

AGENDA
VILLAGE OF ROUND LAKE
REGULAR MEETING
April 7, 2014
442 N. Cedar Lake Road
7:00 P.M.

CALL TO ORDER

1. ROLL CALL
2. PLEDGE OF ALLEGIANCE
3. APPROVAL OF MINUTES
 - 3.1 Approve the Minutes of the Regular Meeting of March 17, 2014
4. NOTES/COMMENDATIONS/PUBLIC COMMENT
 - 4.1 Public Comment
5. CONSENT AGENDA
 - 5.1 Approve Accounts Payable in the Amount of \$297,653.49
 - 5.2 Approve Payroll for the Period Ending March 23, 2014 in the Amount of \$113,286.72
 - 5.3 Accept the February Treasurers Report as Presented
 - 5.4 Adopt an Ordinance Amending the Liquor License Chapter of the Round Lake Village Code
 - 5.5 Adopt an Ordinance Amending the Village Code relating to Solicitation
 - 5.6 Adopt an Ordinance Granting a Special Use Permit to Allow the Sale of Alcoholic Beverages at 657 Railroad Avenue, Round Lake, IL
6. CLERK'S OFFICE
7. ADMINISTRATOR
8. FINANCE
 - 8.1 Approve a Payment of \$127.08 to Grainger

9. POLICE

9.1 Good Citizen Award

10. PUBLIC WORKS

11. COMMUNITY DEVELOPMENT

12. BUILDING AND ZONING

13. SPECIAL EVENTS

14. MAYOR'S COMMENTS

14.1 Mayor's Comments

14.2 Safe City Award

14.3 Adopt A Resolution Approving the Capacity, Management, Operations and Maintenance Program (CMOM) and the Annual Capacity, Management, Operations and Maintenance Investment

14.4 Summary of Business Summit Meeting

14.5 Just Because Award

14.6 Trustee's Comments

15. EXECUTIVE SESSION

16. ADJOURN

MINUTES
VILLAGE OF ROUND LAKE
REGULAR MEETING
March 17, 2014
442 N. Cedar Lake Road
7:00 P.M.

CALL TO ORDER

THE REGULAR BOARD MEETING OF THE VILLAGE OF ROUND LAKE WAS CALLED TO ORDER BY DAN MACGILLIS, VILLAGE PRESIDENT AT 7:00 P.M.

1. ROLL CALL

Present: Trustees Clements, Frye, Newby, , Triphahn, Wicinski
Absent: Trustee Simoncelli

2. PLEDGE OF ALLEGIANCE

3. APPROVAL OF MINUTES

3.1 Approve the Minutes of the Regular Meeting of March 3, 2014

Motion by Trustee Newby, Seconded by Trustee Clements, to approve the Minutes of the Regular Meeting of March 3, 2014. Upon a unanimous voice vote, the Mayor declared the motion carried

4. NOTES/COMMENDATIONS/PUBLIC COMMENT

4.1 Public Comment
None

5. CONSENT AGENDA

Motion by Trustee Triphahn, Seconded by Trustee Frye, to do an Omnibus approval on items 5.1 & 5.2. Upon the call of the roll, the following voted:

Ayes: Trustees Clements, Frye, Newby, Triphahn, Wicinski
Nays: None
Abstain: None
Absent: Trustee Simoncelli

Mayor MacGillis Declared the Motion carried.

5.1 Approve Accounts Payable in the Amount of \$440,004.19

Approved – Omnibus Vote

5.2 Approve Payroll for the Period Ending March 9, 2014 in the Amount of \$114,590.47

Approved – Omnibus Vote

6. CLERK’S OFFICE

7. ADMINISTRATOR

8. FINANCE

8.1 Approve a Payment of \$720.19 to Grainger

Motion by Trustee Triphahn, Seconded by Trustee Frye, to approve a payment of \$720.19 to Grainger. Upon a call of the roll, the following voted:

Ayes: Trustees Frye, Newby, Triphahn, Wicinski
Nays: None
Abstain: Trustee Clements
Absent: Trustee Simoncelli

Mayor MacGillis Declared the Motion carried.

Trustee Simoncelli joined the meeting at 7:04

9. POLICE

9.1 Promotion Ceremony

Chief Gillette introduced Officer Cheney and her family to the board prior to Clerk Blauvelt swearing her in to the office of Sergeant.

10. PUBLIC WORKS

10.1 Adopt a Resolution to Ratify the Purchase of New 2014 F-550 Chassis from Victor Ford, in the amount of \$39,781.00

Motion by Trustee Simoncelli, Seconded by Trustee Newby, to adopt a Resolution to Ratify the Purchase of a New 2014 F-550 Chassis from Victor Ford in the amount of \$39,781.00. Upon the call of the roll, the following voted:

Ayes: Trustees Clements, Frye, Newby, Simoncelli, Triphahn, Wicinski
Nays: None
Abstain: None
Absent: None

Mayor MacGillis Declared the Motion carried.

11. COMMUNITY DEVELOPMENT

12. BUILDING AND ZONING

13. SPECIAL EVENTS

14. MAYOR'S COMMENTS

14.1 Mayor's Comments

Village Administrator Russ Kraly handed out information regarding the employee recognition program that will be discussed under COTW. The Mayor recognized the department heads for all of their work and the liaisons for all of their support in getting the budget and capital improvement information together. The Mayor also thanked Finance Director Steve Shields for all his time and effort in putting it together. The Mayor mentioned the Hart Road / Sunset reconstruction project and the ground breaking ceremony that will take place Wednesday March 19th 8:00.

14.2 Trustee's Comments

The Trustees thanked everyone involved in preparing the CIP and Budget over the last several weeks. It was brought to the attention of the board that our Junior Reserve Police Officer, Jonah Kolesar, is back in the hospital and everyone was asked to keep him in their thoughts and prayers. Jennifer Dosh, who first brought Jonah to our attention, participated in the Mrs. Illinois Pageant over the weekend, and although she did not win she did win an award for spirit and sportsmanship. It was also mentioned that there will be a fundraiser for a young man in our Village who is battling leukemia, it will take place at the Park Districts Sports Center on April 5th, if anyone is able to attend and support, please do so. Trustee Triphahn attended the Round Lake Area's Chamber Spring Fest Expo at the Sports Center over the weekend and praised the efforts of our Community Service Officer, Joe Ubanic, for all his time and effort with setting up the event. Trustee Triphahn also thanked the new Chief of the Village of Round Lake Beach for assisting our booth with extra giveaway items when ours ran short. It was also mentioned that the new textile boxes from SWALCO should be delivered soon.

15. EXECUTIVE SESSION

None

16. ADJOURN

Trustee Triphahn moved, Seconded by Trustee Newby, to adjourn. Upon a unanimous voice vote, the Mayor declared the motion carried and the meeting adjourned at 7:15 P.M.

APPROVED:

Patricia C. Blauvelt
Village Clerk

Daniel MacGillis
Village President

VILLAGE OF ROUND LAKE

THE PRESIDENT AND BOARD OF TRUSTEES OF

THE VILLAGE OF ROUND LAKE

APPROVES THE ACCOUNTS PAYABLE

IN THE AMOUNT OF:

GRAINGER PAYABLE	\$127.08**
ACCOUNTS PAYABLE	<u>\$297,653.49</u>
ACCOUNTS PAYABLE REPORT	<u>\$297,780.57</u>

ATTEST:

Patricia C. Blauvelt, Village Clerk

Dated: April 7, 2014

Daniel A. MacGillis, Village President

**** Page 7 - \$87.93, Page 14 - \$39.15**

GENERAL FUND
 ACTIVITY FROM 03/13/2014 TO 04/02/2014

ACCOUNT #	ACCOUNT DESCRIPTION VENDOR NAME	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
01-00-22-22224	EMPL. OPTIONAL AD&D INS. NCPERS -IL IMRF AFLAC	N3 A10	APRIL PREMIUM APRIL PREMIUM	176826 176761	04/01/14 03/31/14	16.00 630.00
			ACCOUNT TOTAL:			646.00
01-00-22-22234	PRINCIPAL LIFE INS.-VOLUNTARY PLIC-SBD GRAND ISLAND	P121	APRIL PREMIUM	176833	04/01/14	231.83
			ACCOUNT TOTAL:			231.83
01-20-71-67107	DENTAL INSURANCE PLIC-SBD GRAND ISLAND	P121	APRIL PREMIUM	176833	04/01/14	232.62
			ACCOUNT TOTAL:			232.62
01-20-71-67109	LIFE INSURANCE PLIC-SBD GRAND ISLAND	P121	APRIL PREMIUM	176833	04/01/14	12.17
			ACCOUNT TOTAL:			12.17
01-20-71-67110	HEALTH INSURANCE UMB BLUE CROSS/BLUE SHIELD OF IL	U22 B19	APRIL HSA CONTRIBUTION APRIL PREMIUM	176849 176762	04/01/14 03/31/14	218.75 2,086.26
			ACCOUNT TOTAL:			2,305.01
01-20-72-67208	MEETINGS, TRAVEL, & TRAINING RUSSELL KRALY	K73	MEETING EXPENSES	176814	04/01/14	165.60
			ACCOUNT TOTAL:			165.60
01-20-73-77307	ENGINEERING EXPENSES BAXTER & WOODMAN, INC. BAXTER & WOODMAN, INC. BAXTER & WOODMAN, INC.	B2 B2 B2	SQUAW CREEK RE-MAPPING STRM WTR COMPLIANCE ISSUES MARCH BOARD,STAFF MEETINGS	176778 176778 176778	04/01/14 04/01/14 04/01/14	852.45 762.94
			ACCOUNT TOTAL:			1,615.39
01-20-73-77313	LEGAL SERVICES TRESSLER LLP	T110	FEBRUARY LEGAL	176844	04/01/14	3,937.50
			ACCOUNT TOTAL:			3,937.50
01-20-73-77320	CONSULTING SERVICES					

