPORT  
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE  
 FOR 6 PERIODS ENDING OCTOBER 31, 2013

FUND: LAKEWOOD GROVE #4

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARI- ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI- ANCE
<b>REVENUES</b>							
<b>TAXES</b>							
87-05-50-55001	REAL ESTATE TAX	0.00	2,417.34	100.0	0.00	373,079.66	100.0
<b>TOTAL TAXES</b>		0.00	2,417.34	100.0	0.00	373,079.66	100.0
<b>INVESTMENT INCOME</b>							
87-05-64-56401	INTEREST INCOME	0.00	0.00	0.0	0.00	19.96	100.0
<b>TOTAL INVESTMENT INCOME</b>		0.00	0.00	0.0	0.00	19.96	100.0
<b>MISCELLANEOUS REVENUE</b>							
87-05-66-56601	MISCELLANEOUS INCOME	0.00	0.00	0.0	0.00	601.75	100.0
<b>TOTAL MISCELLANEOUS REVENUE</b>		0.00	0.00	0.0	0.00	601.75	100.0
<b>TRANSFERS IN</b>							
87-05-68-56887	TRANSFER FROM OTHER ACCOUNTS	0.00	0.00	0.0	0.00	0.00	0.0
<b>TOTAL TRANSFERS IN</b>		0.00	0.00	0.0	0.00	0.00	0.0
<b>TOTAL REVENUES: REVENUES</b>		0.00	2,417.34	100.0	0.00	373,701.37	100.0
<b>EXPENSES</b>							
<b>ADMINISTRATION</b>							
<b>EXPENSES</b>							
87-20-90-99007	ADMIN FEES	0.00	0.00	0.0	0.00	6,525.25	100.0
87-20-90-99013	BANK FEES	0.00	0.00	0.0	0.00	19.96	100.0
<b>TOTAL EXPENSES</b>		0.00	0.00	0.0	0.00	6,545.21	100.0
<b>DEBT SERVICES</b>							
87-20-94-99440	BOND INTEREST	0.00	0.00	0.0	0.00	122,257.00	100.0
87-20-94-99444	BOND PRINCIPAL	0.00	0.00	0.0	0.00	87,000.00	100.0
<b>TOTAL DEBT SERVICES</b>		0.00	0.00	0.0	0.00	209,257.00	100.0
<b>TOTAL EXPENSES: ADMINISTRATION</b>		0.00	0.00	0.0	0.00	215,802.21	100.0
<b>OTHER FINANCING USES</b>							
<b>EXPENSES</b>							
87-80-96-99687	TRANSFER TO OTHER ACCOUNTS	0.00	0.00	0.0	0.00	0.00	0.0
<b>TOTAL TRANSFERS OUT</b>		0.00	0.00	0.0	0.00	0.00	0.0

VILLAGE OF ROUND LAKE  
 DETAILED REVENUE & EXPENSE REPORT  
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE  
 FOR 6 PERIODS ENDING OCTOBER 31, 2013

FUND: LAKEWOOD GROVE #4

ACCOUNT NUMBER	DESCRIPTION	OCTOBER BUDGET	OCTOBER ACTUAL	% VARIANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARIANCE
-----							
TOTAL EXPENSES: OTHER FINANCING USES							
		0.00	0.00	0.0	0.00	0.00	0.0
	TOTAL FUND REVENUES	0.00	2,417.34	100.0	0.00	373,701.37	100.0
	TOTAL FUND EXPENSES	0.00	0.00	0.0	0.00	215,802.21	100.0
	FUND SURPLUS (DEFICIT)	0.00	2,417.34	100.0	0.00	157,899.16	100.0



VILLAGE OF ROUND LAKE  
**AGENDA ITEM SUMMARY**

**TITLE: HARRIS/MSI SOFTWARE MAINTENANCE INVOICE**

**Agenda Item No. 5.4**

*Executive Summary*

Annually the village receives a Harris/MSI maintenance invoice for software applications that the village purchased for use. The annual maintenance charges includes normal support from MSI representatives for the existing modules the village has purchased. Most descriptions/applications on the invoice are self explanatory. The following items include additional clarification for the maintenance charges.

Runtime is a third party product and is needed to run the MSI software applications across the village network. It assists the MSI programs in getting print jobs to the printer, having the programs shared across the network, and other such uses. The maintenance fee is included in case there is an error that develops between the MSI programs and the Runtime functioning on the network.

The laser gun maintenance is to be sure when a bar code (cash register) is read by the gun; it registers correctly in the MSI software. It is not maintenance on the laser gun itself, should the gun break or wears out for any reason, there would be a charge for a new laser gun.

Attached is a draft resolution, the Harris/MSI invoice, current maintenance costs versus the previous year, and a Software Support Maintenance Guidelines document. Staff decreased the invoice by \$975 as, at this time, the Gemini Data Backup & Recovery is not needed and can be added later.

*Recommended Action*

Adopt a Resolution for the Continued Participation with Harris/MSI for Software at a Cost of \$10,393.17.

<b>Committee:</b> Human Resources & Finance		<b>Meeting Date:</b> 11/18 & 12/2/13	
<b>Lead Department:</b> Administration		<b>Presenter:</b> Steve Shields	
<b>Item Budgeted:</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A			
If amount requested is over budget, a detailed explanation of what account(s) the overage will be charged to will be provided in the Executive Summary or attached detail.			
Account number 50-60-91-99107 is over budget as in fiscal year end 2014 the MSI invoice was split between the General Fund and the Water/Sewer Fund for the first time. The Water/Sewer Fund budget amount was missed as part of the budget process.			
<b>Account(s)</b>	<b>Budget</b>	<b>Expenditure</b>	
<b>01-20-91-99107</b>	\$34,645.00	\$0.00	
Item Requested	\$7,795.00	\$7,794.88	
Y-T-D Actual		\$18,981.99	
Amount Encumbered		\$0.00	
<b>Total</b>	<b>\$42,440.00</b>	<b>\$26,776.87</b>	
<b>50-60-91-99107</b>	\$2,000.00	\$0.00	
Item Requested	\$0.00	\$2,598.29	
Y-T-D Actual		\$0.00	
Amount Encumbered		\$0.00	
<b>Total</b>	<b>\$2,000.00</b>	<b>\$2,598.29</b>	
<b>Grand Total</b>	<b>\$44,440.00</b>	<b>\$29,375.16</b>	
Request is over/under budget:			
Under		\$15,064.84	
Over	-		

**Resolution 13-R-\_\_**

**A Resolution Authorizing Continued Participation with Harris/MSI for Software Support**

**WHEREAS**, the Village of Round Lake uses Harris/MSI financial software for its daily operations; and

**WHEREAS**, Harris/MSI Computer Systems provides software maintenance support for the financial programs currently utilized.

**NOW, THEREFORE, BE IT RESOLVED** by the Village President and Board of Trustees of the Village of Round Lake as follows:

1. The Harris/MSI Software maintenance invoice of \$10,393.17 for the period December 1, 2013 through November 30, 2014 is hereby approved. The invoice is attached hereto as Exhibit A.
2. The Mayor or his designee is authorized to execute all necessary documents to carry out the purposes of this resolution.

**APPROVED:**

---

Daniel A. MacGillis, Village President

**ATTEST:**

---

Patricia C. Blauvelt, Village Clerk

PASSED:

APPROVED:

AYES:

NAYS:

ABSENT:



Invoice  
Date  
Page

MN00002286  
10/20/2013  
1 of 1

Remit To: Harris Computer Systems  
62133 Collections Center Drive  
Chicago, IL 60693-0621

Bill To

Round Lake, Village of  
442 N. Cedar Lake Road  
Round Lake, IL 60073  
USA

Round Lake, Village of  
442 N. Cedar Lake Road  
Round Lake, IL 60073  
USA

PO Number	Customer No.	Salesperson ID	Shipping Method	Payment Terms
	ROU03		LOCAL DELIVERY	Net 30

Ordered	Item Number	Description	Unit Price	Ext Price
1.00	NOTE	MSI Software Maintenance: Dec.1/13 - Nov.30/14	US\$0.00	US\$0.00
1.00	MA-12-SW	Accounts Payable	US\$888.79	US\$888.79
1.00	MA-12-SW	Purchase Orders	US\$533.28	US\$533.28
1.00	MA-12-SW	Budget Planning	US\$711.03	US\$711.03
1.00	MA-12-SW	Business Licensing	US\$888.79	US\$888.79
1.00	MA-12-SW	Cash Register	US\$783.74	US\$783.74
1.00	MA-12-SW	Fixed Assets	US\$849.16	US\$849.16
1.00	MA-12-SW	General Ledger	US\$888.79	US\$888.79
1.00	MA-12-SW	Payroll	US\$985.76	US\$985.76
1.00	MA-12-SW	Utility Billing	US\$2,221.95	US\$2,221.95
1.00	MA-12-SW	Runtime	US\$597.92	US\$597.92
1.00	MA-12-SW	Receipt Printers	US\$234.31	US\$234.31
1.00	MA-12-SW	Laser Gun	US\$234.31	US\$234.31
1.00	MA-12-SW	Accounts Receivable	US\$575.34	US\$575.34
1.00	MA-12-SW	Gemini Data Backup & Recovery	US\$975.00	US\$975.00
		*Do @ later date, if necessary \$92		
			Subtotal	<del>US\$11,368.17</del> 10,393.17
			Misc	US\$0.00
			Tax	US\$0.00
			Freight	US\$0.00
			Trade Discount	US\$0.00
			Total	<del>US\$11,368.17</del> 10,393.17

RECEIVED  
NOV 06 2013

VILLAGE OF ROUND LAKE

Invoice Questions? Please call Andrew Buckley, 613-226-5511 ext 2324 or e-mail  
Abuckley@harriscomputer.com

**Harris Computer  
MSI Software Maintenance  
Period Covered: 12/1/13 - 11/30/14**

Description	Current Year	Previous Year	Dollar Increase	(A) Percent Change
Accounts Payable	\$888.79	\$838.48	\$50.31	6.00%
Purchase Orders	\$533.28	\$503.09	\$30.19	6.00%
Budget Planning	\$711.03	\$670.78	\$40.25	6.00%
Business Licensing	\$888.79	\$838.48	\$50.31	6.00%
Cash Register	\$783.74	\$739.39	\$44.35	6.00%
Fixed Assets	\$849.16	\$801.09	\$48.07	6.00%
General Ledger	\$888.79	\$838.48	\$50.31	6.00%
Payroll	\$985.76	\$929.95	\$55.81	6.00%
Utility Billing	\$2,221.95	\$2,096.18	\$125.77	6.00%
Accounts Receivable	\$575.34	\$542.77	\$32.57	6.00%
Runtime	\$597.92	\$564.08	\$33.84	6.00%
Receipts Printers	\$234.31	\$221.05	\$13.26	6.00%
Laser Gun	\$234.31	\$221.05	\$13.26	6.00%
<b>Total</b>	<b>\$10,393.17</b>	<b>\$9,804.87</b>	<b>\$588.30</b>	<b>6.00%</b>

**Notes**

A - With respect to the rationale for the increases, they are usually commensurate with Harris annual costs, the majority of which revolve around maintaining internal support tools, ensuring that the Harris research and development group continues to receive proper funding, and making sure that they retain an experienced and knowledgeable support team. Past five year increases were:

Period Covered: 12/1/12 - 11/30/13	5.00%
Period Covered: 12/1/11 - 11/30/12	5.50%
Period Covered: 12/1/10 - 11/30/11	6.00%
Period Covered: 12/1/09 - 11/30/10	7.00%
Period Covered: 12/1/08 - 11/30/09	8.00%

Schedule A



2013 Harris Annual Software Support  
Maintenance Guidelines

## **Purpose**

The purpose of this document is to provide our customers with information on our standard coverage, the services which are included as part of your annual software support, a listing of call priorities, an outline of our escalation procedures, and details on our current service rates. This document will serve as a guideline for the support department but may be superseded by an existing, signed software support contract where applicable.

Harris Local Government ("Harris") reserves the right to make modifications to this document as required.

## **Standard Support and Maintenance Services**

The services listed below are services that are included as part of your software support contract:

- 800 # toll free telephone support;
- Technical troubleshooting includes assessment, diagnosis, documentation, and ultimate resolution of issues that pertain specifically to the customer's software. (troubleshooting does not extend to any hardware or operating system components);
- E-mail support call logging and notification of status and resolution;
- Free Harris e-Support access 24 x 7 with the following on-line benefits: e-Support is a 24x7 online portal for Harris customers, allowing them to log support issues, check for status updates on their open calls and to check for updates on open development trackers. Access to published documentation which pertains to a customer's particular software line (if available) may be contained in the Knowledge Base article section within Clientele (which is Harris's proprietary customer database). e-Support also enables the customer to log their own support issues and assign a specific priority based on the specific level of urgency for a particular circumstance. A support issue may be logged 24 hours a day, 7 days a week. In summary, e-Support benefits include access to the following:
  - Ability to log & close calls
  - Ability to view & update existing calls
  - Ability to update contact information
  - Ability to access published documentation
  - Ability to access available downloads
  - Access to support knowledge base;
- Standard software releases and updates
  - Defect corrections (as warranted)
  - Planned enhancements
  - Payroll regulated changes
  - Release notes;

- Limited training questions (15 minutes) – Questions pertaining to a customer’s software line that Harris deems as training related i.e.: information that has already been covered and/or reviewed with the customer will be considered training related in scope and are usually limited to a span of 15-25 minutes. Anything falling outside the aforementioned time frame may be classified as a billable service for which the customer will receive a quotation prior to the service being administered;
- Database tuning / optimization – Database tuning may include such services as insertion of rate codes/tax, custom report changes, customer file changes or anything involving global changes throughout the software maybe be considered a billable service for which a customer will receive a quotation prior to service being delivered;
- Customer Care Program
  - Technical support bulletins
  - Communication on new products and services;
- Outstanding Calls Report – Outstanding calls and the respective status of those calls are documented in Clientele, Harris’ priority customer database, to which the customer has 24x7 access;
- Design review for potential enhancements or custom modifications – Based on the customers needs and requests Harris will determine, document and program changes as needed. Customer will receive a quotation prior to service. Modifications that result from an inherent flaw in the customer’s software package will be rectified at no additional charge to the customer. Modifications which are performed as a result of a customer request and are not determined to be a result of an inherent flaw will be considered a billable service for which the customer will receive a quotation prior the to service being delivered; and
- Invitation to annual Customer Conferences – Harris will invite customers to any scheduled annual customer conference which pertain to the customer’s product line. Attendance, which includes registration, and/or travel and lodging, will be at the customer’s expense.

## Billable Support Services

The services listed below are services that are outside the scope of your software support contract and are therefore considered billable services:

- Extended telephone training;
- Forms redesign or creation;
- Setup & changes to handheld interface or creation of new interface;
- Setup of new services or changes to services (PAP, ACH, etc.);
- File imports/exports - Interfaces to other applications;
- Setting up test areas, database refreshes, backups, restores;
- Setup of new printers, printer setup changes;
- Custom modifications (reports, bills, forms, software customizations);
- Reversal of custom code;
- Setting up new General Ledgers;
- Data conversions / global modification to setup table data;
- Integrity issues due to database updates by non-Harris personnel;
- Hardware & Operating System support;
- Upgrades of third party software; and
- Installations / re-installations (workstations, servers).