ACTIVITY FROM 03/13/2014 TO 04/02/2014
 GENERAL FUND

ACCOUNT #	ACCOUNT DESCRIPTION VENDOR NAME	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
01-20-73-77320	CONSULTING SERVICES RUSSELL KRALY	K73	03/16-3/31/14 CONSULTING SERV.	176814	04/01/14	2,762.50
			ACCOUNT TOTAL:			2,762.50
01-20-74-77430	OFFICE SUPPLIES ACE HARDWARE	A4	BATTERIES	176776	04/01/14	8.99
	ICE MOUNTAIN SPRING WATER	I49	BOTTLED WATER	176805	04/01/14	39.06
	QUILL CORPORATION	Q2	COFFEE, LYSOL, KLEENEX, TAPE, PENS	176838	04/01/14	120.11
	QUILL CORPORATION	Q2	PAPER, KLEENEX, SOAP	176838	04/01/14	140.89
			ACCOUNT TOTAL:			309.05
01-20-74-77432	POSTAGE EXPENSE PITNEY BOWES, INC	P12	QRTLY POSTAGE MACHINE RENTAL	176766	03/31/14	123.00
			ACCOUNT TOTAL:			123.00
01-20-75-77515	GARBAGE COLLECTION WASTE MANAGEMENT	W43		176771	03/31/14	75,645.73
			ACCOUNT TOTAL:			75,645.73
01-20-77-77706	MISCELLANEOUS EXPENSE ILLINOIS STATE POLICE	I110	SOR PAYMENT PUBLIC ACT 096-109	176803	04/01/14	75.00
	ILLINOIS ATTORNEY GENERAL	I111	SOR PAYMENT PUBLIC ACT 096-109	176804	04/01/14	75.00
	TREASURER OF THE STATE OF IL	T118	SOR PAYMENT PUBLIC ACT 096-109	176845	04/01/14	15.00
			ACCOUNT TOTAL:			165.00
01-20-79-77903	B&G CONTRACTS CRYSTAL MANAGEMENT &	C128	APRIL CUSTODIAL	176780	04/01/14	535.00
			ACCOUNT TOTAL:			535.00
01-20-79-77905	B&G REPAIRS TOPTEC HEATING, COOLING	T115	DUCT/DIFFUSERS-MAYOR, RUSS OFC	176854	04/02/14	2,500.00
			ACCOUNT TOTAL:			2,500.00
01-20-82-88202	TELEPHONE SERVICE COMCAST CABLE	C156	03/21-04/20/14 INTERNET	176763	03/31/14	139.85
	CALLONE INC.	C179	03/15-04/14/14 PHONE CHGS	176764	03/31/14	20.50
			ACCOUNT TOTAL:			160.35
01-20-91-99107	IT MAINTENANCE SERVICES					

ACTIVITY FROM 03/13/2014 TO 04/02/2014
 GENERAL FUND

ACCOUNT #	ACCOUNT DESCRIPTION VENDOR NAME	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
01-20-91-99107	IT MAINTENANCE SERVICES CURRENT TECHNOLOGIES RUPINDER JHATTU MUNICIPAL CODE CORPORATION	C280 J139 M119	03/11/14 IT MAINTENANCE OCT-MARCH CONSULTING SERVICES CODE MAINTENANCE SERVICE	176785 176810 176820	04/01/14 04/01/14 04/01/14	1,030.50 3,150.00 2,360.34
			ACCOUNT TOTAL:			6,540.84
01-40-71-67107	DENTAL INSURANCE PLIC-SBD GRAND ISLAND	P121	APRIL PREMIUM	176833	04/01/14	1,420.41
01-40-71-67109	LIFE INSURANCE PLIC-SBD GRAND ISLAND	P121	APRIL PREMIUM			1,420.41
01-40-71-67110	HEALTH INSURANCE UMB BLUE CROSS/BLUE SHIELD OF IL	U22 B19	APRIL HSA CONTRIBUTION APRIL PREMIUM	176849 176762	04/01/14 03/31/14	1,749.96 19,431.46
			ACCOUNT TOTAL:			21,181.42
01-40-72-67202	UNIFORMS GALLS, AN ARAMARK COMPANY GALLS, AN ARAMARK COMPANY RAY O'HERRON CO., INC. RAY O'HERRON CO., INC.	G2 G2 G2 G2 G2 G2 O21 O21 O21 O21 O21 O21	UNIFORM-A. LOHMAN UNIFORM-A. LOHMAN UNIFORM-LOSSON UNIFORM-GULLIFOR UNIFORM-GULLIFOR UNIFORM LOSSON UNIFORM AKEY UNIFORM-N. CHENEY UNIFORM-AKEY UNIFORM-AKEY UNIFORM-N. CHENEY UNIFORM-KAPUSINSKI UNIFORM-SCHMIDT	176796 176796 176796 176796 176796 176796 176796 176829 176829 176829 176829 176829	04/01/14 04/01/14 04/01/14 04/01/14 04/01/14 04/01/14 04/01/14 04/01/14 04/01/14 04/01/14 04/01/14 04/01/14	122.83 55.67 32.24 250.00 138.50 32.26 32.99 75.98 204.96 172.91 87.81 322.90 109.99
			ACCOUNT TOTAL:			1,639.04
01-40-72-67234	HIRING PROCESS ADVOCATE OCCUPATIONAL HEALTH INNOVATIVE CREDIT SOLUTIONS	A123 I98	PRE-EMPLOYMENT SCREENING PRE-EMPLOYMENT CREDIT REPORT	176775 176809	04/01/14 04/01/14	222.00 30.00

VILLAGE OF ROUND LAKE
 PAID INVOICES BY ACCOUNT NUMBER

ACTIVITY FROM 03/13/2014 TO 04/02/2014
 GENERAL FUND

ACCOUNT #	ACCOUNT DESCRIPTION VENDOR NAME	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
01-40-72-67234	HIRING PROCESS					
	PERSONNEL STRATEGIES, LLC	F70	PRE-EMPLOYMENT EVALUATION	176836	04/01/14	1,000.00
	THEODORE POLYGRAPH SERVICE	T107	PRE-EMPLOYMENT POLY	176843	04/01/14	135.00
	THEODORE POLYGRAPH SERVICE	T107	PRE-EMPLOYMENT POLY	176843	04/01/14	135.00
			ACCOUNT TOTAL:			1,522.00
01-40-73-77311	VILLAGE PROSECUTOR					
	ALBERT L. WYSOCKI	W78	MARCH PROSECUTOR	176853	04/01/14	4,218.75
			ACCOUNT TOTAL:			4,218.75
01-40-74-77402	AMMO / GUNS					
	STREICHER'S	S18	CONVERSION KIT, PROTECTIVE MASK	176842	04/01/14	1,025.99
			ACCOUNT TOTAL:			1,025.99
01-40-74-77430	OFFICE SUPPLIES					
	QUILL CORPORATION	Q2	LASER LABELS, BATTERIES, PENS	176838	04/01/14	152.80
	QUILL CORPORATION	Q2	COPY PAPER, ENVELOPES, LABELS	176838	04/01/14	183.82
	QUILL CORPORATION	Q2	LAMINATING POUCHES, POST IT'S	176838	04/01/14	254.91
	QUILL CORPORATION	Q2	BANKERS BOX, FILE FOLDERS	176838	04/01/14	99.97
	QUILL CORPORATION	Q2	CERTIFICATE HOLDERS AND COVERS	176838	04/01/14	26.96
			ACCOUNT TOTAL:			718.46
01-40-74-77432	POSTAGE					
	PITNEY BOWES INC	P68	QRTLY POSTAGE MACHINE RENTAL	176767	03/31/14	99.00
			ACCOUNT TOTAL:			99.00
01-40-74-77434	OPERATING SUPPLIES					
	ACE HARDWARE	A4	PADLOCKS	176776	04/01/14	27.87
	QUILL CORPORATION	Q2	BATTERIES	176838	04/01/14	15.99
	SPEX CRIMINALITICS	S116	EVIDENCE SUPPLIES	176840	04/01/14	141.88
			ACCOUNT TOTAL:			185.74
01-40-74-77440	PRINTING					
	P.F. PATTIBONE & CO.	P1	CRIME PREVENTION NOTICE TICKET	176831	04/01/14	218.40
			ACCOUNT TOTAL:			218.40
01-40-75-77505	CENCOM					

ACTIVITY FROM 03/13/2014 TO 04/02/2014
 GENERAL FUND

ACCOUNT #	ACCOUNT DESCRIPTION VENDOR NAME	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
01-40-75-77505	CENCOM					
	CENCOM E 9-1-1	C3	MARCH RENT/OPERATION FEE	176786	04/01/14	19,974.88
	CENCOM E 9-1-1	C3	APRIL RENT/OPERATION FEE	176786	04/01/14	20,003.77
	RICHARD'S CHICKEN & RIBS	R10	PRISONER MEALS	176839	04/01/14	10.00
			ACCOUNT TOTAL:			39,988.65
01-40-75-77511	PUBLICATIONS & SUBSCRIPTIONS LEXISNEXIS RISK DATA	L38	JAN/FEB CONTRACT FEE/SEARCHES	176817	04/01/14	69.00
			ACCOUNT TOTAL:			69.00
01-40-77-77706	MISCELLANEOUS EXPENSE LAKE ZURICH FLORIST	L139	FLOWER ARRANGMENT LARSON	176816	04/01/14	78.94
			ACCOUNT TOTAL:			78.94
01-40-77-77712	SENATE 740 EXPENSES GALLS, AN ARAMARK COMPANY GALLS, AN ARAMARK COMPANY INTOXIMETERS INTOXIMETERS	G2 G2 I70 I70	POTABLE BREATHALIZER MOUTH PIECES GAS CANNISTER TO TEST PORTABLE BREATH TESTERS	176796 176796 176806 176806	04/01/14 04/01/14 04/01/14 04/01/14	91.01 196.50
			ACCOUNT TOTAL:			287.51
01-40-77-77720	COMMUNITY EDUCATION ACE HARDWARE	A4	VELCRO TAPE	176776	04/01/14	8.99
			ACCOUNT TOTAL:			8.99
01-40-79-77901	B&G MAINTENANCE ACE HARDWARE	A4	WINDSHIELD WASHER FLUID	176776	04/01/14	23.29
			ACCOUNT TOTAL:			23.29
01-40-79-77903	B&G CONTRACTS CRYSTAL MANAGEMENT & FOX VALLEY FIRE & SAFETY CO.	C128 F10	APRIL CUSTODIAL ANN. FIRE EXTINGUISHER MAINT.	176780 176794	04/01/14 04/01/14	490.00 135.25
			ACCOUNT TOTAL:			625.25
01-40-80-88018	OFFICE EQUIPMENT KONICA MINOLTA	K33	01/27-02/24/13 COPIER CHG	176811	04/01/14	98.84

GENERAL FUND
 ACTIVITY FROM 03/13/2014 TO 04/02/2014

ACCOUNT #	ACCOUNT DESCRIPTION VENDOR NAME	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
01-40-80-88018	OFFICE EQUIPMENT QUILL CORPORATION	Q2	COLOR TONER FOR COPY MACHINE	176838	04/01/14	247.98
			ACCOUNT TOTAL:			346.82
01-40-80-88024	VEHICLE EQUIPMENT HAVEY COMMUNICATIONS, INC.	H42	LIGHT CONTROLS #80, #42	176801	04/01/14	933.90
			ACCOUNT TOTAL:			933.90
01-40-82-88202	TELEPHONE SERVICE COMCAST CABLE CALLONE INC.	C156 C179	03/29-04/28/14 INTERNET 03/15-04/14/14 PHONE CHGS	176782 176764	04/01/14 03/31/14	49.79 158.30
			ACCOUNT TOTAL:			208.09
01-40-84-88402	GAS & OIL KRAIG M. KAPUSINSKI CHARTER ONE	K38 C282	SPECIAL OLYMPICS CONF. ILEAS CONFERENCE TRAVEL EXP.	176812 176765	04/01/14 03/31/14	25.01 111.20
			ACCOUNT TOTAL:			136.21
01-40-84-88404	VEHICLE REPAIRS VICTOR FORD	V24	HEAD LIGHT BULB #73	176850	04/01/14	50.31
			ACCOUNT TOTAL:			50.31
01-40-91-99105	NETWORK REPAIRS CURRENT TECHNOLOGIES	C280	SET UP COMPUTERS ON NETWORK	176785	04/01/14	543.88
			ACCOUNT TOTAL:			543.88
01-60-71-67107	DENTAL INSURANCE PLIC-SBD GRAND ISLAND	P121	APRIL PREMIUM	176833	04/01/14	274.53
			ACCOUNT TOTAL:			274.53
01-60-71-67109	LIFE INSURANCE PLIC-SBD GRAND ISLAND	P121	APRIL PREMIUM	176833	04/01/14	19.59
			ACCOUNT TOTAL:			19.59
01-60-71-67110	HEALTH INSURANCE					

GENERAL FUND
 ACTIVITY FROM 03/13/2014 TO 04/02/2014

ACCOUNT #	ACCOUNT DESCRIPTION VENDOR NAME	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
01-60-71-67110	HEALTH INSURANCE UMB					
	BLUE CROSS/BLUE SHIELD OF IL	U22 B19	APRIL HSA CONTRIBUTION APRIL PREMIUM	176849 176762	04/01/14 03/31/14	364.58 4,207.79
			ACCOUNT TOTAL:			4,572.37
01-60-72-67202	UNIFORMS CUTLER WORKWEAR	C159	WORK PANTS-MILLER	176783	04/01/14	24.83
			ACCOUNT TOTAL:			24.83
01-60-72-67208	MEETING, TRAVEL, & TRAINING LAKE COUNTY MUNICIPAL LEAGUE	L8	LCML MEETING-RON K.	176818	04/01/14	30.00
			ACCOUNT TOTAL:			30.00
01-60-74-77418	ICE CONTROL NORTH AMERICAN SALT CO.	N21	WINTER SALT	176825	04/01/14	1,506.19
			ACCOUNT TOTAL:			1,506.19
01-60-74-77452	STREET SIGNS TRAFFIC CONTROL & PROTECTION	T14	STREET SIGNS	176846	04/01/14	394.00
			ACCOUNT TOTAL:			394.00
01-60-75-77543	TRAFFIC SIGNAL MAINT. CONTRACT TREASURER OF LAKE COUNTY	T7	QRTLY TRAFFIC SIGNAL MAINT.	176848	04/01/14	939.95
			ACCOUNT TOTAL:			939.95
01-60-79-77903	B&G CONTRACTS CRYSTAL MANAGEMENT &	C128	APRIL CUSTODIAL	176780	04/01/14	135.00
			ACCOUNT TOTAL:			135.00
01-60-79-77905	B&G REPAIRS GROWER EQUIPMENT & SUPPLY GRAINGER, INC. GRAINGER, INC. OVERHEAD DOOR CO. THE VERDIN COMPANY	G8 G9 G9 O6 V8	PRESSURE WASHER REPAIR PARTS PRESSURE WASHER GAGE THERMOSTAT FOR HEATER OVERHEAD DOOR REPAIRS MOTOR FOR STREET CLOCK	176797 176798 176798 176830 176851	04/01/14 04/01/14 04/01/14 04/01/14 04/01/14	368.58 48.78 39.15 435.00 252.00
			ACCOUNT TOTAL:			1,143.51
01-60-79-77907	B & G BUILDING SUPPLIES					