## Rates

Our current standard hourly rate is \$150.00 / hour. Rates are subject to change on an annual basis; quotations will be provided for all billable services.

Our rates for non-maintenance clients are as follows:

\$500.00 / Call (Provides ability to log a support call & includes 1<sup>st</sup> hour)

\$400.00 / each subsequent hour (for the same issue)

## HelpDesk Hours

Our standard hours of support across all product lines, are from 8:00 a.m. EST to 5:00 p.m. CST, Monday to Friday, excluding designated statutory company holidays. Support calls/issues may be logged via the toll-free support line between the hours of 8:00 a.m. and 8:00 p.m. EST or 24 hours day/7 days a week via e-Support.

## Response Times

Response times will vary and are dependant on the priority of the call. We do our best to ensure that we deal with incoming calls in the order that they are received, however calls will be escalated based on the urgency of the issue reported. Our response times are as follows:

Priority 1: 1 - 4 hours

Priority 2: 1 - 8 hours

Priority 3: 1 - 24 hours

## Holiday Schedule

Below is a listing of US Statutory Holidays. Please note that our US offices will be closed on designated days as outlined below. However, our call center will remain open in some cases to receive incoming calls where noted.

New Year's Day	Closed
President's Day	Closed
Memorial Day	Closed
Fourth of July	Closed
Labor Day	Closed
Columbus Day	Closed
Thanksgiving Day	Closed
Day After Thanksgiving	Closed
Christmas Day	Closed
Boxing Day (December 26 <sup>th</sup> )	Closed (Call Center open)

## **Call Priorities**

In an effort to assign our resources to incoming calls as effectively as possible, Harris has identified three types of call priorities, 1, 2 & 3. A Priority 1 call is deemed by our support staff to be an Urgent or High Priority call, Priority 2 is classified as a Medium Priority and Priority 3 is deemed to be a Low Priority. The criteria used to establish guidelines for these calls are as follows:

### **Priority 1 – High**

- System Down (Hardware, Operating System, Database, Application)
- Inability to process Payroll checks
- Inability to process Accounts Payable checks
- Inability to process bills
- Program errors without workarounds
- Incorrect calculation errors impacting a majority of records
- Aborted postings or error messages preventing data integration and update
- Performance issues of severe nature impacting critical processes
- Hand-held interface issues preventing billing

### **Priority 2 - Medium**

- System errors that have workarounds
- Calculation errors impacting a minority of records
- Reports calculation issues
- Printer related issues (related to interfaces with our software and not the printer itself)
- Security issues
- Hand-Held interface issues not preventing billing
- Performance issues not impacting critical processes
- Usability issues
- Workstation connectivity issues (Workstation specific)

### **Priority 3 - Low**

- Report formatting issues
- Training questions, how to, or implementing new processes
- Aesthetic issues
- Issues with workarounds for large majority of accounts
- Recommendations for enhancements on system changes
- Questions on documentation

## Call Process

All issues or questions reported to Support Services are tracked via a Support Call; our analysts cannot provide assistance unless a support call is logged. Our current process for logging calls is as follows.

Place a support call through one of the following methods:

- 1) e-Support at <http://support.harriscomputer.com>
- 2) Email to: [support@harriscomputer.com](mailto:support@harriscomputer.com)
- 3) Phone to: 866-450-6696
- 4) Fax to: 613-226-3377

- Your call must contain: Your company name, contact person, software product & version, module and/or menu selection, nature of issue, detailed description of your question or issue, Batch #, Journal #, Account # (if applicable), support call reference #
- Harris e-Support or one of our Administrative Support Call Coordinators will provide you with a Call ID to track your issue and your call will be logged into our support tracking database (Clientele).
- Your call will be stored in a queue and the first available support representative will be assigned to deal with your issue.
- As the support representative assigned to your call investigates your issue, you will be contacted and advised as to where the issue stands and the course of action that will be taken for resolution. If we require additional information, you will be contacted by the assigned support representative to supply the information required.
- All correspondence and actions associated with your call will be tracked against the call in our support database. At any time, you may log onto e-Support to see the status of your call.
- Once your issue has been resolved, you will receive an automated notification by email that your call has been closed. This email will contain the entire event history of the call from the time the call was created leading up to the resolution of the call. You also have the option of viewing both your open and closed calls on-line through e-Support.
- If the issue needs to be escalated to a programmer, your issue will be logged into our Development database and you will be provided with a Tracker # to track the progress of your development issue. At this time, your support call will be closed and the Tracker # provided will become your new reference #; your Tracker will remain open until your issue has been completely resolved. Issues escalated to Development will be scheduled for resolution and may not be resolved immediately based on the nature and complexity of the issue.

- Contact the support department at your convenience for a status update on your Development Tracker, or log onto e-Support to view your Trackers on-line.

## Escalation Procedures

Our escalation process is defined below. This process has been put into place to ensure that issues are being dealt with appropriately. If at any time you not satisfied with the resolution of your issue or the response to your call, please contact our Support Coordinator and we will have the appropriate individual follow up.

### Escalation Process

Your call is logged with Harris Support



If your call is a Priority 1 and it has not been responded to within 4 hours, the support resources responsible for your call are notified by email and your call is escalated internally to a level 1. Priority 2 calls will be escalated if not responded to within 8 hours and Priority 3 calls not responded to within 12 hours will be escalated.



If your Priority 1 call has not been responded to 4 hours after the designated timeframe, product line managers are notified and your call is escalated to a level 2.



If your Priority 1 call has not been responded to after 5 hours from the designated timeframe, the Vice President of Support Services is notified and your call is escalated to a level 3.



If your Priority 1 call has not been responded to after 6 hours from the designated timeframe, the Executive Vice President and President for Harris is notified and your call is escalated to a level 4.



If your Priority 1 call has not been responded to after 7 hours from the designated timeframe, senior members of the executive Management Team are notified and your call is escalated to a level 5, our final level of escalation.

## Connection Methods

To ensure we can effectively support our clients, we ask that a communication link is established and maintained between our two sites. It is the client's responsibility to ensure the connection is valid at your location so that Harris can connect to your site and resolve the issue at hand. Our supported methods of connection are:

- VPN
- RAS
- Direct Connection (Modem)
- Remote Desktop Connection

## Maintenance Contract Pricing and Terms

Annual maintenance fees are non-refundable. In order to keep pace with the annual costs of doing business within the industry (including but not limited to increased operating costs and research and development costs) Harris, as a standard practice, reviews the software maintenance of each client, every year. Harris reserves the right to raise annual maintenance charges in accordance with industry standards and resource utilization which may vary from year to year. Customers may review and voice any related concerns or disagreements with a senior member of the Harris management team. Maintenance agreements are renewable each year. Should any customer coming up for renewal choose not to renew their annual software maintenance with Harris, ***Harris requires at least 90 days written notice (prior to the new annual renewal date). This notice must be provided to our corporate office in Ottawa, Ontario.***



VILLAGE OF ROUND LAKE  
**AGENDA ITEM SUMMARY**

**TITLE: WORKER'S COMPENSATION INSURANCE**

**Agenda Item No. 5.5**

*Executive Summary*

West Insurance Agency, for calendar year 2014, again sent out risk management information to a minimum of five (5) vendors. Overall, the risk management premium increased 16.27% from the previous year, the largest portion from an increase in the package policy. The \$162,114 premium is still less than the high of \$205,659 for the 2011 coverage year. The workers compensation premium increased 14.75% due to a refinement of the allocation of payroll based on the 2012 payroll audit performed by IPRF.

The vendors West Insurance Agency and staff are recommending are the same as last year: Trident - Package Program: Commercial, Auto, Crime, Etc...(\$86,781); The Illinois Public Risk Fund (IPRF) - Workers Compensation (\$75,661); and Liberty Mutual Surety - Public Officials Bonds (\$1,610). The total insurance cost for all three programs is \$164,052.

Included in this packet is the original memo and spreadsheet presented at the 11/18/13 COTW meeting along with a resolution and attached proposal from IPRF for worker's compensation insurance and general information regarding IPRF.

*Recommended Action*

Adopt a Resolution Approving a Proposal from Illinois Public Risk Fund for Worker's Compensation Insurance.

<b>Committee:</b> Human Resources & Finance		<b>Meeting Date:</b> 11/18 & 12/02/13																																												
<b>Lead Department:</b> Administration		<b>Presenter:</b> Steven J. Shields																																												
<b>Item Budgeted:</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A  If amount requested is over budget, a detailed explanation of what account(s) the overage will be charged to will be provided in the Executive Summary or attached detail.  <u>Note:</u> Both risk management accounts are over the annual budget. There is no specific account where the savings will come from; however, current projections indicate the General and Water/Sewer funds will be under budget overall.	<table border="1"> <thead> <tr> <th>Account(s)</th> <th>Budget</th> <th>Expenditure</th> </tr> </thead> <tbody> <tr> <td><b>01-20-75-77519</b></td> <td></td> <td></td> </tr> <tr> <td>Item Requested</td> <td>\$119,631.00</td> <td>\$123,039.00</td> </tr> <tr> <td>Y-T-D Actual</td> <td></td> <td>\$10,366.75</td> </tr> <tr> <td>Amount Encumbered</td> <td></td> <td>\$0.00</td> </tr> <tr> <td><b>Total</b></td> <td><b>\$119,631.00</b></td> <td><b>\$133,405.75</b></td> </tr> <tr> <td><b>50-60-75-77519</b></td> <td></td> <td></td> </tr> <tr> <td>Item Requested</td> <td>\$39,877.00</td> <td>\$41,013.00</td> </tr> <tr> <td>Y-T-D Actual</td> <td></td> <td>\$7,459.93</td> </tr> <tr> <td>Amount Encumbered</td> <td></td> <td>\$0.00</td> </tr> <tr> <td><b>Total</b></td> <td><b>\$39,877.00</b></td> <td><b>\$48,472.93</b></td> </tr> <tr> <td><b>Grand Total</b></td> <td><b>\$159,508.00</b></td> <td><b>\$181,878.68</b></td> </tr> <tr> <td colspan="3">Request is over/under budget:</td> </tr> <tr> <td>Under</td> <td>-</td> <td></td> </tr> <tr> <td>Over</td> <td></td> <td>\$22,370.68</td> </tr> </tbody> </table>	Account(s)	Budget	Expenditure	<b>01-20-75-77519</b>			Item Requested	\$119,631.00	\$123,039.00	Y-T-D Actual		\$10,366.75	Amount Encumbered		\$0.00	<b>Total</b>	<b>\$119,631.00</b>	<b>\$133,405.75</b>	<b>50-60-75-77519</b>			Item Requested	\$39,877.00	\$41,013.00	Y-T-D Actual		\$7,459.93	Amount Encumbered		\$0.00	<b>Total</b>	<b>\$39,877.00</b>	<b>\$48,472.93</b>	<b>Grand Total</b>	<b>\$159,508.00</b>	<b>\$181,878.68</b>	Request is over/under budget:			Under	-		Over		\$22,370.68
Account(s)	Budget	Expenditure																																												
<b>01-20-75-77519</b>																																														
Item Requested	\$119,631.00	\$123,039.00																																												
Y-T-D Actual		\$10,366.75																																												
Amount Encumbered		\$0.00																																												
<b>Total</b>	<b>\$119,631.00</b>	<b>\$133,405.75</b>																																												
<b>50-60-75-77519</b>																																														
Item Requested	\$39,877.00	\$41,013.00																																												
Y-T-D Actual		\$7,459.93																																												
Amount Encumbered		\$0.00																																												
<b>Total</b>	<b>\$39,877.00</b>	<b>\$48,472.93</b>																																												
<b>Grand Total</b>	<b>\$159,508.00</b>	<b>\$181,878.68</b>																																												
Request is over/under budget:																																														
Under	-																																													
Over		\$22,370.68																																												

**A Resolution Approving a Proposal from Illinois Public Risk Fund for  
Workers Compensation Insurance**

**BE IT RESOLVED** by the Village President and Board of Trustees of the Village of Round Lake as follows:

1. The Illinois Public Risk Fund workers compensation insurance proposal attached for the Village of Round Lake is hereby approved.
2. The Mayor or his designee is authorized to execute any and all documents necessary to implement the above stated workers compensation insurance program.

**APPROVED:**

\_\_\_\_\_  
Daniel A. MacGillis, Village President

**ATTEST:**

\_\_\_\_\_  
Patricia C. Blauvelt, Village Clerk

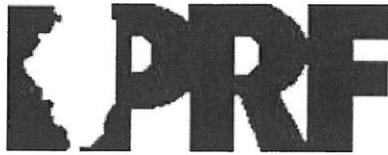
PASSED:

APPROVED:

AYES:

NAYS:

ABSENT:



## ILLINOIS PUBLIC RISK FUND

### Information Page

001140  
Village of Round Lake  
442 N. Cedar Lake Road  
Round Lake, IL 60073

#### Policy Period

Effective: 01-01-2014

Expiration: 01-01-2015

(At 12:01AM Standard Time at your address)

**Part One** of the policy applies to the Workers Compensation Law of the State of Illinois.

**Part Two** of the policy applies to Employers Liability Insurance in the State of Illinois.  
The limits of our Liability under Part Two are:

<b>Bodily Injury by Accident</b>	<b>\$2,500,000 each accident</b>
<b>Bodily Injury by Disease</b>	<b>\$2,500,000 policy limit</b>
<b>Bodily Injury by Disease</b>	<b>\$2,500,000 each employee</b>

**This Policy includes the following endorsements:**

- **Voluntary Compensation**
- **Broad Form All States For Employee Travel**
- **Longshoremen's and Harbor Workers' Compensation Act Coverage**
- **Maritime Coverage**
- **Federal Employers' Liability Act Coverage**
- **Foreign Voluntary Workers' Compensation and Employers' Liability for Traveling Employees.**

Terms and conditions as per Safety National Casualty Corp. Excess policy, #  
and Illinois Public Risk Fund's By-Laws and Pooling Agreement.

Prepared on: Wed Oct 23 10:01:11 2013



**ILLINOIS PUBLIC RISK FUND**

001140  
Village of Round Lake  
442 N. Cedar Lake Road  
Round Lake, IL 60073

The premium for this policy will be determined by Illinois Public Risk Fund rules, classifications, rates and rating plans. All information required is subject to verification and change by Audit.

<u>Class Code</u>	<u>Description</u>		<u>Payroll</u>	<u>Rate/100</u>	<u>Premium</u>
5506	Street Maintenance	\$	317,344	\$ 7.495	\$ 23,785
7520	Waterworks	\$	194,140	\$ 3.245	\$ 6,300
7580	Sewage Disposal	\$	58,170	\$ 2.693	\$ 1,567
7720	Policemen	\$	1,864,405	\$ 1.914	\$ 35,685
8810	Clerical	\$	707,054	\$ 0.130	\$ 919
9410	Municipal Employees	\$	173,608	\$ 2.996	\$ 5,201
				Subtotal:	\$ 73,457
				3% Administrative fee:	\$ 2,204
				TOTAL:	\$ 75,661

**Historical Information**

In the past, from 1981 through calendar year 2011, the village participated in the Illinois Municipal League Risk Management association (IMLRMA) for professional risk management services. Four years ago (2011 coverage year) staff requested proposals for risk management services all of which were higher than the premium amount paid to IMLRMA. Subsequently, in late summer of 2011, staff once again requested proposals for the 2012 coverage year.