ACCOUNT #	ACCOUNT DESCRIPTION	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
01-60-79-77907	B & G BUILDING SUPPLIES ACE HARDWARE	A4	MAILBOXES, BAGS, TIDE, SHOVELS	176776	04/01/14	451.08
			ACCOUNT TOTAL:			451.08
01-60-80-88001	EQUIPMENT R.A. ADAMS ENTERPRISES INC.	A6	FLOW CUTTING EDGE #40	176777	04/01/14	148.52
			ACCOUNT TOTAL:			148.52
01-60-80-88004	VEHICLES VICTOR FORD	V24	2014 FORD 250	176770	03/31/14	4,694.16
			ACCOUNT TOTAL:			4,694.16
01-60-80-88018	OFFICE EQUIPMENT KONICA MINOLTA	K33	01/31-03/04/14 COPIER CHG	176811	04/01/14	77.76
			ACCOUNT TOTAL:			77.76
01-60-80-88024	VEHICLE EQUIPMENT ACE HARDWARE	A4	FIRE EXTINGUISHERS FOR TRKS	176776	04/01/14	134.49
			ACCOUNT TOTAL:			134.49
01-60-82-88202	TELEPHONE SERVICE COMCAST CABLE CALLONE INC.	C156 C179	03/29-04/28/14 INTERNET 03/15-04/14/14 PHONE CHGS	176782 176764	04/01/14 03/31/14	49.78 184.82
			ACCOUNT TOTAL:			234.60
01-60-82-88216	STREET LIGHTS - ELECTRICAL COMED COMED COMED	C3149 C3158 C6046	02/19-03/20/14 ELECTRIC 02/19-03/20/14 ELECTRIC 02/19-03/20/14 ELECTRIC	176788 176789 176790	04/01/14 04/01/14 04/01/14	4,763.11 144.22 1,554.40
			ACCOUNT TOTAL:			6,461.73
01-60-84-88402	GAS & OIL PALATINE OIL CO., INC. PALATINE OIL CO., INC.	P66 P66	WINTER BLEND DIESEL WINTER BLEND DIESEL	176835 176835	04/01/14 04/01/14	683.88 556.68
			ACCOUNT TOTAL:			1,240.56
01-60-84-88404	VEHICLE REPAIRS					

GENERAL FUND
 ACTIVITY FROM 03/13/2014 TO 04/02/2014

ACCOUNT #	ACCOUNT DESCRIPTION	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
01-60-84-88404	VEHICLE REPAIRS					
	A TIRE COUNTY SERVICE	A1	TIRE/WHEEL BALANCE	176773	04/01/14	83.74
	A TIRE COUNTY SERVICE	A1	TORQUE CONVERTER #40	176773	04/01/14	1,448.81
	A TIRE COUNTY SERVICE	A1	TOWING CHARGE	176773	04/01/14	37.50
	A TIRE COUNTY SERVICE	A1	TOWED #54 OUT OF SINK HOLE	176773	04/01/14	150.00
	A TIRE COUNTY SERVICE	A1	INSTALL NEW STARTER ASSY #51	176773	04/01/14	342.52
	A TIRE COUNTY SERVICE	A1	INSTALL HEATER HOSES CLAMPS 51	176773	04/01/14	183.12
	A TIRE COUNTY SERVICE	A1	RIGHT FRT BEARING/ASSY #40	176773	04/01/14	222.42
	A TIRE COUNTY SERVICE	A1	TIRE REPAIR PARTS #45	176773	04/01/14	20.50
	ANTILOC AUTO PARTS	A107	BATTERY, TAIL LIGHT LENS	176774	04/01/14	288.90
	SAFELITE FULLFILLMENT, INC.	S148	REPLACE GLASS #52	176841	04/01/14	108.45
			ACCOUNT TOTAL:			2,885.96
01-60-84-88405	EQUIPMENT REPAIRS					
	ACE HARDWARE	A4	NUTS & SCREWS	176776	04/01/14	50.29
	R.A. ADAMS ENTERPRISES INC.	A6	REPLACE INSIDE LIGHT BAR #49	176777	04/01/14	57.00
	HYDRAULIC SERVICES & REPAIRS	H13	HYDRAULIC HOSE #56	176799	04/01/14	36.91
	MIDWEST HOSE AND FITTINGS, INC	M101	FLOW HOSE #50	176819	04/01/14	47.81
	MONROE TRUCK EQUIPMENT, INC.	M61	CUTTING EDGE FOR V FLOW #58	176822	04/01/14	472.80
	WEST SIDE EXCHANGE	W5	FLOW REPAIR PARTS #58	176852	04/01/14	125.70
			ACCOUNT TOTAL:			790.51
01-70-71-67107	DENTAL INSURANCE					
	PLIC-SBD GRAND ISLAND	P121	APRIL PREMIUM	176833	04/01/14	152.62
			ACCOUNT TOTAL:			152.62
01-70-71-67109	LIFE INSURANCE					
	PLIC-SBD GRAND ISLAND	P121	APRIL PREMIUM	176833	04/01/14	13.59
			ACCOUNT TOTAL:			13.59
01-70-71-67110	HEALTH INSURANCE					
	BLUE CROSS/BLUE SHIELD OF IL	B19	APRIL PREMIUM	176762	03/31/14	2,658.48
			ACCOUNT TOTAL:			2,658.48
01-70-72-67204	DUES & MEMBERSHIPS					
	IACE	I76A	2014 IACE MEMBERSHIP-BILL D.	176808	04/01/14	25.00
			ACCOUNT TOTAL:			25.00
01-70-72-67208	MEETINGS, TRAVEL, & TRAINING					

GENERAL FUND
 ACTIVITY FROM 03/13/2014 TO 04/02/2014

ACCOUNT #	ACCOUNT DESCRIPTION VENDOR NAME	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
01-70-72-67208	MEETINGS, TRAVEL, & TRAINING IACE	176	QRTL TRAINING-BILL, BOB	176807	04/01/14	70.00
			ACCOUNT TOTAL:			70.00
01-70-73-77305	BUILDING INSPECTION SERVICES THOMPSON ELEVATOR SERVICE	T3	MARCH ELEVATOR INSPECTIONS	176847	04/01/14	258.00
			ACCOUNT TOTAL:			258.00
01-70-73-77307	ENGINEERING EXPENSES BAXTER & WOODMAN, INC. BAXTER & WOODMAN, INC.	B2 B2	APPROVE SITE PLANS/RLHS CLINIC REVIEW PROJ.RECORDS/REMINGTON	176778 176778	04/01/14 04/01/14	452.15
			ACCOUNT TOTAL:			452.15
01-70-73-77321	PLUMBING INSPECTOR VPI, INC.	V14	JANUARY PLUMBING INSPECTIONS	176769	03/31/14	720.00
			ACCOUNT TOTAL:			720.00
	GENERAL FUND					204,049.13

MOTOR FUEL TAX FUND
ACTIVITY FROM 03/13/2014 TO 04/02/2014

ACCOUNT #	ACCOUNT DESCRIPTION VENDOR NAME	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
10-60-74-77436	PATCHING CURRAN CONTRACTING COMPANY PETER BAKER & SON CO.	C27 P102	UPM HPM-PREMIX	176784 176832	04/01/14 04/01/14	268.00 980.00
			ACCOUNT TOTAL:			1,248.00
			MOTOR FUEL TAX FUND			1,248.00

DATE: 04/02/14
TIME: 11:48:25
ID: AP4A0000.WOW

VILLAGE OF ROUND LAKE
PAID INVOICES BY ACCOUNT NUMBER

CAPITAL PROJECTS FUND
ACTIVITY FROM 03/13/2014 TO 04/02/2014

ACCOUNT #	ACCOUNT DESCRIPTION VENDOR NAME	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
35-20-88-88801	OTHER ENHANCEMENTS LIGHTMART.COM	L138	LED STREET LIGHT PROGRAM	176815	04/01/14	28,500.00
			ACCOUNT TOTAL:			28,500.00
			CAPITAL PROJECTS FUND			28,500.00

WATER/SEWER FUND
 ACTIVITY FROM 03/13/2014 TO 04/02/2014

ACCOUNT #	ACCOUNT DESCRIPTION VENDOR NAME	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
50-00-22-22224	EMPL. OPTIONAL AD&D INS. AFLAC	A10	APRIL PREMIUM	176761	03/31/14	70.34
			ACCOUNT TOTAL:			70.34
50-60-71-67107	DENTAL INSURANCE PLIC-SBD GRAND ISLAND	P121	APRIL PREMIUM	176833	04/01/14	337.47
			ACCOUNT TOTAL:			337.47
50-60-71-67109	LIFE INSURANCE PLIC-SBD GRAND ISLAND	P121	APRIL PREMIUM	176833	04/01/14	26.22
			ACCOUNT TOTAL:			26.22
50-60-71-67110	HEALTH INSURANCE UMB BLUE CROSS/BLUE SHIELD OF IL	U22 B19	APRIL HSA CONTRIBUTION APRIL PREMIUM	176849 176762	04/01/14 03/31/14	437.48 4,792.82
			ACCOUNT TOTAL:			5,230.30
50-60-72-67202	UNIFORMS CUTLER WORKWEAR	C159	WORK PANTS-MILLER	176783	04/01/14	24.83
			ACCOUNT TOTAL:			24.83
50-60-72-67204	DUES & MEMBERSHIPS CHARTER ONE	C282	AMERICAN WTR WORKS DUES	176765	03/31/14	79.00
			ACCOUNT TOTAL:			79.00
50-60-73-77313	LEGAL SERVICES TRESSLER LLP	T110	FEBRUARY LEGAL	176844	04/01/14	1,312.50
			ACCOUNT TOTAL:			1,312.50
50-60-73-77320	CONSULTING SERVICES RUSSELL KRALY	K73	03/16-3/31/14 CONSULTING SERV.	176814	04/01/14	487.50
			ACCOUNT TOTAL:			487.50
50-60-74-77428	WATER METERS					

ACCOUNT #	ACCOUNT DESCRIPTION VENDOR NAME	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
50-60-74-77428	WATER METERS HD SUPPLY WATERWORKS, LTD.	H45	RECALIBRATE METER	176802	04/01/14	122.80
			ACCOUNT TOTAL:			122.80
50-60-74-77432	POSTAGE CLASSIC PRINTERY POSTMASTER	C13 P18	SHIPPING CHG FOR CONTROL BOARD POSTAGE FOR PERMIT 53	176781 176834	04/01/14 04/01/14	14.01 1,000.00
			ACCOUNT TOTAL:			1,014.01
50-60-75-77547	WATER SAMPLES MCHENRY ANALYTICAL WATER MCHENRY ANALYTICAL WATER MCHENRY ANALYTICAL WATER	M97 M97 M97	ROUTINE WATER SAMPLES ROUTINE WATER SAMPLES ANNUAL WATER SAMPLES	176824 176824 176824	04/01/14 04/01/14 04/01/14	275.00 60.00 1,740.00
			ACCOUNT TOTAL:			2,075.00
50-60-79-77903	B&G CONTRACTS CRYSTAL MANAGEMENT &	C128	APRIL CUSTODIAL	176780	04/01/14	135.00
			ACCOUNT TOTAL:			135.00
50-60-79-77905	B&G REPAIRS GRAINGER, INC. OVERHEAD DOOR CO.	G9 O6	THERMOSTAT FOR HEATER OVERHEAD DOOR REPAIRS	176798 176830	04/01/14 04/01/14	39.15 435.00
			ACCOUNT TOTAL:			474.15
50-60-79-77907	B&G SUPPLIES ACE HARDWARE	A4	PROPANE, BATTERIES, FLASHLIGHTS	176776	04/01/14	87.46
			ACCOUNT TOTAL:			87.46
50-60-80-88004	VEHICLES VICTOR FORD	V24	2014 FORD 250	176770	03/31/14	26,601.55
			ACCOUNT TOTAL:			26,601.55
50-60-80-88024	VEHICLE EQUIPMENT ACE HARDWARE	A4	FIRE EXTINGUISHERS FOR TRKS	176776	04/01/14	134.49
			ACCOUNT TOTAL:			134.49
50-60-82-88202	TELEPHONE SERVICE					

WATER/SEWER FUND
 ACTIVITY FROM 03/13/2014 TO 04/02/2014

ACCOUNT #	ACCOUNT DESCRIPTION VENDOR NAME	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
50-60-82-88202	TELEPHONE SERVICE COMCAST CABLE CALLONE INC.	C156 C179	03/29-04/28/14 INTERNET 03/15-04/14/14 PHONE CHGS	176782 176764	04/01/14 03/31/14	49.78 184.82
			ACCOUNT TOTAL:			234.60
50-60-82-88206	ELECTRICAL SERVICE COMED	C3142	02/18-03/20/14 ELECTRIC	176787	04/01/14	143.55
			ACCOUNT TOTAL:			143.55
50-60-82-88208	HEATING NICOR GAS NICOR GAS NICOR GAS NICOR GAS NICOR GAS	N7 N7 N7 N7 N7	02/13-03/14/14 HEAT 02/14-03/17/14 HEAT 02/14-03/17/14 HEAT 02/11-03/13/14 HEAT 02/05-03/07/14 HEAT	176827 176827 176827 176827 176827	04/01/14 04/01/14 04/01/14 04/01/14 04/01/14	26.16 78.84 295.43 28.18 139.30
			ACCOUNT TOTAL:			567.91
50-60-84-88402	GAS & OIL PALATINE OIL CO., INC. PALATINE OIL CO., INC. CHARTER ONE	P66 P66 C282	WINTER BLEND DIESEL WINTER BLEND DIESEL NORTH SUBURBAN WTR ASSOC.	176835 176835 176765	04/01/14 04/01/14 03/31/14	683.87 556.68 109.00
			ACCOUNT TOTAL:			1,349.55
50-60-84-88404	VEHICLE REPAIRS A TIRE COUNTY SERVICE A TIRE COUNTY SERVICE A TIRE COUNTY SERVICE A TIRE COUNTY SERVICE A TIRE COUNTY SERVICE SAFELITE FULLFILLMENT, INC.	A1 A1 A1 A1 A1 S148	INSTALL REBUILT TRANSMISSION & TOWING CHARGE TOWED #54 OUT OF SINK HOLE RIGHT FRT BEARING/ASSY #40 TIRE REPAIR PARTS #45 REPLACE GLASS #52	176773 176773 176773 176773 176773 176841	04/01/14 04/01/14 04/01/14 04/01/14 04/01/14 04/01/14	1,448.81 37.50 150.00 222.41 20.50 108.45
			ACCOUNT TOTAL:			1,987.67
50-60-84-88405	EQUIPMENT REPAIRS A TIRE COUNTY SERVICE R.A. ADAMS ENTERPRISES INC. STANDARD EQUIPMENT CO.	A1 A6 S172	TOWING CHARGE #48 REPLACE INSIDE LIGHT BAR #49 VACTOR AIR ADAPTER	176773 176777 176768	04/01/14 04/01/14 03/31/14	95.00 56.99 312.43
			ACCOUNT TOTAL:			464.42
50-60-91-99107	IT MAINTENANCE					

WATER/SEWER FUND
 ACTIVITY FROM 03/13/2014 TO 04/02/2014

ACCOUNT #	ACCOUNT DESCRIPTION VENDOR NAME	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
50-60-91-99107	IT MAINTENANCE HARRIS COMPUTER SYSTEMS	H38	UNIT SET-UP/SPEC.PROGRAMMING	176800	04/01/14	450.00
			ACCOUNT TOTAL:			450.00
50-60-92-99202	REPAIRS TO SEWERS BLANTON BROTHERS BLANTON BROTHERS ANDY NICHOLAS	B83 B83 N82	TELEWISE SEWER/LINCOLN ROD, CAMERA & RECORD LINCOLN CAMERA SEWER- LINCOLN AVE	176779 176779 176828	04/01/14 04/01/14 04/01/14	700.00 700.00 200.00
			ACCOUNT TOTAL:			1,600.00
50-60-92-99208	REPAIRS TO LIFT STATIONS MEASUREMENT SPECIALTIES INC.	M137	TRANSDUCERS FOR STOCK	176821	04/01/14	1,465.35
			ACCOUNT TOTAL:			1,465.35
			WATER/SEWER FUND			46,475.67

ACCOUNT #	ACCOUNT DESCRIPTION VENDOR NAME	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
51-60-75-77507	COMMUTER PARKING RENT METRA	M8	ANN RENT/COMMUTER PARKING LOT	176823	04/01/14	4,800.00
			ACCOUNT TOTAL:			4,800.00
51-60-79-77903	B&G CONTRACTS KAPLAN PAVEMENT SERVICES	K66	METRA LOT SNOW PLOWING	176813	04/01/14	3,725.00
			ACCOUNT TOTAL:			3,725.00
51-60-82-88206	ELECTRICAL SERVICE COMED COMED COMED	C6082 C7018 C8009	02/19-03/20/14 ELECTRIC 02/19-03/19/14 ELECTRIC 02/19-03/19/14 ELECTRIC	176791 176792 176793	04/01/14 04/01/14 04/01/14	15.94 108.04 373.50
			ACCOUNT TOTAL:			497.48
			COMMUTER PARKING LOT FUND			9,022.48

DATE: 04/02/14
TIME: 11:48:26
ID: AP4A0000.WOW

VILLAGE OF ROUND LAKE
PAID INVOICES BY ACCOUNT NUMBER

VEHICLE REPLACEMENT FUND
ACTIVITY FROM 03/13/2014 TO 04/02/2014

ACCOUNT #	ACCOUNT DESCRIPTION VENDOR NAME	VENDOR #	TRANSACTION DESCRIPTION	CHECK #	CHECK DATE	ITEM AMOUNT
60-60-80-88004	VEHICLES VICTOR FORD	V24	2014 FORD 250	176770	03/31/14	8,485.29
			ACCOUNT TOTAL:			8,485.29
			VEHICLE REPLACEMENT FUND			8,485.29