At that time, three companies were contacted and provided information: Arthur J. Gallagher Risk Management Services, Inc., West Insurance Agency, Inc. and GCG Financial. Arthur J. Gallagher Risk Management Services, Inc. did not respond to staff’s request and GCG Financial informed staff that they were not going to send a proposal. Therefore, staff recommended West Insurance Agency as the risk management broker for the Village, and has been the Village’s risk management broker since that time.

Listed below is the annual premium amount for the last ten years and the proposed premium for calendar year 2014.

<u>Fiscal Year</u>	<u>Calendar Year Covered</u>	<u>Contribution Amount</u>	<u>Increase (Decrease)</u>	<u>Percent Inc. (Dec.)</u>	
2003/04	2004	\$83,035.72	\$11,275.55	15.71%	
2004/05	2005	\$91,053.91	\$8,018.19	9.66%	
2005/06	2006	\$98,230.20	\$7,176.29	7.88%	
2006/07	2007	\$118,922.02	\$20,691.82	21.06%	
2007/08	2008	\$145,150.74	\$26,228.72	22.06%	
2008/09	2009	\$169,643.88	\$24,493.14	16.87%	
2009/10	2010	\$179,732.00	\$10,088.12	5.95%	A
2010/11	2011	\$205,659.00	\$25,927.00	14.43%	
2011/12	2012	\$120,313.00	(\$85,346.00)	(41.50%)	B & C
2012/13	2013	\$141,151.00	\$20,838.00	17.32%	
2013/14	2014	\$164,114.00	\$22,963.00	16.27%	

A - First year of minimum/maximum contribution

B - Moved to a different provider. In the past used IMLRMA.

C - In addition to the annual premium, \$10,489 was paid as part of a Workers Compensation payroll audit. Amount paid in FYE 2013/14.

**2014 Calendar Year Coverage**

West Insurance Agency, for calendar year 2014, again sent out information and received proposals from a number of different vendors. Besides the incumbents, which are listed on the 2014 Property/Casualty Insurance Summary attached, requests for proposals on the Village’s risk management program were also sent to Travelers, One Beacon, ICRMT, Brit Insurance, and Glatfelter.

Staff met with representatives from West Insurance Agency to review the proposals and to answer questions. The risk management package includes three main proposals; package policy (property, liability, crime, inland marine, excess), workers compensation, and public officials bonds.

The table below lists the three main proposals and the premium change for each.

<u>Description</u>	<b>2013 Premium</b>	<b>2014 Premium</b>	<b>Dollar Variance</b>	<b>Percent Variance</b>
Workers Compensation	\$65,933	\$75,661	\$9,728	14.75%
Package Policy	\$73,484	\$86,781	\$13,297	18.10%
Public Officials Bonds	\$1,734	\$1,672	(\$62)	(3.58%)
<b>Total</b>	<b>\$141,151</b>	<b>\$164,114</b>	<b>\$22,963</b>	<b>16.27%</b>

Overall, the risk management premium increased 16.27%.

The workers compensations premium increased 14.75%. Although there was only a 3.3% increase in the 2014 estimated payroll from calendar year 2013, staff changed the allocation based on the 2012 audit resulting in a 152% increase in the highest rated code. Below are the categories for the payroll estimates for 2013 and 2014 along with the 2014 rates per category.

<u>Description</u>	<b>Clerical 8810</b>	<b>Street Maintenance 5506</b>	<b>Waterworks 7520</b>	<b>Sewer 7580</b>	<b>Street Cleaning 9402</b>	<b>Municipal Employees 9410</b>	<b>Policemen 7720</b>
2014 Estimate	\$707,054	\$317,344	\$194,140	\$58,170	\$0	\$173,608	\$1,864,405
2013 Estimate	\$858,043	\$125,809	\$153,657	\$0	\$149,157	\$333,872	\$1,588,631
Dollar Change	(\$150,988)	\$191,535	\$40,483	\$58,170	(\$149,157)	(\$160,264)	\$275,774
Percent Change	-17.60%	152.24%	26.35%	100.00%	-100.00%	-48.00%	17.36%
2014 Rates	0.130	7.495	3.245	2.693	-	2.996	1.914

The package policy increased 18.10% due to a large increase in the value of assets insured along with general premium increases. The asset value increased due to purchases of a sewer vacuum truck, front end loader, and new squads. There was a minor decrease in the public officials bonds premium and the coverage is the same as the previous year.

The vendors West Insurance Agency and staff are recommending are the same as last year: Trident - Package Program: Commercial, Auto, Crime, Etc.; The Illinois Public Risk Fund (IPRF) – Workers Compensation; and Liberty Mutual Surety - Public Officials Bonds. Brad West and Geoff Raef will be in attendance at the COTW meeting for any questions. A brief summary of the vendors is a follows:

**Trident**

Founded by the Argonaut Group in March 2000, Trident is committed to servicing the insurance and risk management needs of governmental entities throughout the United States. Trident Insurance Services primary focus is Public Entity Insurance. Their coverage forms and terms are tailored specifically for the public entity market segment.

**The Illinois Public Risk Fund**

The Illinois Public Risk Fund (IPRF) is a self-funded workers compensation pool for public entities that was established to provide a cost-effective alternative to escalating workers compensation premiums and related costs. Participating public entities have pooled their workers compensation exposures and controlled costs through a unified loss prevention and claims management program. Over 500 public entities and governmental agencies rely on IPRF. With over 25 years in the public entity market, IPRF has become Illinois' largest self-insured risk pool for workers compensation coverage.

**Liberty Mutual Surety**

Liberty Mutual Surety is the second largest surety writer in the U.S. that provides bid and supply, court, license & permit, miscellaneous, notary, probate, public official, and regulatory bonds, just to name a few.

Should the Village Board decide to move forward with the three vendors above, resolutions will be provided for each insurance type along with proposed insurance binders at the next Board meeting.

Below is a table that lists the workers compensation and property claims, the dollar amount and number of claims each calendar year since year end 2000. For calendar year 2012 there is one claim that remains open and for the calendar year 2013 four remain open. Also included are averages for claims paid and number of claims filed.

<u>Claim Dates</u>		<u>Workers</u>		<u>Property/</u>		<u>Total</u>	
<u>Start</u>	<u>End</u>	<u>Compensation</u>	<u>#</u>	<u>Casualty</u>	<u>#</u>	<u>Claims</u>	<u>#</u>
12/31/1999	12/31/2000	\$0.00	1	\$7,086.31	13	\$7,086.31	14
12/31/2000	12/31/2001	\$3,231.60	7	\$12,261.79	7	\$15,493.39	14
12/31/2001	12/31/2002	\$544.64	1	\$9,659.75	4	\$10,204.39	5
12/31/2002	12/31/2003	\$26,305.92	10	\$9,112.33	5	\$35,418.25	15
12/31/2003	12/31/2004	\$40,587.77	7	\$85,971.97	6	\$126,559.74	13
12/31/2004	12/31/2005	\$1,991.40	7	\$65,727.00	8	\$67,718.40	15
12/31/2005	12/31/2006	\$110,783.07	7	\$14,652.51	9	\$125,435.58	16
12/31/2006	12/31/2007	\$300,050.11	6	\$33,305.49	12	\$333,355.60	18
12/31/2007	12/31/2008	\$5,052.77	6	\$23,984.64	15	\$29,037.41	21
12/31/2008	12/31/2009	\$30,637.40	2	\$8,025.96	10	\$38,663.36	12
12/31/2009	12/31/2010	\$4,468.46	5	\$18,915.29	8	\$23,383.75	13
12/31/2010	12/31/2011	\$74,837.13	11	\$35,763.24	10	\$110,600.37	21
12/31/2011	12/31/2012	\$7,448.62	10	\$28,836.51	6	\$36,285.13	16
12/31/2012	12/31/2013	\$1,763.92	1	\$11,348.90	10	\$13,112.82	11
<b>Average Amount Paid</b>		<b>\$43,407.34</b>		<b>\$26,046.55</b>		<b>\$69,453.89</b>	
<b>Average No. of Claims</b>		<b>6</b>		<b>9</b>		<b>15</b>	

The Village has also qualified for a grant through the IPRF in the amount of \$3,983, which is nearly three times the amount of \$1,400 received last year. Finally, West Insurance requested a Cyber Liability option at a cost of \$6,983. Staff recommends at this time to forego the Cyber liability option. However, should the Village migrate to the “cloud” for backup or other reasons, the insurance is available.

**Village of Round Lake  
2014 Property/Casualty Insurance Summary**

<b>Commercial Property Section:</b>	<b>Trident</b>
Building	\$22,240,909
Business Personal Property	\$1,548,914
Blanket property coverage	Yes
Property valuation	Replacement Cost
Property policy deductible	\$1,000

**Building and BPP limits were increased 3%.**

Business Income and Extra Expense	\$250,000
Blanket Earthquake	\$1,000,000
EQ deductible	\$50,000
Blanket Flood	\$1,000,000
Flood deductible	\$50,000
Water backup of sewers and drains	Property limits
Equipment Breakdown coverage	Included
Accounts Receivable	\$100,000
Valuable Papers & Records	\$100,000
Computer Equipment	\$150,000
Property in Transit	\$50,000
Building Laws Coverage	\$100,000
Terrorism Coverage	Included

**\* Additional limits can be found under the Property endorsement**

<b>Commercial General Liability Section</b>	<b>Trident</b>
General Aggregate	\$3,000,000
Products/Completed Operations Aggregate	\$3,000,000
Body Injury and Property Damage each occurrence	\$1,000,000
Personal & Advertising Injury each occurrence	\$1,000,000
Failure to Supply - Water	\$1,000,000
General Liability deductible	\$0
Law Enforcement Liability	\$1,000,000
Law Enforcement Liability Aggregate	\$1,000,000
Law Enforcement Liability deductible	\$2,500
Retroactive Date	12/31/2006
Public Officials Liability (POL)	\$1,000,000
POL Aggregate	\$1,000,000
Public Officials Liability deductible	\$2,500
Retroactive Date	12/31/2006
Employment Practices Liability (EPL)	\$1,000,000
EPL Aggregate	\$1,000,000
EPL deductible	\$10,000
Employee Benefits Liability	\$1million/\$1million
Employee Benefits deductible	\$1,000

**Village of Round Lake  
2014 Property/Casualty Insurance Summary**

**Automobile Liability Section**

**Trident**

**48 vehicles/trailers were reported**

Limit of liability	\$1,000,000
Physical Damage	\$841,637
Hired/Nonowned Liability	Included
UM/UIM	\$100,000
Medical payments	\$5,000
Comprehensive deductible	\$1,000
Collision deductible	\$1,000

**Crime Section**

**Trident**

Employee Dishonesty	\$500,000
Theft, Disappearance and Destruction - Inside the premises	\$50,000
Theft, Disappearance and Destruction- Outside the premises	\$50,000
Forgery & Alteration	\$500,000
Crime deductible	\$2,500

**Inland Marine Section**

**Trident**

Contractors Equipment (Scheduled)	\$1,982,400
Unscheduled Equipment	\$190,000-max \$1K/item
Hired, Leased, Borrowed equipment	\$100,000
Inland Marine Deductible	\$500
Inland Marine Equipment valuation	Actual Cash Value

**The total IM equipment limit is up 16% from 2013.**

**Excess Liability Section**

**Trident**

Limit per occurrence/aggregate	\$10,000,000
Excess Liability deductible	\$0
General liability covered under excess policy	yes
Automobile liability covered under excess policy	yes
EPLI covered under excess policy	yes
EBL covered under excess policy	yes
PO liability covered under excess policy	yes
Law Enforcement liability covered under excess policy	yes

**Village of Round Lake  
2014 Property/Casualty Insurance Summary**

<b>Workers Compensation</b>	<b>IPRF</b>
Employers Liability limit	\$2,500,000
Payroll Breakdown per class code	
<b>5506 - Street Maintenance</b>	\$317,344
<b>7520 - Waterworks</b>	\$194,140
<b>7720 Policemen</b>	\$1,864,405
<b>8810 Clerical</b>	\$707,054
<b>9402 Street Cleaning</b>	\$0
<b>9410 Municipal Employees</b>	\$173,608
<b>* 7580 Sewage Disposal</b>	\$58,170
Experience Modification	1.02

\*The 7580 - Sewage Disposal class code was added following the 2013 payroll audit.

<b>Public Officials Bonds</b>	<b>Liberty Surety First</b>
Village President - Daniel MacGillis	\$50,000
Village Clerk - Patricia Blauvelt	\$50,000
Village Administrator - Russell Kraly	\$50,000
Village Finance Officer - Steven Shields	\$500,000
Village Treasurer - Steven Shields	\$500,000
Deputy Clerk - Martha Koechig	\$5,000
Fire & Policy Commissioner - Richard Crane	\$50,000
Fire & Policy Commissioner - Art Weber	\$50,000
Fire & Policy Commissioner - James Retis	\$50,000

**Premium Breakdown**

Package Policy (Property, GL , Crime, Inland Marine, Excess)	Trident
	\$86,781

\* OneBeacon, ICRMT, Travelers, Brit and Glatfelter were asked to provide a competitive quotation but declined because they could not compete with Trident's renewal pricing.

<b>Workers Compensation</b>	<b>IPRF</b>
	\$75,661
<b>Public Officials Bonds</b>	<b>Liberty Mutual Surety</b>
	\$1,672
<b>Total Estimated Annual Premium</b>	<b>Trident/IPRF/Liberty</b>
	\$164,114

**Village of Round Lake  
2014 Property/Casualty Insurance Summary**

<b>Cyber Liability (Optional Consideration)</b>	<b>Beazley Insurance</b>
Information Security and Privacy Liability	\$1,000,000
Regulatory Defense and Penalties	\$250,000
Website Media Content Liability	\$1,000,000
Policy Aggregate	\$1,000,000
Crisis Management and Public relations	\$100,000
Notification costs/aggregate	\$50,000/\$50,000
Legal & Forensic Expenses	\$100,000
Deductible	\$10,000/\$5,000
Cyber Liability Estimated Premium *	\$6,983

\* The Cyber Liability premium is subject to a completed Beazley Breach Response App.

# IPRF Advantages



*With over 20 years in the public entity market, IPRF has become Illinois' **LARGEST, SELF-INSURED RISK POOL** for worker's compensation coverage.*

- First dollar coverage - no deductible
- FREE loss control seminars are conducted at various locations throughout Illinois each year
- Coverage extends to authorized volunteers and student workers
- Coverage is provided for approved Physical Fitness Training Programs and a direct bill prescription drug program
- Current PPO/Managed Care agreements provide access to over 10,000 physicians and facilities throughout Illinois
- Members enjoy a dedicated claims unit with TOLL FREE fax claims and a TOLL FREE claim information line
- Medical case managers and rehabilitation specialists are assigned on major injury cases
- Aggressive subrogation includes member's out-of-pocket expenses
- Standard employers liability is \$2.5 million
- The strength and experience that only comes from serving nearly 500 public entities and governmental agencies
- Dedicated claims unit with a claims analyst assigned to each member, by location
- Internet claims analysis and reporting tool designed to provide around-the-clock access to your claims information
- Last, and most important, we work with local independent agents who we feel provide the on-site services our members deserve



**ILLINOIS PUBLIC RISK FUND**  
*Cost Control Through Cooperation Since 1985*

Marketing Agent: Boyle, Flagg & Seaman, Inc.  
7941 West 171st Street, Tinley Park, IL 60477  
708-429-6300 • 800-289-IPRF • Fax 708-429-6488 • [www.iprf.com](http://www.iprf.com)

# Excess Insurance Coverage



*Two types of excess insurance protection have been purchased to protect participating IPRF members...*

## Specific Excess Insurance

In a self-funded workers compensation program, specific excess insurance protects the claim fund from individual catastrophic claims. The insurance company will pay for any amount of loss which exceeds a pre-determined limit on any one occurrence.

## Aggregate Excess Insurance

Aggregate excess insurance provides protection against frequency of claims. The specific excess coverage provides full statutory (unlimited) limits of liability. The aggregate excess provides \$10,000,000 of coverage excess of the loss fund.

## Additional Coverages Include:

- Employers Liability 2,500,000
- All authorized volunteers and student workers are included
- Broad form All States Coverage
- Longshoremen's and Harbor Worker's Compensation Act
- Approved Physical Fitness & Training Programs
- A direct bill prescription drug program

Excess insurance, **broad member coverage** and a continued AAA unsurpassed Financial Stability Rating® by Demotech are important reasons why the IPRF has grown to become Illinois' largest self-insured risk pool for workers' compensation coverage.



**ILLINOIS PUBLIC RISK FUND**

*Cost Control Through Cooperation Since 1985*

Marketing Agent: Boyle, Flagg & Seaman, Inc.

7941 West 171st Street, Tinley Park, IL 60477

708-429-6300 • 800-289-IPRF • Fax 708-429-6488 • [www.iprf.com](http://www.iprf.com)

# Cost Control through Cooperation since 1985



*Participating public entities have pooled their workers' compensation exposures and controlled costs through a unified loss prevention and claims management program.*

## Share in the Savings...

### Reduced Overhead

In contrast to large insurance companies, 80% of each IPRF premium dollar is added to the loss fund while 20% is allocated to the purchase of excess insurance and administration costs.

### Investment Income

Because the Illinois Public Risk Fund is a self-insured pool, all investment earnings accrue to the fund. Participants benefit from the investment income generated by the administrative and claims fund.

### Underwriting Profits

Profits are based on the underwriting surplus realized by the group and of course, members share in this surplus based upon individual and overall member claims experience.

### Interest Free Premium Financing

For those risk generating gross premium in excess of \$10,000, payments may be made with 25% down and three quarterly interest-free payments. Premiums exceeding \$75,000 may be paid monthly, 12 interest-free installments.



## ILLINOIS PUBLIC RISK FUND

*Cost Control Through Cooperation Since 1985*

Marketing Agent: Boyle, Flagg & Seaman, Inc.

7941 West 171st Street, Tinley Park, IL 60477

708-429-6300 • 800-289-IPRF • Fax 708-429-6488 • [www.iprf.com](http://www.iprf.com)



*Performance and service have enabled the IPRF to earn an outstanding reputation among governmental entities throughout the State of Illinois.*

## Share in the Operation...

### Loss Control

In order to minimize losses and maximize savings, a formal loss control program has been developed for the IPRF. Special loss control safety manuals are provided specifically to help members identify claim patterns and reduce loss frequency. IPRF stresses its commitment to loss control and safety with a timely member newsletter and an annual series of loss control seminars.

### Claims Administration

An open system of communication has been established, whereby members have input into the development and final settlement of claims. The program is designed to make the IPRF more responsive to the needs of participants.

### Risk Information

Participating public entities receive monthly computerized case reports which identify the status of all reported claims. This includes claim numbers, claimants' names, loss descriptions, occurrence dates, paid losses and reserved. Custom reports are available to meet specific needs.

### Professional Administration

In order to assure professional day to day administration of the fund, IPRF Trustees have contracted for all administrative services including underwriting, loss control and claims administration.

## To Qualify for IPRF...

In order to qualify for participation in the Illinois Public Risk fund, the applicant must:

- a. Be a public entity or governmental agency located within the State of Illinois
- b. Demonstrate a commitment to meet loss control and claims management standards established by the fund
- c. Have favorable loss experience and be approved by the Board of Trustees of the fund



## ILLINOIS PUBLIC RISK FUND

*Cost Control Through Cooperation Since 1985*

Marketing Agent: Boyle, Flagg & Seaman, Inc.

7941 West 171st Street, Tinley Park, IL 60477

708-429-6300 • 800-289-IPRF • Fax 708-429-6488 • [www.iprf.com](http://www.iprf.com)



VILLAGE OF ROUND LAKE  
**AGENDA ITEM SUMMARY**

**TITLE:** PROPERTY/CASUALTY INSURANCE PROGRAM

**Agenda Item No. 5.6**

*Executive Summary*

West Insurance Agency, for calendar year 2014, again sent out risk management information to a minimum of five (5) vendors. Overall, the risk management premium increased 16.27% from the previous year, the largest portion from an increase in the package policy. The \$162,114 premium is still less than the high of \$205,659 for the 2011 coverage year. The workers compensation premium increased 14.75% due to a refinement of the allocation of payroll based on the 2012 payroll audit performed by IPRF.

The vendors West Insurance Agency and staff are recommending are the same as last year: Trident - Package Program: Commercial, Auto, Crime, Etc...(\$86,781); The Illinois Public Risk Fund (IPRF) - Workers Compensation (\$75,661); and Liberty Mutual Surety - Public Officials Bonds (\$1,610). The total insurance cost for all three programs is \$164,052.

Attached is a resolution and proposal from Trident for a Property/Casualty Insurance Program. Also attached is general information regarding Trident.

*Recommended Action*

Adopt a Resolution Approving a Proposal from Trident for a Property/Casualty Insurance Program.

<b>Committee:</b> Human Resources & Finance		<b>Meeting Date:</b> 11/18 & 12/02/13	
<b>Lead Department:</b> Administration		<b>Presenter:</b> Steven J. Shields	
<b>Item Budgeted:</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A			
If amount requested is over budget, a detailed explanation of what account(s) the overage will be charged to will be provided in the Executive Summary or attached detail.			
<b>Note:</b> Both risk management accounts are over the annual budget. There is no specific account where the savings will come from; however, current projections indicate the General and Water/Sewer funds will be under budget overall.			
	<b>Account(s)</b>	<b>Budget</b>	<b>Expenditure</b>
	<b>01-20-75-77519</b>		
	Item Requested	\$119,631.00	\$123,039.00
	Y-T-D Actual		\$10,366.75
	Amount Encumbered		\$0.00
	<b>Total</b>	<b>\$119,631.00</b>	<b>\$133,405.75</b>
	<b>50-60-75-77519</b>		
	Item Requested	\$39,877.00	\$41,013.00
	Y-T-D Actual		\$7,459.93
	Amount Encumbered		\$0.00
	<b>Total</b>	<b>\$39,877.00</b>	<b>\$48,472.93</b>
	<b>Grand Total</b>	<b>\$159,508.00</b>	<b>\$181,878.68</b>
Request is over/under budget:			
	Under -		
	Over		\$22,370.68

**A Resolution Approving a Proposal from Trident for a Property/Casualty Insurance Program**

**BE IT RESOLVED** by the Village President and Board of Trustees of the Village of Round Lake as follows:

1. The Trident Property/Casualty insurance proposal attached for the Village of Round Lake is hereby approved.
2. The Mayor or his designee is authorized to execute any and all documents necessary to implement the above stated property/casualty insurance program.

**APPROVED:**

\_\_\_\_\_  
Daniel A. MacGillis, Village President

**ATTEST:**

\_\_\_\_\_  
Patricia C. Blauvelt, Village Clerk

PASSED:

APPROVED:

AYES:

NAYS:

ABSENT:



P.O. Box 469012  
San Antonio, TX 78246  
(866) 575-9800 phone  
(866) 379-9801 fax

**PLEASE DELIVER THIS PROPOSAL TO:**

Raef, Geoff  
West's Insurance Agency  
1225 Tri-State Pkwy, Suite 500  
Gurnee, Illinois 60031

Business Phone: (847) 623-0456  
Fax Number: (847) 623-5600

**FROM: Maureen Toth**  
**SUBJECT: Village of Round Lake, Illinois**

**COMMENTS:**

This proposal requires a signed Trident application.

Entity Number: 328418  
Agency Number: 4466  
Application Number: 45835

**CONFIDENTIAL** - This transmission is intended only for the addressee indicated above. It may contain information that is privileged, confidential, or otherwise protected from disclosure. Any review, dissemination, or use of this transmission or its contents by persons other than the addressee is strictly prohibited. If you have received this transmission in error, please notify us immediately by telephone at the number listed above.



## TRIDENT INSURANCE SERVICES

INSURANCE PROPOSAL  
FOR:

**Village of Round Lake, Illinois**

PRESENTED BY:

**West's Insurance Agency**

EFFECTIVE DATE:

**12/31/2013 - 12/31/2014**

PREPARED BY:

**Maureen Toth**

Underwriter for Trident Insurance Services

P.O. Box 469012  
San Antonio, TX 78246  
(866) 575-9800 phone  
(866) 379-9801 fax

This quotation is valid for thirty (30) days from the date of quotation or the inception date, whichever is later.  
Extensions may be granted upon request to TRIDENT.

11/06/2013



Trident Insurance Services is dedicated to servicing the insurance needs of preferred governmental agencies throughout the state of Illinois. Our organization has over a century of experience and success in providing services and solutions to our Public Entity clients. We are committed to providing a competitive program with a comprehensive insurance package.

Our CLAIMS department brings the experience of having settled over 75,000 Public Entity claims in the past 15 years. This brings an unsurpassed level of knowledge and expertise to the program.

Our MARKETING unit partners with local independent agents to seek out and gather information from select governmental entities in order to provide a tailored insurance package that focuses on the specific need of each individual entity.

Our UNDERWRITING staff specializes only on governmental entities. We help to identify the needs of our future insureds and provide solutions to those needs.

Trident offers coverage through the Argonaut Group of Companies.

**Argonaut Insurance Company (AM Best Rating of A)**

**Argonaut Great Central Insurance Company (AM Best Rating of A)**

**POLICYHOLDER DISCLOSURE  
NOTICE OF TERRORISM  
INSURANCE COVERAGE**

You are hereby notified that under the Terrorism Risk Insurance Act, as amended, that you have a right to purchase insurance coverage for losses resulting from acts of terrorism, as *defined in Section 102(1) of the Act*: The term "act of terrorism" means any act that is certified by the Secretary of the Treasury-in concurrence with the Secretary of State, and the Attorney General of the United States-to be an act of terrorism; to be a violent act or an act that is dangerous to human life, property, or infrastructure; to have resulted in damage within the United States, or outside the United States in the case of certain air carriers or vessels or the premises of a United States mission; and to have been committed by an individual or individuals as part of an effort to coerce the civilian population of the United States or to influence the policy or affect the conduct of the United States Government by coercion.

YOU SHOULD KNOW THAT WHERE COVERAGE IS PROVIDED BY THIS POLICY FOR LOSSES RESULTING FROM CERTIFIED ACTS OF TERRORISM, SUCH LOSSES MAY BE PARTIALLY REIMBURSED BY THE UNITED STATES GOVERNMENT UNDER A FORMULA ESTABLISHED BY FEDERAL LAW. HOWEVER, YOUR POLICY MAY CONTAIN OTHER EXCLUSIONS WHICH MIGHT AFFECT YOUR COVERAGE, SUCH AS AN EXCLUSION FOR NUCLEAR EVENTS. UNDER THE FORMULA, THE UNITED STATES GOVERNMENT GENERALLY REIMBURSES 85% OF COVERED TERRORISM LOSSES EXCEEDING THE STATUTORILY ESTABLISHED DEDUCTIBLE PAID BY THE INSURANCE COMPANY PROVIDING THE COVERAGE. THE PREMIUM CHARGED FOR THIS COVERAGE IS PROVIDED BELOW AND DOES NOT INCLUDE ANY CHARGES FOR THE PORTION OF LOSS THAT MAY BE COVERED BY THE FEDERAL GOVERNMENT UNDER THE ACT.

YOU SHOULD ALSO KNOW THAT THE TERRORISM RISK INSURANCE ACT, AS AMENDED, CONTAINS A \$100 BILLION CAP THAT LIMITS U.S. GOVERNMENT REIMBURSEMENT AS WELL AS INSURERS' LIABILITY FOR LOSSES RESULTING FROM CERTIFIED ACTS OF TERRORISM WHEN THE AMOUNT OF SUCH LOSSES IN ANY ONE CALENDAR YEAR EXCEEDS \$100 BILLION. IF THE AGGREGATE INSURED LOSSES FOR ALL INSURERS EXCEED \$100 BILLION, YOUR COVERAGE MAY BE REDUCED.

**Acceptance or Rejection of Terrorism Insurance Coverage**

- I hereby elect to purchase terrorism coverage for a prospective premium of \$1,468.
- I hereby decline to purchase terrorism coverage for certified acts of terrorism. I understand that I will have no coverage for losses resulting from certified acts of terrorism.