FINAL TOTALS
ACTIVITY FROM 03/13/2014 TO 04/02/2014

GENERAL FUND	204,049.13
MOTOR FUEL TAX FUND	1,248.00
CAPITAL PROJECTS FUND	28,500.00
WATER/SEWER FUND	46,475.67
COMMUTER PARKING LOT FUND	9,022.48
VEHICLE REPLACEMENT FUND	8,485.29
GRAND TOTAL	297,780.57

VILLAGE OF ROUND LAKE

THE PRESIDENT AND BOARD OF TRUSTEES OF

THE VILLAGE OF ROUND LAKE

APPROVES THE PAYMENT OF PAYROLL

FOR THE PERIOD ENDING MARCH 23, 2014

IN THE AMOUNT OF \$113,286.72

Daniel A. MacGillis, Village President

ATTEST:

Patricia C. Blauvelt, Village Clerk

Dated: April 7, 2014

FOR CHECK DATES 03/27/2014 TO 03/27/2014

Board

EMPL. #	NAME	CODE	PAY RATE	HOURS	EARNINGS	TAXES		DEDUCTIONS		PENSION/INSUR
						EMPLOYEE	EMPLOYER	EMPLOYEE	EMPLOYER	
MP				10.000	1,000.00	96.25		DD1	3,007.56	
REG				2.000	2,500.00	217.00	217.00			
						50.75	50.75			
						128.44				

TOTAL FICA EMPLOYEE WAGES: 3,500.00
 TOTAL MEDICARE EMPLOYEE WAGES: 3,500.00
 TOTAL FEDERAL EMPLOYEE WAGES: 3,500.00
 TOTAL STATE EMPLOYEE WAGES: 3,500.00

TOTAL EMPLOYER FICA: 217.00
 TOTAL EMPLOYER MEDICARE: 50.75

GROSS PAY: TOTAL NUMBER OF EMPLOYEES: 7
 \$3,500.00 TOTAL DEDUCTIONS: 3,500.00 NET PAY: \$0.00

FOR CHECK DATES 03/27/2014 TO 03/27/2014

Administration

EMPL. #	NAME	EARNINGS			TAXES			DEDUCTIONS				
		CODE	PAY RATE	HOURS	TOTAL	CODE	EMPLOYEE	EMPLOYER	CODE	EMPLOYEE	EMPLOYER	
REG			388.000		11,016.49	FED	1,310.11		DD1	7,498.75	IMR	510.44
OT			0.500		19.22	FICA	686.78	686.78	GW	250.00	DEFA	17.62
SIC			8.000		204.98	MEDIC	160.62	160.62	HSA	75.00	HFA	163.74
VAC			4.000		102.49	STATE	495.32		ICM	165.00	VFA	2.20
											DSA	7.60
GRAND TOTALS:												

TOTAL FICA EMPLOYEE WAGES: 11,077.02
 TOTAL MEDICARE EMPLOYEE WAGES: 11,077.02
 TOTAL FEDERAL EMPLOYEE WAGES: 10,151.58
 TOTAL STATE EMPLOYEE WAGES: 10,151.58
 TOTAL PENSION EMPLOYEE WAGES: 11,343.18

TOTAL EMPLOYER FICA: 686.78
 TOTAL EMPLOYER MEDICARE: 160.62
 TOTAL EMPLOYER PENSION: 1,238.67

GROSS PAY: \$11,343.18
 TOTAL DEDUCTIONS: 11,343.18
 NET PAY: \$0.00

TOTAL NUMBER OF EMPLOYEES: 5

FOR CHECK DATES 03/27/2014 TO 03/27/2014

Police

EMPL. #	NAME	EARNINGS		TOTAL	TAXES		DEDUCTIONS		PENSION/INSUR	EMPLOYER	
		CODE	PAY RATE		HOURS	EMPLOYEE	EMPLOYER	CODE			EMPLOYEE
REG			1,816.000	58,655.97	FED	7,278.72	AF2	215.24	IMR	319.24	774.70
SIC			91.500	3,633.50	FICA	4,135.25	DD1	33,026.54	DSP	15.20	
VAC			132.500	3,893.83	MEDIC	967.12	DD2	2,941.48	PSP	138.85	
CMP			13.500	439.63	STATE	2,969.72	AF1	36.34	VFP	6.60	
PO			25.750	1,270.08			GW	350.00	POL	5,889.87	
DAR			1.000	34.06			PLI	54.45	DFP	123.34	
OIC			7.000	238.42			HSA	100.00	HFP	491.22	
FLH			12.000	408.73			ICM	635.00	VSP	3.06	
INS			1.000	254.74			MAP	297.00	PFP	1,002.68	
							DD3	1,651.60	DCP	27.33	
							CS4	203.00	HCP	73.05	
									VCP	2.26	
									FCP	111.83	

TOTAL FICA EMPLOYEE WAGES: 66,697.20
 TOTAL MEDICARE EMPLOYEE WAGES: 66,697.20
 TOTAL FEDERAL EMPLOYEE WAGES: 59,503.09
 TOTAL STATE EMPLOYEE WAGES: 59,503.09
 TOTAL PENSION EMPLOYEE WAGES: 66,527.68

TOTAL EMPLOYER FICA: 4,135.25
 TOTAL EMPLOYER MEDICARE: 967.12
 TOTAL EMPLOYER PENSION: 774.70

GROSS PAY: TOTAL NUMBER OF EMPLOYEES: 27
 \$68,828.96 TOTAL DEDUCTIONS: 63,065.99 NET PAY: \$5,762.97

Public works

FOR CHECK DATES 03/27/2014 TO 03/27/2014

EMPL. #	NAME	EARNINGS		TAXES		DEDUCTIONS		EMPLOYER	EMPLOYEE	EMPLOYER	EMPLOYEE
		CODE	PAY RATE	HOURS	TOTAL	CODE	TOTAL				
REG			807.000		20,508.39	FED	2,414.25	AF1	43.98	IMR	1,053.50
OT			18.000		736.56	FICA	1,384.07	GW	320.00	DSW	22.80
SIC			15.000		392.17	MEDIC	323.70	HSW	91.25	HSW	90.70
CMP			4.000		94.76	STATE	1,031.18	INS	8.00	VSW	2.04
VAC			48.000		1,215.68			UOE	406.99	DFW	52.86
OC			14.000		388.44			DD1	12,366.31	PFW	501.34
RR			1.000		75.07			DD2	1,235.34	VEW	6.60
								DOR	438.53	PCW	111.83
								PLI	10.72	HEW	163.74
								AF2	26.36		

TOTAL FICA EMPLOYEE WAGES: 22,323.93
 TOTAL MEDICARE EMPLOYEE WAGES: 22,323.93
 TOTAL FEDERAL EMPLOYEE WAGES: 20,950.43
 TOTAL STATE EMPLOYEE WAGES: 20,950.43
 TOTAL PENSION EMPLOYEE WAGES: 23,411.07
 TOTAL EMPLOYER FICA: 1,384.07
 TOTAL EMPLOYER MEDICARE: 323.70
 TOTAL EMPLOYER PENSION: 2,556.51

GROSS PAY: \$23,411.07
 TOTAL DEDUCTIONS: 22,106.09
 NET PAY: \$1,304.98

TOTAL NUMBER OF EMPLOYEES: 11
 TOTAL DEDUCTIONS: 22,106.09

FOR CHECK DATES 03/27/2014 TO 03/27/2014

Building

EMPL. #	NAME	EARNINGS		TAXES		DEDUCTIONS		PENSION/INSUR	EMPLOYER
		PAY RATE	HOURS	EMPLOYEE	EMPLOYER	EMPLOYEE	EMPLOYEE		
REG		214.000	8.000	790.89		4,068.97		279.15	
VAC		2.000	2.000	366.09		28.25		17.62	
FLH		16.000	16.000	85.62		36.86		250.67	
SIC		8.000	8.000	277.19				2.20	
GRAND TOTALS:									

TOTAL		TOTAL EMPLOYER FICA:	
5,527.72	FED	5,904.77	366.09
55.43	FICA	5,904.77	85.62
443.44	MEDIC	5,625.62	677.42
176.92	STATE	5,625.62	
		6,203.51	

TOTAL EMPLOYER FICA:		TOTAL EMPLOYER MEDICARE:		TOTAL EMPLOYER PENSION:	
5,904.77		5,625.62		677.42	
5,625.62		5,625.62			
5,625.62		6,203.51			