	Trident Insurance Services, LLC
Policyholder/Applicant's Signature	Company
	4626078-02
Print Name	Policy Number
Date	

## GENERAL LIABILITY (PE-4626078-02) GCIC

Occurrence Form

### Standard Coverage

	<u>Limit</u>
Bodily Injury/Property Damage	1,000,000
Personal Injury/Advertising Injury	1,000,000
Fire Damage	100,000
Employee Benefits (\$1,000 deductible applies)	1,000,000
General Aggregate	3,000,000
Products/Completed Operations Aggregate	3,000,000
Deductible (Expenses not included within retention)	None

### *Each Occurrence*

**PREMIUM** **8,020**

Miscellaneous:

<u>Description</u>	<u>Limit</u>	<u>Deductible</u>
Exclusion - (Limited) - Failure to Supply	Included	None
Limited Pollution Liability Coverage	Included	None
<u>General Liability P.E. 2</u>	<u>Limit</u>	<u>Deductible</u>
Public Water Utility	Included	None
Sewer System	Included	None

### General Liability Features and Benefits

#### Description

"Pay On Behalf" Form  
 Additional Insureds by Written Agreement, Contract or Permit  
 Chartered Aircraft Liability  
 Contractual Liability  
 Defense Costs in Addition to Limit of Liability  
 Extended Property Damage  
 Host Liquor Liability  
 Non Auditable Policy  
 Premises / Operations  
 Products / Completed Operations  
 Special Events (subject to company approval)  
 Supplementary Payments - Bail Bonds  
 Supplementary Payments - Daily Loss of Earnings  
 Watercraft Liability  
 Broadened Definition of Who Is Insured

## **PUBLIC OFFICIALS' LIABILITY (PO-4626078-02) GCIC**

Claims Made Form

### **Standard Coverage**

	<u>Limit</u>
Per Wrongful Act	1,000,000
Annual Aggregate	1,000,000
Employment Related Wrongful Acts	Excluded
Deductible	2,500

### ***Each Wrongful Act***

Prior Acts Date 12/31/2006

### **PREMIUM**

**1,322**

### **Public Officials P.E. 2**

	<u>Limit</u>	<u>Deductible</u>
Public Water Utility	Included	2500
Sewer System	Included	2500

### **Public Officials' Liability Features and Benefits**

#### **Description**

"Pay On Behalf" Form  
Broad Form Named Insured  
Civil Rights Violations  
Licensing & Permitting Coverage  
Non Auditable Policy  
Zoning Coverage

## **EMPLOYMENT PRACTICES LIABILITY (EP-4626078-02) GCIC**

Claims Made Form

### **Standard Coverage**

	<u>Limit</u>
Per Wrongful Employment Act	1,000,000
Annual Aggregate	1,000,000
Deductible	10,000

### ***Each Wrongful Act***

Prior Acts Date 12/31/2006

### **PREMIUM**

**2,656**

## LAW ENFORCEMENT LIABILITY (LE-4626078-02) GCIC

Occurrence Form

### **Standard Coverage**

Per Wrongful Act

**Limit**  
1,000,000

Annual Aggregate

1,000,000

Deductible

2,500

*Each Wrongful Act*

**PREMIUM**

**14,835**

### **Law Enforcement Liability Features and Benefits**

#### **Description**

"Pay On Behalf" Form

Authorized Moonlighting

Broad Form Named Insured

Canine and Equine Exposures

Civil Rights Violations

False Arrest/Wrongful Imprisonment

False or Improper Service or Process

Non Auditable Policy

Property Damage

## COMMERCIAL AUTOMOBILE LIABILITY (BA-4626078-02) GCIC

<u>Standard Coverage</u>	<u>Limit</u>	<u>Symbol</u>
Liability Limit	1,000,000	1
Deductible	None	
Uninsured Motorist	100,000	2
Underinsured Motorist	100,000	2
Automobile Medical Payments	5,000	2
<b>Total Units Quoted</b>	<b>48</b>	
<b>PREMIUM</b>		<b>13,458</b>

## COMMERCIAL AUTOMOBILE PHYSICAL DAMAGE (BA-4626078-02) GCIC

<u>Standard Coverage</u>	<u>OCN</u>	<u>Deductible</u>	<u>Units</u>	<u>Valuation</u>	<u>Symbol</u>
Comprehensive	841,637	1,000	48	ACV	10
Collision	841,637	1,000	48	ACV	10
<b>PREMIUM</b>					<b>4,090</b>

---

### FLEET AUTOMATIC

---

The premium quoted is the final annual premium. Subject to the conditions described in item #2 below, this coverage will not be audited.

#### # 1 - Commercial Automobile Liability

Coverage is automatically provided for ANY AUTO the entity owns or acquires during the Policy Period.

#### # 2 - Commercial Automobile Physical Damage

Coverage is provided on a "Fleet Automatic" basis. This means that any auto(s) the entity acquires during the term of the policy will be covered automatically, provided it is an additional new auto or replaces an auto the entity previously owned which had Physical Damage coverage. The entity must inform Trident that they desire Physical Damage coverage for these autos within 30 days after the entity acquires the auto(s). The entity must submit a request for coverage for any auto(s) which do not meet the conditions outlined above. Coverage for these autos will be added by endorsement.

**IMPORTANT NOTE: The Insured should continue to submit all change request to their agent and the agent should submit copies of all requests to Trident to insure accurate record keeping and claims verification.**

## COMMERCIAL PROPERTY (PE-4626078-02) GCIC

### Standard Coverage

	<u>Limit</u>
Building	22,240,909
Contents	1,548,914
TOTAL INSURED VALUES	23,789,823
Loss Limit	No
Blanket Limit Applies (subject to 125% maximum limit per Building or Personal Property Limit)	
Cause of Loss Form	Special
Co-insurance	90%
Deductible	1,000
Valuation	RC
Inflation Guard	3%
<b>TOTAL PREMIUM</b>	<b>26,240</b>

### Other Perils

	<u>Total Insured Values</u>	<u>Deductible</u>
Equipment Breakdown Coverage	23,789,823	1,000
Earthquake Coverage Limitation	1,000,000	50,000
Exclusion Amendment - Flood	1,000,000	50,000

### Equipment Breakdown

#### Description

	<u>Limit</u>
Limit	23,789,823
Business Income & Extra Expense	Per CP-360 unless otherwise specified
Expediting Expenses	100,000
Hazardous Substances	100,000
CFC Refrigerants	Included
Data Restoration	100,000
Boiler Inspection	Included
Computer Equipment	100,000
Deductible	1,000

## Commercial Property Features and Benefits

<u>Description</u>	<u>Limit</u>	<u>Revised Limit</u>	<u>Deductible</u>
Accounts Receivable	100,000		
Arson Reward	7,500		
Building Ordinance or Law			
Loss to Undamaged Portion of Building	Building Limit		
Demolition Cost Coverage	100,000		
Increased Cost of Construction	100,000		
Changes in Temperature or Humidity	50,000		
Commandeered Property	100,000		
Common Deductible Provision	Included		
Communications Equipment	50,000		
Computer Equipment	50,000	150,000	1,000
Crime Reward			
Per Person	1,000		
Maximum	5,000		
Detached Signs	5,000		
Electrical Damage	50,000		
Extra Expense and Business Income	100,000	250,000	1,000
Fairs, Exhibitions, Expositions or Trade Shows	50,000		
Fine Arts	50,000		
Fire Department Service Charge	5,000		
Fire Equipment Recharge	Included		
Flagpoles	5,000		
Foundations	Included		
Glass	Included		
Glass Display or Trophy Cases	5,000		
Grounds Maintenance Equipment	50,000		
Lock Replacement	500		
Money and Securities			
Inside Premise	5,000		
Outside Premise	5,000		
Newly Acquired or Constructed Property			
Building	1,000,000		
Personal Property	500,000		
Off Premises Utility Failure	50,000		
Outdoor Property			
Any one Tree, Shrub or Plant	1,000		
Total Limit	50,000		
Personal Effects and Property of Others			
Any one Employee or Volunteer	1,500		
Any One Occurrence	50,000		
Replacement Cost Valuation	Included		
Pollutant Clean up and Removal	25,000		
Premises Boundary Increased Limit	1,000 Feet		
Property in Transit	50,000		
Property Off-Premises	50,000		
Sewer Back-Up	Included		
Spoilage	10,000		
Valuable Papers	100,000		

## COMMERCIAL INLAND MARINE (PE-4626078-02) GCIC

<u>Standard Coverage</u>	<u>Limit</u>	<u>Deductible</u>	<u>Valuation</u>
Emergency Services	313,800	500	ACV
Hired, Leased, Borrowed Equipment	100,000	500	ACV
Miscellaneous Scheduled	736,000	500	ACV
Miscellaneous Unscheduled			
Subject to maximum amount of: 1,500 per item	190,000	500	ACV
Street/Highway	932,600	500	ACV
<b>PREMIUM</b>			<b>1,970</b>

## COMMERCIAL CRIME (PE-4626078-02) GCIC

<u>Standard Coverage</u>	<u>Limit</u>	<u>Deductible</u>
Forgery and Alteration	500,000	2,500
Public Employees Dishonesty	500,000	2,500
Theft, Disappearance, and Destruction Inside Limit	50,000	2,500
Theft, Disappearance, and Destruction Outside Limit	50,000	2,500
<b>PREMIUM</b>		<b>376</b>

Miscellaneous:

<u>Description</u>	<u>Limit</u>	<u>Deductible</u>
Add Faithful Performance of Duty	Included	Included

## COMMERCIAL EXCESS LIABILITY (UMB-4626078-02) GCIC

<u>Standard Coverage</u>	<u>Limit</u>
Each Occurrence, Offense, Accident, or Wrongful Act	10,000,000
Annual Aggregate	10,000,000
<i><b>Underlying Insurance</b></i>	
General Liability	1,000,000
Public Officials' Liability	1,000,000
Law Enforcement Liability	1,000,000
Commercial Automobile Liability	1,000,000
Employment Practices Liability	1,000,000
<b>PREMIUM</b>	<b>13,814</b>

The following is a price breakdown for this quotation:

Option 1

<u>Coverage</u>	<u>Premium</u>
General Liability	8,020
Public Officials' Liability	1,322
Law Enforcement Liability	14,835
Commercial Automobile Liability	13,458
Commercial Automobile Physical Damage	4,090
Commercial Property	26,240
Commercial Inland Marine	1,970
Commercial Crime	376
Commercial Excess Liability	13,814
Employment Practices Liability	2,656
<b>TOTAL</b>	<b>86,781</b>

The total premium shown includes a charge for Certified Acts of Terrorism coverage. The amount of premium attributed to this coverage is:

1,468

#### GENERAL CONDITIONS

**This proposal is based on information provided to TRIDENT by the Agent. An application signed and dated by an official of the entity and the agent/broker must be received within thirty (30) days of binding coverage.**

**The quotation in this proposal does not necessarily match the coverages or limits requested in the bid specifications and/or application. No warranty is made or implied with respect to the total compliance to bid specifications or applications.**

**Each individual policy contains the actual terms, conditions and exclusions. This proposal highlights certain features and benefits of the Trident program. Specifically excluded exposures include, but are not limited to: airports/aviation activities, medical facilities or medical professional liability, mechanically operated amusement devices, injury to volunteers, lead contamination, pollution liability, etc.**

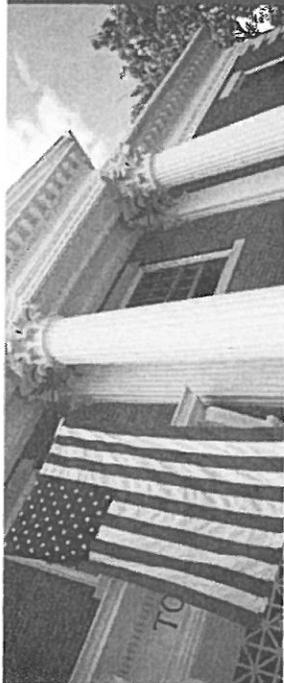
**Please note that any professional liability lines quoted (Public Officials Liability, Law Enforcement Liability, Educator's Legal Liability, and Employment Practices Liability) include defense costs within the deductible.**

**AN EXCLUSION FOR MOLD OR A SUBLIMIT MAY APPLY.**

**The quoted premium for the Terrorism Risk Insurance Program Reauthorization Act of 2007 and Total Estimated Taxes and Fees are based on quoted limits and coverages. Final premium is subject to adjustment based on any changes to those limits and coverages.**

**A TERRORISM EXCLUSION MAY APPLY.**





# What is Trident?

Trident is one of the largest providers of insurance solutions for small and intermediate sized public entities in the United States.

We are experts in tailoring our comprehensive insurance and risk control methods to meet your specific needs.

We are led by a management team with deep expertise and decades of collective experience in public entity insurance.

We are driven to provide unmatched service to our clients.

*We're your agent's partner—and yours.*

*"Trident is truly a leader in the insuring of governmental entities. We are very fortunate to have all our insureds in the Southeast exclusively with the Trident program."*

—Sheila Warner  
President, Millennium Insurance Group  
A Trident retail agent

*"Easy to work with. Very timely handling of all facets, applications, endorsements, etc. Very knowledgeable staff."*

—Joe Podlesny, Nesbitt  
A Trident retail agent

## Coverages for your public entity needs:

### Law Enforcement Liability

- Coverage for wrongful acts of public officials/board members
- Broadened Definition of *Who Is An Insured\**
- Civil Rights Violations
- Canine/Equine Exposures
- Authorized Moonlighting
- False Arrest
- Improper Service
- Mutual Aid Agreements
- Detention Facilities

### General Liability

- Occurrence Form
- Pollution Coverage Available
- Broadened Definition of *Who Is An Insured\**
- Premises/Operations
- Products Completed Operations
- Contractual Liability
- Independent Contractor Liability
- Host Liquor Liability

### Employment Practices

- Coverage for Wrongful Employment Acts
- Broadened Definition of *Who Is An Insured\**
- Provides for EEOC Defense Costs
- Back Wages Coverage Provided
- Pre-termination Coverage - Up to \$5K Legal Counsel expense
- Provides for Non-monetary Defense Costs
- Defense Costs Do Not Reduce Policy Limits

### Property & Allied Lines

- BUILDING & CONTENTS
- Loss of Use/Extra Expense
- Equipment Breakdown
- Special Coverage Extensions
- Blanket/Agreed Amount
- Identity Recovery
- INLAND MARINE
- Mobile Equipment
- Tools
- Fire/Police Equipment
- Office Equipment

### Public Officials Liability

- Coverage for wrongful acts of public officials/board members
- Zoning Issues
- Licensing/Permitting Issues
- Broadened Definition of *Who Is An Insured\**

### Auto Liability & Physical Damage

- Broadened Definition of *Who Is An Insured\**
- Follow Employee Coverage
- Hired/Non-owned Vehicles
- Emergency Vehicles
- "Hot Pursuit" Coverage
- Replacement Cost Coverage on Emergency Vehicles
- Fleet Automatic Available

### Excess Liability

- "Pay on Behalf" Form
- Following Form Coverage

\* In some states, this would apply to the Administrator of *Who Is An Insured* policy.



VILLAGE OF ROUND LAKE  
**AGENDA ITEM SUMMARY**

**TITLE: PUBLIC OFFICIAL'S BONDS**

**Agenda Item No. 5.7**

*Executive Summary*

West Insurance Agency, for calendar year 2014, again sent out risk management information to a minimum of five (5) vendors. Overall, the risk management premium increased 16.27% from the previous year, the largest portion from an increase in the package policy. The \$162,114 premium is still less than the high of \$205,659 for the 2011 coverage year. The workers compensation premium increased 14.75% due to a refinement of the allocation of payroll based on the 2012 payroll audit performed by IPRF.

The vendors West Insurance Agency and staff are recommending are the same as last year: Trident - Package Program: Commercial, Auto, Crime, Etc...(\$86,781); The Illinois Public Risk Fund (IPRF) - Workers Compensation (\$75,661); and Liberty Mutual Surety - Public Officials Bonds (\$1,610). The total insurance cost for all three programs is \$164,052.

Attached is a resolution and quote from Liberty Surety First through West's Insurance Agency for Public Official's Bonds. Also attached is general information regarding Liberty Mutual.

*Recommended Action*

Adopt a Resolution Approving a Proposal from Liberty Surety First for Public Official's Bonds.