TOTAL EMPLOYER FICA:		TOTAL EMPLOYER MEDICARE:		TOTAL EMPLOYER PENSION:	
5,904.77		5,625.62		677.42	
5,625.62		5,625.62			
5,625.62		6,203.51			

TOTAL EMPLOYER FICA:		TOTAL EMPLOYER MEDICARE:		TOTAL EMPLOYER PENSION:	
5,904.77		5,625.62		677.42	
5,625.62		5,625.62			
5,625.62		6,203.51			

TOTAL EMPLOYER FICA:		TOTAL EMPLOYER MEDICARE:		TOTAL EMPLOYER PENSION:	
5,904.77		5,625.62		677.42	
5,625.62		5,625.62			
5,625.62		6,203.51			

TOTAL EMPLOYER FICA:		TOTAL EMPLOYER MEDICARE:		TOTAL EMPLOYER PENSION:	
5,904.77		5,625.62		677.42	
5,625.62		5,625.62			
5,625.62		6,203.51			

TOTAL EMPLOYER FICA:		TOTAL EMPLOYER MEDICARE:		TOTAL EMPLOYER PENSION:	
5,904.77		5,625.62		677.42	
5,625.62		5,625.62			
5,625.62		6,203.51			

TOTAL EMPLOYER FICA:		TOTAL EMPLOYER MEDICARE:		TOTAL EMPLOYER PENSION:	
5,904.77		5,625.62		677.42	
5,625.62		5,625.62			
5,625.62		6,203.51			

TOTAL EMPLOYER FICA:		TOTAL EMPLOYER MEDICARE:		TOTAL EMPLOYER PENSION:	
5,904.77		5,625.62		677.42	
5,625.62		5,625.62			
5,625.62		6,203.51			

FOR CHECK DATES 03/27/2014 TO 03/27/2014

ALL

EMPL. #	NAME	EARNINGS		TOTAL	TAXES		DEDUCTIONS		PENSION/INSUR	EMPLOYER
		CODE	PAY RATE		HOURS	EMPLOYEE	EMPLOYER	EMPLOYEE		
REG			3,227.000	98,208.57	FED	11,890.22	DD1	59,968.13	IMR	2,162.33
MP			10.000	1,000.00	FICA	6,789.19	GW	920.00	DFA	17.62
OT			18.500	755.78	MEDIC	1,587.81	HSA	266.25	HFA	163.74
SIC			122.500	4,407.57	STATE	4,901.85	ICM	800.00	VFA	2.20
CMP			17.500	534.39			AF1	108.57	DSA	7.60
VAC			186.500	5,267.43			INS	8.00	DSW	22.80
OC			14.000	388.44			UOE	406.99	HSW	90.70
RR			1.000	75.07			DD2	4,176.82	VSW	2.04
PO			25.750	1,270.08			DOR	438.53	DEW	52.86
DAR			1.000	34.06			PLI	102.03	PEW	501.34
OIC			7.000	238.42			AF2	241.60	VFW	6.60
FLH			28.000	852.17			MAP	297.00	PCW	111.83
INS			1.000	254.74			DD3	1,651.60	HFW	163.74
							CS4	203.00	DSP	15.20
									PSP	138.85
									VFP	6.60
									POL	5,889.87
									DFP	123.34
									HFP	491.22
									VSP	3.06
									FFP	1,002.68
									DCP	27.33
									HCP	73.05
									VCP	2.26
									PCP	111.83
									DFB	17.62
									PFB	250.67
									VFB	2.20

TOTAL FICA EMPLOYEE WAGES: 109,502.92
 TOTAL MEDICARE EMPLOYEE WAGES: 109,502.92
 TOTAL FEDERAL EMPLOYEE WAGES: 99,730.72
 TOTAL STATE EMPLOYEE WAGES: 99,730.72
 TOTAL PENSION EMPLOYEE WAGES: 107,485.44

TOTAL EMPLOYER FICA: 6,789.19
 TOTAL EMPLOYER MEDICARE: 1,587.81
 TOTAL EMPLOYER PENSION: 5,247.30

GROSS PAY: \$113,286.72
 TOTAL DEDUCTIONS: 106,218.77
 NET PAY: \$7,067.95

TOTAL NUMBER OF EMPLOYEES: 53

VILLAGE OF ROUND LAKE

FEBRUARY 2014



MONTHLY TREASURER'S REPORT

Steven J. Shields
Finance Director

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GENERAL FUND OPERATING RESULTS

GENERAL FUND SUMMARY

The table below shows the results of operations for the current month and the ten months ending February 28, 2014. For the month, actual results are a negative \$125,287 from the expected monthly deficit of \$237,590. For the ten months ending February 28, 2014 actual results are a positive \$367,460 from the expected year-to-date budget deficit of \$449,000.

General Fund Operating Results

	Current Month Budget	Current Month Actual	Year-to-Date Budget	Year-to-Date Actual	Annual Budget	Actual as a % of Annual Budget
Revenues	\$350,910	\$186,900	\$6,116,835	\$6,264,145	\$6,749,011	92.82%
Expenditures	\$588,500	\$551,776	\$6,565,835	\$6,345,684	\$7,716,372	82.24%
Excess (Deficiency)	(\$237,590)	(\$364,877)	(\$449,000)	(\$81,540)	(\$967,361)	

As a benchmark, for the tenth month of the fiscal year, revenues and expenses should be close to 83.33% of the annual operating budget. Revenues are higher than the 83.33% benchmark due to the 1st and 2nd installment of property taxes received in June and September. Property tax receipts account for 47.48% of the actual year-to-date revenues and represent 43.70% of the overall General Fund revenues budgeted. In addition, all major revenues of the General Fund are over their respective year-to-date budgets, except for State income tax due to the State missing the monthly payment.

Overall expenses are slightly below the benchmark, which shows that spending is below projections. Although the percentage can be at any time during the fiscal year slightly skewed due to one time charges that occur throughout the year, a comparison to a monthly benchmark provides a good indication of how revenues and expenses are tracking for the fiscal year.

GENERAL FUND REVENUES

The following is a summary of General Fund revenues by category. The detail that is included in each revenue category can be found in the attached revenue and expense report.

General Fund Revenue by Type

Category	Current Month's Budget	Current Month's Actual	Percent Variance	Year-to-Date Budget	Year-to-Date Actual	Percent Variance	Annual Budget	Actual as a % of Annual Budget
Taxes	\$291	\$0	(100.00%)	\$2,949,313	\$2,974,189	0.84%	\$2,949,313	100.84%
Intergovernmental	\$211,505	\$59,849	(71.70%)	\$2,138,703	\$2,156,252	0.82%	\$2,569,130	83.93%
Licenses & Permits	\$2,930	\$2,160	(26.28%)	\$38,510	\$118,786	208.45%	\$76,218	155.85%
Charges for Services	\$47,468	\$47,966	1.05%	\$479,976	\$482,783	0.58%	\$575,650	83.87%
Fines & Forfeits	\$14,624	\$8,416	(42.45%)	\$147,063	\$162,436	10.45%	\$190,000	85.49%
Grants	\$3,192	\$0	(100.00%)	\$31,920	\$16,920	(46.99%)	\$38,300	44.18%
Investment Income	\$1,250	\$2,612	108.98%	\$12,500	\$17,190	37.52%	\$15,000	114.60%
Reimbursements	\$4,700	\$0	(100.00%)	\$37,600	\$41,116	9.35%	\$47,000	87.48%
Miscellaneous	\$64,950	\$65,897	1.46%	\$281,250	\$294,473	4.70%	\$288,400	102.11%
Total Revenue	\$350,910	\$186,900	(46.74%)	\$6,116,835	\$6,264,145	2.41%	\$6,749,011	92.82%

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FEBRUARY 2014

For the month actual revenues are \$164,010 lower than the budget projection, but are \$147,310 higher than the year-to-date budget.

Taxes:

The one major revenue source in the category is shown in the table below:

<u>Description</u>	<u>Current Month</u>			<u>Year-to-Date</u>			<u>Prior Year</u>	
	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Actual</u>	<u>Variance</u>
Property Taxes	\$291	\$0	(100.00%)	\$2,949,313	\$2,974,189	0.84%	\$3,041,135	(2.20%)

Intergovernmental Revenue:

The table below lists the major intergovernmental revenues:

<u>Description</u>	<u>Current Month</u>			<u>Year-to-Date</u>			<u>Prior Year</u>	
	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Actual</u>	<u>Variance</u>
Road & Bridge Tax	\$8	\$0	(100.00%)	\$53,500	\$56,874	6.31%	\$53,673	5.96%
State Use Tax	\$23,312	\$26,179	12.30%	\$238,346	\$249,181	4.55%	\$231,156	7.80%
Sales Tax	\$36,250	\$31,220	(13.88%)	\$375,549	\$389,640	3.75%	\$378,384	2.97%
State Income tax	\$151,185	\$0	(100.00%)	\$1,451,326	\$1,422,091	(2.01%)	\$1,512,186	(5.96%)

No income tax payment was received in February, with \$168,036 owed the Village as of February 28, 2014. Of the \$1,422,091 received to-date, \$97,292 should have been received in the prior fiscal year. In addition to the above, replacement taxes are \$4,725 above the \$12,482 year-to-date budget and video gaming taxes are \$12,259 over the \$9,000 annual budget.