<b>Committee:</b> Human Resources & Finance		<b>Meeting Date:</b> 11/18 & 12/02/13	
<b>Lead Department:</b> Administration		<b>Presenter:</b> Steven J. Shields	
<b>Item Budgeted:</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A			
If amount requested is over budget, a detailed explanation of what account(s) the overage will be charged to will be provided in the Executive Summary or attached detail.			
<b>Note:</b> Both risk management accounts are over the annual budget. There is no specific account where the savings will come from; however, current projections indicate the General and Water/Sewer funds will be under budget overall.			
	<b>Account(s)</b>	<b>Budget</b>	<b>Expenditure</b>
	<b>01-20-75-77519</b>		
	Item Requested	\$119,631.00	\$123,039.00
	Y-T-D Actual		\$10,366.75
	Amount Encumbered		\$0.00
	<b>Total</b>	<b>\$119,631.00</b>	<b>\$133,405.75</b>
	<b>50-60-75-77519</b>		
	Item Requested	\$39,877.00	\$41,013.00
	Y-T-D Actual		\$7,459.93
	Amount Encumbered		\$0.00
	<b>Total</b>	<b>\$39,877.00</b>	<b>\$48,472.93</b>
	<b>Grand Total</b>	<b>\$159,508.00</b>	<b>\$181,878.68</b>
Request is over/under budget:			
	Under	-	
	Over		\$22,370.68

**A Resolution Approving a Proposal from Liberty Surety First for Public Official's Bonds**

**BE IT RESOLVED** by the Village President and Board of Trustees of the Village of Round Lake as follows:

1. The Liberty Surety First public official's bonds proposal attached for the Village of Round Lake is hereby approved.
2. The Mayor or his designee is authorized to execute any and all documents necessary to implement the above stated public official's bonds program.

**APPROVED:**

\_\_\_\_\_  
Daniel A. MacGillis, Village President

**ATTEST:**

\_\_\_\_\_  
Patricia C. Blauvelt, Village Clerk

PASSED:

APPROVED:

AYES:

NAYS:

ABSENT:



Liberty SuretyFirst<sup>®</sup>

**Sarah Bloome**  
Underwriting Specialist  
27201 Bella Vista Parkway, Suite 310  
Warrenville, IL 60555  
630-393-7970  
Sarah.Bloome@libertymutual.com

November 25, 2013

Steve Shields  
442 N. Cedar Lake Rd  
Round Lake, IL 60073

Re: Village of Round Lake public official bond 601021002, renewal date 12/31/13

Dear Steve,

Below is a breakdown of the quoted premium for the Village of Round Lake public official bond with Liberty SuretyFirst/The Ohio Casualty Insurance Company:

<u>Position</u>	<u>Principal</u>		<u>Bond Amount</u>	<u>Premium</u>
Village President	Daniel	MacGillis	\$50,000	\$62
Village Clerk	Patricia	Blauvelt	\$50,000	\$62
Village Finance Officer	Steven	Shields	\$500,000	\$625
Village Treasurer	Steven	Shields	\$500,000	\$625
Deputy Clerk	Martha	Koehig	\$5,000	\$50
Fire & Police Commissioner	Richard	Crane	\$50,000	\$62
Fire & Police Commissioner	Art	Weber	\$50,000	\$62
Fire & Police Commissioner	James	Retis	\$50,000	\$62
			<u>\$1,255,000</u>	<u>\$1,610</u>

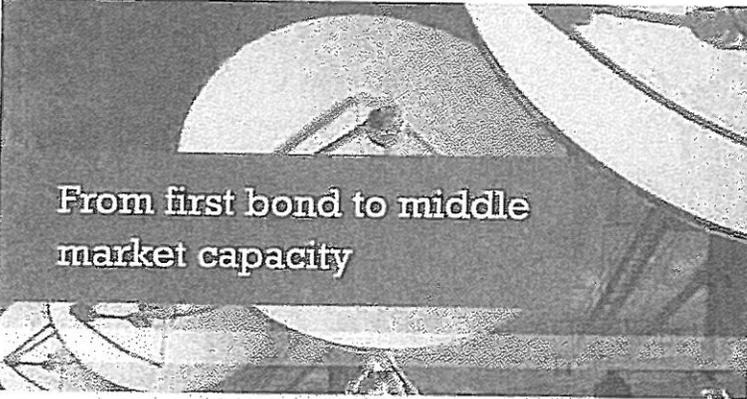
For any questions, please contact your agent at West's Insurance Agency.

Thank you,

Sarah Bloome

# Liberty SuretyFirst™

Your Superior Small and Middle Market Surety Experience



From first bond to middle  
market capacity



## Personalized Service, Expert Underwriting

Liberty Mutual Surety™, the second largest surety writer in the U.S., recognizes that small and mid-sized businesses deserve the same personalized service and expert underwriting support as large businesses. That's why we recently formed Liberty SuretyFirst™, a contract, commercial and fidelity bond operation that specializes in writing bonds for small to mid-sized businesses.

A blend of the best underwriting, products and technology of Liberty Mutual Surety's contract and commercial operations, Safeco's First National and Surety Online™, and Ohio Casualty Bond, Liberty SuretyFirst provides a superior surety experience. With a singular focus on service and building long-term relationships, we distribute our bonds only through independent agents and brokers.

### Capacity

From first bond to middle market bond programs, Liberty SuretyFirst has the capacity and expertise to manage any business' growing bond needs. As part of Liberty Mutual Surety, we also have the additional capacity to meet the surety needs of larger businesses. Backed by the financial strength of the Liberty Mutual Group, we have what it takes to be a stable long-term surety for any business.

### Technology

Our technology is state-of-the-art and allows our agents and brokers greater efficiencies when writing bonds:

**Surety Online** provides the ability to quote and issue bonds in real time with the option to direct bill and accept credit card payments.

**BEST™** allows for the quick execution of more complex bonds online.

### Products

#### Contract

Our distinct underwriting teams use their experience and expertise to understand how areas of specialization, market conditions, and geographic considerations relate to small to mid-sized contractors and their surety needs.

#### Commercial

We provide Probate, Court, License & Permit, Miscellaneous, Notary, Public Official, Supply and Service Contractor bonds, just to name a few.

#### Fidelity

We offer Fidelity Coverage, ERISA, Financial Institution, and Business Services Bonds.

#### Service

We differentiate ourselves from other sureties by providing local access to our underwriters. With regional expertise and authority in field locations across the country, our underwriters work to provide you with consistent, responsive and professional service.

Please contact your local Bond Office or call our National Bond Center toll free at 888-844-2663.

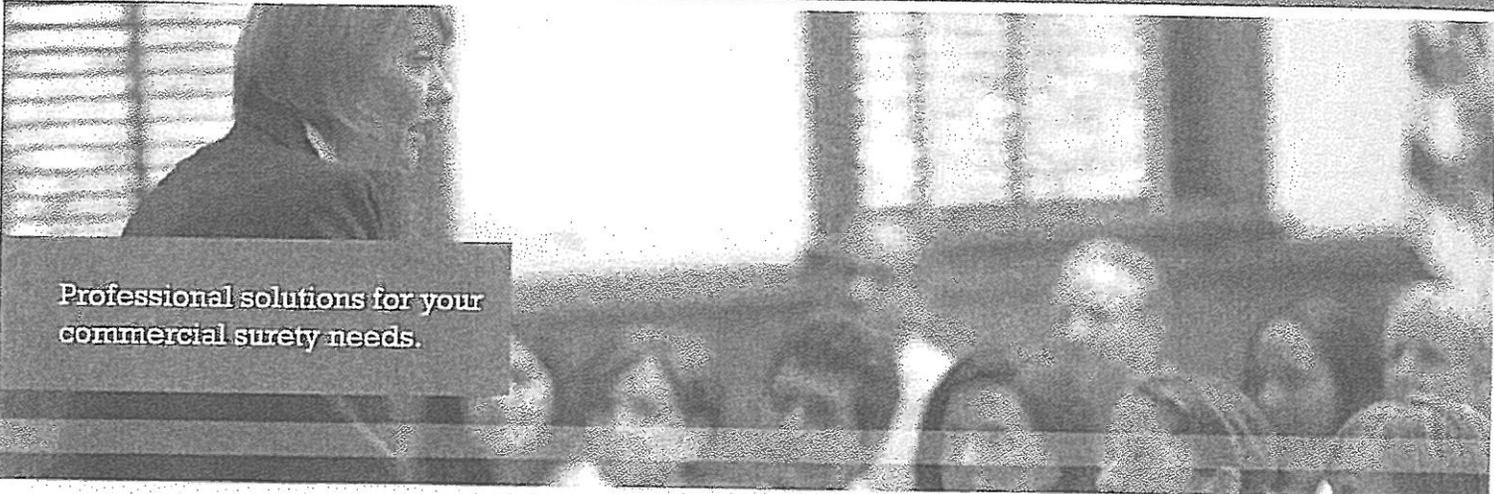


**Liberty  
SuretyFirst**

Member of Liberty Mutual Group

These materials are for marketing purposes only and any potential coverage is subject to Liberty Mutual's underwriting and other requirements. © 2010 Liberty Mutual Group

# Commercial Surety Products



Professional solutions for your commercial surety needs.

## Deep Resources, Specialized Products

At Liberty SuretyFirst™, we recognize that bonds are time sensitive and vital to a business' success which is why our underwriters work diligently with you to develop workable, cost effective bond solutions as quickly as possible. Our underwriters make sure you understand our underwriting parameters and how we approach challenging bond situations. We provide smart, tailored transactional or account commercial surety solutions to fit most business needs.

We offer a wide selection of commercial surety products and flexible, consistent, and comprehensive commercial surety programs that help keep businesses running. From a contractor's compliance bond to the complex obligations of a commercial account, our underwriters immerse themselves in the nuances of the industries we serve and are committed to understanding the unique commercial surety needs of independent agents, brokers and their customers.

Our major risk classifications include:

### Court and Judicial

Bonds that guarantee awards or compliance with court rulings, including Appeal, Attachment, Cost, Receiver, Injunction, and Replevin bonds.

### Federal

Guarantees payment of various fees and compliance with federal requirements, including Contract Postal Station and Excise bonds.

### License and Permit

Bonds that guarantee business compliance with laws and regulations, such as Auctioneers, Contractor's License, Highway Permit, Insurance Broker, Motor Vehicle Dealer, Mortgage Brokers, Liquor, and Detective.

### Probate/Fiduciary

Bonds that guarantee the good faith actions of court designees handling estates, such as Administrator, Conservator, Executor, Guardian and Trustee bonds.

### Public Official

Bonds that guarantee the faithful and honest performance of duties by officials, such as Clerks, Notary Publics, Treasurers, Tax Collectors, Deputies, and Sheriffs.

### Miscellaneous

Guarantees that protect consumers, such as Lost Instrument and Utility Deposit bonds.

### Supply & Service Contractors

Supply Contractors provide supplies or materials, such as lumber, chemicals for water treatment and chemicals/salt for roads. Service Contractors generally provide a type of service, such as janitorial and food service for schools.

Please contact your local Bond Office or call our National Bond Center toll free at 888-844-2663 to see what Liberty SuretyFirst can do for your commercial surety needs.



**Liberty  
SuretyFirst**

Member of Liberty Mutual Group

These materials are for marketing purposes only and any potential coverage is subject to Liberty Mutual's underwriting and other requirements. © 2010 Liberty Mutual Group.



VILLAGE OF ROUND LAKE  
**AGENDA ITEM SUMMARY**

**TITLE: MOTOR FUEL TAX (MFT) MAINTENANCE RESOLUTION FOR  
 FY 2013/2014**

**Agenda Item No. 5.8**

*Executive Summary*

An annual Motor Fuel Tax (MFT) Resolution is required by the Village Board to obtain reimbursement for eligible Public Works expenses of various road related maintenance/repair activities. The Resolution is for our estimated MFT eligible maintenance expenses for FY 2013/2014. Refer to the attached IDOT formatted resolution and attachment for explanation and cost summaries.

*Recommended Action*

Approve the Resolution for Reimbursement for the Estimated MFT Eligible Maintenance Expenses for Fiscal Year 2013/2014.

<b>Committee: PW, F&amp;CA, &amp; E</b>	<b>Meeting Date(s): Nov 18, 2013 COTW</b>																															
<b>Lead Department: Public Works</b>	<b>Presenter: Kurt Baumann</b>																															
<p>Item Budgeted: <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> N/A</p> <p>If amount requested is over budget, a detailed explanation of what account(s) the overage will be charged to will be provided in the Executive Summary or attached detail.</p>	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: center;">Account(s)</th> <th style="text-align: center;">Budget</th> <th style="text-align: center;">Expenditure</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> <td> </td> </tr> <tr> <td>Item Requested</td> <td> </td> <td style="text-align: right;">\$0.00</td> </tr> <tr> <td>YTD Actual</td> <td> </td> <td style="text-align: right;">\$0.00</td> </tr> <tr> <td>Amount Encumbered</td> <td> </td> <td style="text-align: right;">\$0.00</td> </tr> <tr> <td> </td> <td> </td> <td> </td> </tr> <tr> <td style="text-align: right;">Total:</td> <td style="text-align: right;">\$0.00</td> <td style="text-align: right;">\$0.00</td> </tr> <tr> <td colspan="3">Request is over/under budget:</td> </tr> <tr> <td style="text-align: right;">Under -</td> <td> </td> <td> </td> </tr> <tr> <td style="text-align: right;">Over -</td> <td> </td> <td> </td> </tr> </tbody> </table>		Account(s)	Budget	Expenditure				Item Requested		\$0.00	YTD Actual		\$0.00	Amount Encumbered		\$0.00				Total:	\$0.00	\$0.00	Request is over/under budget:			Under -			Over -		
Account(s)	Budget	Expenditure																														
Item Requested		\$0.00																														
YTD Actual		\$0.00																														
Amount Encumbered		\$0.00																														
Total:	\$0.00	\$0.00																														
Request is over/under budget:																																
Under -																																
Over -																																



**Illinois Department of Transportation**

**Resolution for Maintenance of Streets and Highways by Municipality Under the Illinois Highway Code**

BE IT RESOLVED, by the President and Board of Trustees of the Village of Round Lake, Illinois, that there is hereby appropriated the sum of \$107,665.00 of Motor Fuel Tax funds for the purpose of maintaining streets and highways under the applicable provisions of the Illinois Highway Code from May 1, 2013 to April 30, 2014.

BE IT FURTHER RESOLVED, that only those streets, highways, and operations as listed and described on the approved Municipal Estimate of Maintenance Costs, including supplemental or revised estimates approved in connection with this resolution, are eligible for maintenance with Motor Fuel Tax funds during the period as specified above.

BE IT FURTHER RESOLVED, that the Clerk shall, as soon as practicable after the close of the period as given above, submit to the Department of Transportation, on forms furnished by said Department, a certified statement showing expenditures from and balances remaining in the account(s) for this period; and

BE IT FURTHER RESOLVED, that the Clerk shall immediately transmit two certified copies of this resolution to the district office of the Department of Transportation, at Schaumburg, Illinois.

I, Patricia C. Blauvelt Clerk in and for the Village of Round Lake, County of Lake

hereby certify the foregoing to be a true, perfect and complete copy of a resolution adopted by

the President and Board of Trustees at a meeting on December 2, 2013

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this \_\_\_\_\_ day of \_\_\_\_\_

(SEAL) \_\_\_\_\_ Village Clerk  
(City, Town or Village)

**Approved**  
\_\_\_\_\_  
Regional Engineer  
Department of Transportation  
\_\_\_\_\_  
Date



Maintenance Period 5/1/2013 to 4/30/2014

Municipality: Village of Round Lake

Section Number: 13 - 00000 - 00 - GM

**Estimated Cost of Maintenance Operations**

Maintenance Operation (No. Description)	Maint. Group	Insp. Req.	For Group I, IIA, IIB, or III				Est Total Operation Cost	
			Item	Unit	Quantity	Unit Price		Item Cost
1. Gravel	II		Gravel	Ton	250	20.00	5,000.00	5,000.00
2. Ice Control	II		Liquid Deicer	Gal	3000	1.00	3,000.00	3,000.00
3. Patching	II		Bituminous (Hot Mix)	Ton	166	70.00	11,620.00	11,620.00
			Bituminous (Cold Patch)	Ton	41	125.00	5,125.00	
			Concrete	CY	61.16	125.00	7,645.00	
4. Pavement Marking	IIB		Thermoplastic	LF	11500	1.00	11,500.00	11,500.00
5. Sidewalk	IIB		PCC (By Contract)	SF	5112	2.50	12,780.00	12,780.00
6. Day Labor	II		In-house Labor	Day	7	3,570.00	24,990.00	24,995.00
7. Crack Sealing	IIB		By Contract	LF	31250	0.80	25,000.00	25,000.00
Total Estimated Maintenance Operation Cost							106,665.00	
Preliminary Engineering							1,000.00	
Engineering Inspection								
Material Testing								
Advertising								
Bridge Inspections								
Total Estimated Maintenance Engineering Cost							1,000.00	
Total Estimated Maintenance Cost							107,665.00	

Submitted:

Approved:

\_\_\_\_\_  
Municipal Official

\_\_\_\_\_  
Title

\_\_\_\_\_  
Regional Engineer

\_\_\_\_\_  
Date

\_\_\_\_\_  
Date



VILLAGE OF ROUND LAKE  
**AGENDA ITEM SUMMARY**

**TITLE:** ALUMINUM HYDRAULIC VERTICAL SHORING

Item: 5.9

***Executive Summary:***

1. Excavations as we all know are dangerous. To ensure our safety we rely on sloping or shoring to make the repair successful. Each excavation is different per the task at hand. We currently have a "trench box". The problem with the existing trench box is that it has very limited adjustability and is difficult to transport. Because each job is completely different we find ourselves making a bigger excavation than needed to ensure our safety.
2. We are required by OSHA to properly protect all employees when the depth of excavation is five (5) feet or deeper. Speed shoring will provide this protection.
3. Shoring has evolved over the years and hydraulic vertical shoring is the most utilized because of it's quick set-up time and ease of use and it's relatively light weight. This type of shoring is used in a temporary excavation for the repair of underground utilities. Hydraulic shoring consists of different sizes of "finform" boards which are fiber compressed dense boards which make them secure and strong and because these boards come in different sizes it allows us to secure even the smallest of routine and emergency excavations.
4. Please see attached photos and quotes.

***Recommended Action:***

**Adopt a Resolution Authorizing the Purchase of Hydraulic Shoring**

**Committee:** PW

**Meeting Date:** November 18, 2013 & 12/2/13

**Lead Department:** Public Works

**Presenter:** Mark Kilarski

**Item Budgeted:** \_\_\_ Yes \_\_\_X\_\_\_ No \_\_\_NA

If amount requested is over budget, a detailed explanation of what account(s) the overage will be charged to will be provided in the Executive Summary or attached detail.

Account No(s):	Budget:	Expenditures
50-60-92-99204	\$19,070.00	\$5,938.11
This Request		\$4,322.00
Total:	\$19,070.00	\$10,260.11
Request is over/under budget:		
Under		\$8,809.89
Over	-	

**Resolution 13-R-\_\_**

**A Resolution Authorizing the Purchase of Hydraulic Shoring**

**BE IT RESOLVED** by the Village President and Board of Trustees of the Village of Round Lake as follows:

1. The purchase of Hydraulic Shoring at a price not to exceed \$4,322.00 is hereby approved.
2. The Mayor or his designee is authorized to execute all necessary documents to carry out the purposes of this resolution.

**APPROVED:**

\_\_\_\_\_  
Daniel A. MacGillis, Village President

**ATTEST:**

\_\_\_\_\_  
Patricia C. Blauvelt, Village Clerk

PASSED:

APPROVED:

AYES:

NAYS:

ABSENT:



**EFFICIENCY**  
**SHORING & SUPPLY**  
 Factory-Direct Sales & Rentals

Sales/Rental Order

Page 2

Contract Number: C013077  
 Contract Date: 11/4/2013

Rental Period  
 11/4/2013 12/1/2013

Ship Date  
 11/04/2013

**EFFICIENCY SHORING & SUPPLY**

1906 E. CASS ST.  
 JOLIET, IL 60432  
 Tel: 815-893-6700  
 Fax: 815-981-4441

Bill To: 34-0000010  
 Village of Round Lake  
 751 W Townline Road  
 Round Lake, IL 60073

**Ship To Address:**

Village of Round Lake  
 751 W Townline Road  
 Round Lake, IL 60073

Customer PO:	Ship Via: EPI CHOICE	Confirm To: Keith Miller @ 847-627-0050	Terms: NET 30
Item Number	Description	Ordered Shipped	Amount



WAVE DELIVERY FEE  
 NO SALES TAX  
 WILL PROVIDE TRAINING

724 NORTH MERCER STREET • DECATUR, ILLINOIS 62522-1699  
 PHONE 217-429-4444 • FAX 217-429-7917  
 WWW.DUNNCO.COM

\$4322.00

**REMIT TO:**  
 Efficiency Shoring & Supply  
 685 Hull Road  
 Mason, MI 48854

Ship Weight: 610

Net Order:	5,127.00
Less Discount:	0.00
Freight:	150.00
Sales Tax:	358.90
<b>Order Total:</b>	<b>5,635.90</b>

USD



Contract Number: C013077  
 Contract Date: 11/4/2013

Rental Period  
 11/4/2013                      12/1/2013

Ship Date  
 11/04/2013

**EFFICIENCY SHORING & SUPPLY**

1906 E. CASS ST.  
 JOLIET, IL 60432  
 Tel: 815-893-6700  
 Fax: 815-981-4441

Bill To: 34-0000010

Village of Round Lake  
 751 W Townline Road  
 Round Lake, IL 60073

**Ship To Address:**

Village of Round Lake  
 751 W Townline Road  
 Round Lake, IL 60073

Customer PO:	Ship Via:	Confirm To:	Terms:	
	EPI CHOICE	Keith Miller @ 847-627-0050	NET 30	
Item Number	Description	Ordered	Shipped	Amount
S-3.5X3455	STANDARD EPI VERTICAL SHORE SY	2	0	682.50      1,365.00
			WHS: 340	Line Weight: 120
S-3.5X4269	STANDARD EPI VERTICAL SHORE SY	2	0	773.50      1,547.00
			WHS: 340	Line Weight: 136
AS510001	48" RELEASE TOOL	2	0	42.00      84.00
			WHS: 340	Line Weight: 14
AS510005	48" REMOVAL HOOK	2	0	42.00      84.00
			WHS: 340	Line Weight: 13
AS512001	5 GAL. HAND PUMP-STEEL	1	0	805.00      805.00
			WHS: 340	Line Weight: 25
AS512002	5 GAL. EPI GREEN PLASTIC PUMP	1	0	735.00      735.00
			WHS: 340	Line Weight: 24
59425	CHUDOFORM 3/4" x 4' x 8' drilled and	2	0	154.00      308.00
			WHS: 340	Line Weight: 180
59425	CHUDOFORM 3/4" x 4' x 8' drill and strap	1	0	154.00      154.00
			WHS: 340	Line Weight: 90
AS510028	ONE GALLON NO FREEZE SHORE FLU	1	0	45.00      45.00
			WHS: 340	Line Weight: 8



**SALES QUOTE**

Date: 11/7/2013

⇒ To: Round Lake

**REQUESTED QUOTE**

**Attn:** Keith Miller

**CUSTOMER PHONE:** 847-627-0050

<i>Request date:</i> 11/5/2013	<i>Quote date:</i> 11/5/2013	<i>Ship Date:</i>
<i>Requested by:</i> Keith Miller	<i>Quoted by:</i> <b>Andrew McDowell</b>	<i>Ordered by:</i>
<i>Terms</i> Net 30	<i>Valid for:</i> 30 Days	<i>F.O.B.:</i> <b>UNION CITY, MI</b>

QTY.	DESCRIPTION	UNIT WEIGHT	TOTAL WEIGHT	UNIT PRICE	TOTAL PRICE	
2	PVS-3.5-55 3.5' RAILS WITH 34-55" CYLINDERS	60	120	\$684	\$1,368	
2	PVS-3.5-64 3.5' RAILS WITH 40-64" CYLINDERS	68	136	\$742	\$1,484	
1	HS-RH-48 48" REMOVAL HOOK.	7	7	\$44	\$44	
1	HS-RT-48 48" RELEASE TOOL.	7	7	\$44	\$44	
1	HS-PCP 5 GALLON PLASTIC PUMP CAN	20	20	\$850	\$850	
1	HS-PCM 5 GALLON METAL PUMP CAN	25	25	\$533	\$533	
2	HS-FF-48 4' X 8' SHEET OF FIN FORM	90	180	\$173	\$346	
2	HS-FF-44 4' X 4' SHEET OF FIN FORM.	45	90	\$87	\$174	
4	FIN FORM HANDLE	10	40	\$36	\$144	
Comments:			Total Weight:	625	Sub Total:	\$4,987

**ADD ALL SHIPPING FEES.**

\$4454.00  
+ shipping





VILLAGE OF ROUND LAKE  
**AGENDA ITEM SUMMARY**

TITLE: FABRICATION OF A STEEL "CHIPPER BOX"

Item 5.10

***Executive Summary:***

1. As discussed with the Board, we have embarked on a multi year "Urban Forest Management Initiative to improve the health and appearance of our 5946 Parkway Trees. One component of this initiative is to trim the branches of the "younger" trees to improve their health and appearance as well as to eliminate sight obstructions/ safety concerns of low hanging branches. We have three (3) employees who have been trained by Chuck Stewart (UFM Inc) on how to properly trim trees, and it is my intention to do tree trimming on a "filler" work basis over the next several years.
2. The most practical approach is to chip the removed branches on site to reduce the volume of "material" to haul. We have an adequate sized chipper that is in good condition; however we do not have a truck mountable "Chipper Box" to receive/retain the chipped wood. Absent using a Chipper Box, the chipped wood winds up "flying" out of the dump body creating a mess on the road.
3. We have looked for several months to purchase a used chipper box, but have not located any in reasonable condition that would fit on our 1 Ton Dump Bodies.
4. Fabrication of a Steel Box that would fit our 1 Ton Dump Bodies appears to be our best option. Bids were solicited with only two responses and these are attached. The only responsive bid, and the lowest cost bid, is from Jack Frost Iron Works Ins, Fox Lake at a cost of \$2,770.00.

***Recommended Action:***

**Adopt a Resolution Authorizing the Purchase of Wood Chipper Accessories**

<b>Committee: PW/FAC/ENGR</b>		<b>Meeting Date: November 18, 2013 &amp; 12/2/13</b>		
<b>Lead Department: Public Works</b>		<b>Presenter: Ron Kroop</b>		
<b>Item Budgeted:    ___ Yes    _X_ No    ___ NA</b>				
If amount requested is over budget, a detailed explanation of what account(s) the overage will be charged to will be provided in the Executive Summary or attached detail.	<b>Account No(s):</b>	<b>Budget:</b>	<b>Expenditures</b>	
	01-60-80-88024	\$28,000.00	\$816.99	
	<b>Amt Encumbered</b>		\$406.65	
	<b>This Request</b>		\$2,770.00	
	<b>Total:</b>	\$28,000.00	\$3,993.64	
	<b>Request is over/under budget:</b>			
	<b>Under</b>		\$24,006.36	
	<b>Over</b>	-		

**Resolution 13-R-\_\_**

**A Resolution Authorizing the Purchase of Wood Chipper Accessories**

**BE IT RESOLVED** by the Village President and Board of Trustees of the Village of Round Lake as follows:

1. The purchase of truck mountable “chipper box” for the Village’s wood chipper at a price not to exceed \$2,770.00 is hereby approved.
2. The Mayor or his designee is authorized to execute all necessary documents to carry out the purposes of this resolution.

**APPROVED:**

\_\_\_\_\_  
Daniel A. MacGillis, Village President

**ATTEST:**

\_\_\_\_\_  
Patricia C. Blauvelt, Village Clerk

PASSED:

APPROVED:

AYES:

NAYS:

ABSENT:



*Jack Frost Ironworks, Inc.*

P.O. BOX 191 - 90 HONING ROAD - FOX LAKE, IL 60020 - (847) 587-6068  
FAX (847) 587-5438

#20131080

November 12, 2013

Village of Round Lake

\*\*\*REVISED\*\*\*

ATTENTION: Keith  
ESTIMATE: No. 20131080  
SUBJECT: Truck Box

We propose to do the following steel work:

**FURNISH MATERIAL & LABOR TO FABRICATE FOR PICK UP ONLY:**

1. Steel box, 3 sides only and top, with removable supports mounted to your truck  
Dimensions 8'-0" X 7'-0" X 6'-8"

**NOTE: TRUCK MUST BE BROUGHT TO OUR SHOP FOR MEASURING.**

**NOTE: WE MUST HAVE CLEAR ACCESS TO SITE AND BUILDING, INSIDE AND OUTSIDE.**

**NOTE: OUR BID ATTACHMENT "A" IS INCLUDED IN THIS BID.**

**NOTE:** JACK FROST IRONWORKS, INC. EXCLUDES ANY ITEMS NOT MENTIONED IN THIS PROPOSAL. STEEL MATERIALS ARE PRIME PAINTED, ONE COAT GRAY PRIMER. DUE TO THE STEADILY INCREASING STEEL PRICES, OUR PRICE IS GUARANTEED FOR 2 WEEKS ONLY. AFTER THAT TIME A QUOTE REVIEW IS NECESSARY. TERMS ARE NET 30 DAYS UNLESS CONTRACTED OTHERWISE.

**EXCLUSIONS:** FINISH OR TOUCH-UP PAINTING, ALLOWANCES, DEMO, INSURANCE OTHER THAN OUR ATTACHED CERT (ADDITIONAL COVERAGE IS AT GENERAL CONTRACTORS EXPENSE), GROUT, ENGINEER'S REVIEW OR STAMP, ALL UNLOADING OF DELIVERED ONLY ITEMS ARE TO BE BY THE RECIPIENT AT THE JOB SITE, ENGINEERING.

**TOTAL COST: \$2,770.00 TAX EXEMPT**

Thank you for the opportunity to quote this work.

Sincerely yours,

Steve A. Haas  
Construction Manager

<b>JACK FROST IRONWORKS "POLICY"</b>	
NO WORK WILL BE PERFORMED PRIOR TO WRITTEN AUTHORIZATION!	
<b>THIS PROPOSAL ACCEPTED</b>	
DATE: _____	
BY: _____	
CO: _____	TITLE: _____
JOB# _____	P.O.# _____
Payment due upon work completion unless contracted otherwise.	

**Keith Miller**

---

**From:** brian esch [brianesch86@gmail.com]  
**Sent:** Wednesday, October 23, 2013 6:54 AM  
**To:** kmiller@eroundlake.com  
**Subject:** Chipper box quote

Good morning Keith. This is Brian from Jay R's Steel and Welding. My estimate for your chipper box is \$5,300. If that price works for you give me a call at 847-949-9353. If I'm not available, just leave a message. Thanks.



VILLAGE OF ROUND LAKE  
**AGENDA ITEM SUMMARY**

**TITLE: DOROTHY WATER MAIN REPLACEMENT**

**Agenda Item No. 5.11**

*Executive Summary:*

This is to replace water main on Dorothy for a cost not to exceed \$38,234.65. If we do not have to pay for removal and treatment of contaminated soils, the price will only be \$26,839.65. This 180' to 200' of water main is over twenty years old and has had numerous breaks over the last few years. We want to do this project before the road gets replaced.

Tentative approval at the last Board Meeting, letter to contractor and quote attached.

*Recommended Action:*

Adopt a Resolution Approving Maintenance of the Dorothy Lane Water Main.

<b>Committee:</b> Public Works	<b>Meeting Date:</b> December 2 <sup>nd</sup> , 2013																														
<b>Lead Department:</b> Public Works	<b>Presenter:</b> Russell S. Kraly																														
<b>Item Budgeted:</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> N/A  If amount requested is over budget, a detailed explanation of what account(s) the overage will be charged to will be provided in the Executive Summary or attached detail.	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;">Account(s)</th> <th style="width: 30%;">Budget</th> <th style="width: 40%;">Expenditure</th> </tr> </thead> <tbody> <tr> <td>50-60-81-88101</td> <td style="text-align: right;">\$2,248,853.00</td> <td></td> </tr> <tr> <td>Item Requested</td> <td style="text-align: right;">\$0.00</td> <td style="text-align: right;">\$38,234.65</td> </tr> <tr> <td>Y-T-D Actual</td> <td></td> <td style="text-align: right;">\$458,458.73</td> </tr> <tr> <td>Amount Encumbered</td> <td></td> <td style="text-align: right;">\$473,609.55</td> </tr> <tr> <td> </td> <td></td> <td></td> </tr> <tr> <td><b>Total</b></td> <td style="text-align: right;"><b>\$2,248,853.00</b></td> <td style="text-align: right;"><b>\$970,302.93</b></td> </tr> <tr> <td colspan="3">Request is over/under budget:</td> </tr> <tr> <td style="text-align: center;">Under</td> <td></td> <td style="text-align: right;">\$1,278,550.07</td> </tr> <tr> <td style="text-align: center;">Over</td> <td style="text-align: center;">-</td> <td></td> </tr> </tbody> </table>	Account(s)	Budget	Expenditure	50-60-81-88101	\$2,248,853.00		Item Requested	\$0.00	\$38,234.65	Y-T-D Actual		\$458,458.73	Amount Encumbered		\$473,609.55				<b>Total</b>	<b>\$2,248,853.00</b>	<b>\$970,302.93</b>	Request is over/under budget:			Under		\$1,278,550.07	Over	-	
Account(s)	Budget	Expenditure																													
50-60-81-88101	\$2,248,853.00																														
Item Requested	\$0.00	\$38,234.65																													
Y-T-D Actual		\$458,458.73																													
Amount Encumbered		\$473,609.55																													
<b>Total</b>	<b>\$2,248,853.00</b>	<b>\$970,302.93</b>																													
Request is over/under budget:																															
Under		\$1,278,550.07																													
Over	-																														

**Resolution 2013-R-\_\_\_**

**A Resolution Approving Maintenance of the Dorothy Lane Water Main**

**BE IT RESOLVED** by the Village President and Board of Trustees of the Village of Round Lake as follows:

**Section One:** That the maintenance of the Dorothy Lane Water Main for an amount not to exceed \$38,234.65 is hereby approved.

**Section Two:** The Mayor, or his designee, is authorized to perform such other actions required to carry out the purpose of this resolution and to otherwise implement this resolution.

**APPROVED:**

\_\_\_\_\_  
Daniel A. MacGillis, Village President

**ATTEST:**

\_\_\_\_\_  
Patricia C. Blauvelt, Village Clerk

PASSED:

APPROVED:

AYES:

NAYS:

ABSENT:



# Village of Round Lake

442 North Cedar Lake Road Round Lake, IL 60073

847-546-5400 fax 847-546-5405

www.eroundlake.com

**DATE:** November 19, 2013

**TO:** Mr. Jeff Garth, P.E.  
V3 Companies  
7325 James Ave.  
Woodridge, IL 60517

**FROM:** Russell S. Kraly  
Village Administrator

**RE:** *Dorothy Water Main Replacement*

At the Village Board Meeting of November 18<sup>th</sup>, 2013, the Board approved the expenditure for the replacement of the Dorothy Water Main for a maximum of \$38,234.65 (per your attached quote and emails between you and Ron Kroop). It was explained to us, that if we didn't need to pay for the Special Waste/Contaminated Soils removal, the cost would be \$26,839.65.

Let this letter serve as the OK to proceed with the project. If you have any questions, please call me at 847-456-6501.

Thank You:

Russell S. Kraly  
Village Administrator  
Village of Round Lake

Cc: Mayor MacGillis, Mr. Kroop, Mr. Kilarski, Mr. Shields

## Russell Kraly

---

**From:** Russell Kraly <rkraly@eroundlake.com>  
**Sent:** Thursday, November 14, 2013 8:58 AM  
**To:** 'Mark Kilarski'  
**Subject:** FW: Dorothy Est of Water main cost  
**Attachments:** Dorothy WM Estimate.xlsx

---

**From:** Ronald H Kroop [<mailto:rkroop@eroundlake.com>]  
**Sent:** Wednesday, November 13, 2013 9:26 AM  
**To:** 'Jeff Garth'  
**Cc:** 'Mayor Dan MacGillis'; 'Russ Kraly'; 'Steve Shields'; [mkilarski@eroundlake.com](mailto:mkilarski@eroundlake.com)  
**Subject:** FW: Dorothy Est of Water main cost

Jeff,

Thank you for the cost estimate; hopefully the removed soil can be placed back in the excavated trench to avoid the "potential special waste cost".

As we have discussed, this 22 year old 200 foot section of "unprotected" 8" ductile iron pipe has an extensive history of main breaks due to the acidic soil. Given that there will be a new storm sewer placed in Dorothy Lane as part of the Washington Street Project, and that this new storm sewer will closely parallel the existing water main; I have real fear that storm sewer placement work will add to the demise of our water main. Since we have good unit prices and Berger has the skills/abilities to do the underground work, please do proceed with the replacement of this section of deteriorated water main, installing new plastic wrapped 8" ductile iron pipe. Assuming Berger can remove the "to be abandoned water main", we will haul such pipe away to avoid the cost of abandoning in place.

As the necessary work already has bid item prices, please simply keep track of the quantities used on Dorothy and we can "sort out" the overall cost to us for all Water Main work when the total quantities are known.

Thanks again for all your help,

Ron

---

**From:** Jeff Garth [<mailto:jgarth@v3co.com>]  
**Sent:** Wednesday, November 13, 2013 8:27 AM  
**To:** [RKroop@eroundlake.com](mailto:RKroop@eroundlake.com)  
**Subject:** Dorothy Est of Water main cost

Ron,

Please see attached estimate of additional cost for the extension of 8" water main down Dorothy.

Let me know if you have any questions.

Thanks.

Jeff Garth, P.E.  
*Resident Engineer*

V3 Companies  
7325 Janes Avenue | Woodridge, IL 60517

# Dorothy Water Main Extension

Item #	Description	Unit	Quantity	Unit Cost	Total	Notes
20800150	Trench Backfill	CUYD		\$ 27.50	\$ -	
56103100	Ductile Iron Water Main 8"	FOOT	200	\$ 69.25	\$ 13,850.00	
56103000	Ductile Iron Water Main 6"	FOOT	10	\$ 60.00	\$ 600.00	
56400820	Fire Hydrant with Aux Valve & Box	EACH	1	\$ 4,200.00	\$ 4,200.00	
56400500	Fire Hydrant to be removed	EACH	1	\$ 500.00	\$ 500.00	
66900205	Special Waste	CUYD	215	\$ 53.00	\$ 11,395.00	
X0325318	Light Waight Cellular Concrete Fill	CUYD	2.6	\$ 229.00	\$ 595.40	
X5610004	DI Water Main Fittings	POUND	3.15	\$ 395.00	\$ 1,244.25	1 each - 8X8 Tee & 2 each - 8" 45 bends
X5610651	Abandon Existing WM & Fill w/ CLSM	FOOT	200	\$ 5.30	\$ 1,060.00	
X5620118	Water Service Connection (LONG)	EACH	1	\$ 2,400.00	\$ 2,400.00	
X5630008	Cut & Cap Existing 8" WM	EACH	1	\$ 750.00	\$ 750.00	
XX003536	Connection to Existing WM (Non-Pressure)	EACH	1	\$ 2,650.00	\$ -	Not Extra
XX005855	WM Casing Pipe	FOOT	20	\$ 82.00	\$ 1,640.00	

**TOTAL= \$ 38,234.65**

without special waste cost = \$ 26,839.65



VILLAGE OF ROUND LAKE  
**AGENDA ITEM SUMMARY**

TITLE: VILLAGE HALL DUCT CLEANING

Agenda Item No. 5.12

*Executive Summary:*

The Village Hall is over twelve years old; the maintenance on the HVAC systems has been non-existent until this year. The systems are nearing the end of their life-cycle, the ducts are dirty and have black mold growing in them, and the computer system that regulates the system is obsolete and non-functioning. We will try to schedule the cleaning during off-hours or on the weekend so it's less disruptive to our staff. I was able to get them to reduce their price on this item because of the maintenance contracts we signed and they also know we do have more work to do to bring the systems up to par. As of the writing of this we have not received any other bids for this service. Tentative approval at the last Board Meeting: I would keep looking for another bid or two. Work will be completed at the first opportune time.

*Recommended Action:*

Approve Duct Cleaning Quote for Village Hall at a cost not to exceed \$3,000.00

Committee: Public Works	Meeting Date: December 2 <sup>nd</sup> , 2013																														
Lead Department: Public Works	Presenter: Russell S. Kraly																														
Item Budgeted: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> N/A  If amount requested is over budget, a detailed explanation of what account(s) the overage will be charged to will be provided in the Executive Summary or attached detail.	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Account(s)</th> <th style="text-align: right;">Budget</th> <th style="text-align: right;">Expenditure</th> </tr> </thead> <tbody> <tr> <td><b>01-20-79-77901</b></td> <td colspan="2"><b>B &amp; G Maintenance</b></td> </tr> <tr> <td>All other Items</td> <td style="text-align: right;">\$1,200.00</td> <td></td> </tr> <tr> <td>Item Requested</td> <td style="text-align: right;">\$0.00</td> <td style="text-align: right;">\$3,000.00</td> </tr> <tr> <td>Y-T-D Actual</td> <td></td> <td style="text-align: right;">\$1,448.00</td> </tr> <tr> <td>Amount Encumbered</td> <td></td> <td style="text-align: right;">\$0.00</td> </tr> <tr> <td><b>Total</b></td> <td style="text-align: right;"><b>\$1,200.00</b></td> <td style="text-align: right;"><b>\$4,448.00</b></td> </tr> <tr> <td colspan="3">Request is over/under budget:</td> </tr> <tr> <td style="text-align: center;">Under</td> <td style="text-align: center;">-</td> <td></td> </tr> <tr> <td style="text-align: center;">Over</td> <td></td> <td style="text-align: right;">\$3,248.00</td> </tr> </tbody> </table>	Account(s)	Budget	Expenditure	<b>01-20-79-77901</b>	<b>B &amp; G Maintenance</b>		All other Items	\$1,200.00		Item Requested	\$0.00	\$3,000.00	Y-T-D Actual		\$1,448.00	Amount Encumbered		\$0.00	<b>Total</b>	<b>\$1,200.00</b>	<b>\$4,448.00</b>	Request is over/under budget:			Under	-		Over		\$3,248.00
Account(s)	Budget	Expenditure																													
<b>01-20-79-77901</b>	<b>B &amp; G Maintenance</b>																														
All other Items	\$1,200.00																														
Item Requested	\$0.00	\$3,000.00																													
Y-T-D Actual		\$1,448.00																													
Amount Encumbered		\$0.00																													
<b>Total</b>	<b>\$1,200.00</b>	<b>\$4,448.00</b>																													
Request is over/under budget:																															
Under	-																														
Over		\$3,248.00																													

**Resolution 2013-R-\_\_\_**

**A Resolution Approving Payment for Duct Cleaning**

**BE IT RESOLVED** by the Village President and Board of Trustees of the Village of Round Lake as follows:

**Section One:** That the Village Hall duct cleaning for an amount not to exceed \$3,000.00 is hereby approved.

**Section Two:** The Mayor, or his designee, is authorized to perform such other actions required to carry out the purpose of this resolution and to otherwise implement this resolution.

**APPROVED:**

\_\_\_\_\_  
Daniel A. MacGillis, Village President

**ATTEST:**

\_\_\_\_\_  
Patricia C. Blauvelt, Village Clerk

PASSED:

APPROVED:

AYES:

NAYS:

ABSENT:



# TOPTEC

HEATING, COOLING, PLUMBING & ELECTRICAL, INC.

LIC #055-042909

(847) 362-0262



October 31, 2013

Proposal 103113.1

Round lake Village Hall  
442 N. Cedar Lake RD,  
Round lake, IL 60073  
Attn. Mr. Lohman

Dear Mr. Lohman,

The following is proposal to do southern section of the village hall only. This is according to our conversation this past Tuesday October 30, 2013. It will take approximately one full day or we can do it after hours at your convenience.

**TOTAL COST INCLUDING ALL LABOR ..... \$3,000**

**NOTE:** This will be done before any installation of new Red Link Technology and equipment.

Thank you for the opportunity to make this proposal. If you have any questions please call me at my cell (847) 710-9159 or at the office (847) 362-0262.

**NOTE:** We would prefer to do the duct cleaning on a Saturday please.

Sincerely,

*Mike Corn*

Mike Corn  
Vice-President

Authorized By \_\_\_\_\_ Date \_\_\_\_\_

\*\*\*\*This quote is valid for 30 days from the above date\*\*\*\*