Licenses and Permits:

The one major revenue source in the category is shown in the table below:

<u>Description</u>	<u>Current Month</u>			<u>Year-to-Date</u>			<u>Prior Year</u>	
	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Actual</u>	<u>Variance</u>
Building Permits	\$2,095	\$1,830	(12.65%)	\$34,250	\$112,462	228.35%	\$81,624	37.78%

Budgeted building permits included only miscellaneous type permits; however, building permits were issued for the continued development of the Emerald Bay subdivision. Other minor revenues recorded in this category included business, liquor, vending licenses, garage sale permits, and inspection fee receipts. Vending and liquor licenses are over the year-to-date budget, but business licenses, garage sale permits (fee was eliminated in fiscal year end 2014) and inspection fees are under.

Charges for Services:

The one major revenue source in the category is shown in the table below:

<u>Description</u>	<u>Current Month</u>			<u>Year-to-Date</u>			<u>Prior Year</u>	
	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Actual</u>	<u>Variance</u>
Garbage Fees	\$45,081	\$44,988	(0.21%)	\$456,106	\$458,224	0.46%	\$447,469	2.40%

Besides the labor/equipment reimbursement from the MFT Fund, zoning hearing fees, and accident report receipts, the other remaining accounts in this category are under the year-to-date revenue amount budgeted.

MONTHLY TREASURER'S REPORT
FEBRUARY 2014

Fines and Forfeits:

The one major revenue source in the category is shown in the table below:

<u>Description</u>	<u>Current Month</u>			<u>Year-to-Date</u>			<u>Prior Year</u>	
	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Actual</u>	<u>Variance</u>
Circuit Court Fines	\$9,725	\$5,926	(39.07%)	\$98,073	\$125,430	27.89%	\$101,425	23.67%

In the month of January, the county started electronically depositing the monthly court fines; reducing the lag time by a month for the receipt of such funds. Besides senate 740 revenues and false alarm fees, all other accounts in this category are under the annual budget, which includes all seizure accounts and Village fines.

Grant Income:

To-date, \$16,920 has been received in grant income. In October, the Village received an urban forest EAB \$8,000 grant, in August the Village received \$7,685 for a grant under the Police Training Act for reimbursement of basic training costs for Officer Stephans and Tinsley and in September and January \$1,234 was recorded for reimbursement #1 & #2 of an IDOT traffic safety grant.

Investment Income:

Interest is \$2,190 over the \$15,000 annual budget due to investment returns on the \$880,000 of investments held at PNC bank.

Reimbursements:

The village received year-to-date four insurance reimbursements totaling \$21,408. In May a \$500 deductible reimbursement for light pole damage was received, in June \$4,142 was received for damage to two police vehicles, and in October the Village was reimbursed \$3,062 for damage to a street light. Finally, in the month of January \$13,705 was received for a light pole replacement (\$4,070) and \$9,635 for a hydraulic spill. In the month of August, the village received \$19,708 for School Resource Officer payments (February, March, April & May).

Miscellaneous Income:

The one major revenue source in the category is shown in the table below:

<u>Description</u>	<u>Current Month</u>			<u>Year-to-Date</u>			<u>Prior Year</u>	
	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Actual</u>	<u>Variance</u>
Cable/Video Franchise	\$56,375	\$60,071	6.56%	\$225,500	\$232,075	2.92%	\$215,480	7.70%

Other minor revenues recorded in this category included miscellaneous receipts, AT&T franchise fees, recycling rebates, and rent payments, all of which are under the year-to-date budget at the end of January, except for the miscellaneous receipts account. In addition, in the month of August, \$14,550 was received for the auction of a 2007 paint striping machine and in October \$5,208 for a jet rodder machine.

MONTHLY TREASURER'S REPORT
FEBRUARY 2014

Following is a summary of the major revenues in the General Fund:

Description	Annual Budget	Year-to-Date Budget	Year-to-Date Actual	Dollar Variance	Percent Variance
Real Estate Taxes	\$2,949,313	\$2,949,313	\$2,974,189	\$24,876	0.84%
Road & Bridge Tax	\$53,500	\$53,500	\$56,874	\$3,374	6.31%
State Use Tax	\$296,282	\$238,346	\$249,181	\$10,835	4.55%
Sales Tax	\$448,000	\$375,549	\$389,640	\$14,091	3.75%
State Income tax	\$1,744,770	\$1,451,326	\$1,422,091	(\$29,235)	(2.01%)
Building Permits	\$41,250	\$34,250	\$112,462	\$78,212	228.35%
Garbage Fees	\$547,000	\$456,106	\$458,224	\$2,118	0.46%
Circuit Court Fines	\$118,000	\$98,073	\$125,430	\$27,357	27.89%
Cable/Video Franchise	\$225,500	\$225,500	\$232,075	\$6,575	2.92%
Total Major Revenues	\$6,423,615	\$5,881,963	\$6,020,165	\$138,202	2.35%
All Other Revenues	\$325,396	\$234,872	\$243,980	\$9,108	3.88%
Total Revenues	\$6,749,011	\$6,116,835	\$6,264,145	\$147,310	2.41%

The major revenues reported on above account for 95% of the budgeted General Fund revenues.

GENERAL FUND EXPENDITURES

For the month, actual expenditures are \$36,724 under the expected monthly amount of \$588,500. For the ten months ending February 28, 2014 actual expenditures are \$220,151 under the year-to-date budget of \$6,565,835. Expenses are at 82.24% of the annual budget, versus the February benchmark of 83.33%. The detail included for each department can be found in the attached revenue and expense report.

General Fund Expenditures by Department

Department	Current Month's Budget	Current Month's Actual	Percent Variance	Year-to-Date Budget	Year-to-Date Actual	Percent Variance	Annual Budget	Actual as a % of Annual Budget
Administration	\$133,716	\$126,263	5.57%	\$1,540,310	\$1,552,045	(0.76%)	\$1,807,724	85.86%
Police Department	\$237,017	\$204,329	13.79%	\$2,527,457	\$2,361,582	6.56%	\$2,995,447	78.84%
Public Works	\$81,953	\$86,049	(5.00%)	\$774,300	\$711,783	8.07%	\$917,829	77.55%
Building Department	\$19,883	\$19,204	3.42%	\$214,455	\$210,957	1.63%	\$254,191	82.99%
Transfers Out	\$115,931	\$115,932	0.00%	\$1,509,313	\$1,509,317	0.00%	\$1,741,181	86.68%
Total Expense	\$588,500	\$551,776	6.24%	\$6,565,835	\$6,345,684	3.35%	\$7,716,372	82.24%

MONTHLY TREASURER'S REPORT
FEBRUARY 2014

Administration

Administration	Monthly Amount		Percent Variance		Year-to-Date		Percent Variance		Percent Expended of Annual Budget
	(Over)	Under	(Over)	Under	(Over)	Under	(Over)	Under	
Payroll Expenses	\$8,686		31.44%		\$27,731		9.26%		76.60%
Taxes, Pen. & Ins.	(\$18)		(0.23%)		\$6,452		7.99%		77.44%
Personnel Related	\$1,041		91.66%		\$5,265		30.23%		61.74%
Professional Services	(\$426)		(5.77%)		(\$95,680)		(101.41%)		174.18%
Commodities	(\$85)		(11.32%)		(\$432)		(5.75%)		88.17%
Contractual Services	(\$8,057)		(10.67%)		(\$19,944)		(2.26%)		87.33%
Misc. Expense	\$1,001		75.21%		\$3,130		17.80%		71.38%
Building & Grounds	(\$1,614)		(107.89%)		(\$745)		(4.98%)		87.49%
Capital Outlay	(\$279)		(63.15%)		(\$645)		(14.59%)		95.51%
Utilities	(\$915)		(116.90%)		(\$2,511)		(30.14%)		109.59%
Technology	\$8,119		83.82%		\$65,645		58.58%		35.31%
Total	\$7,453		5.57%		(\$11,735)		(0.76%)		85.86%

Administration expenses are under our projection by 5.57% for the month, but are 0.76% over the year-to-date budget. The following comments are for any category over the year-to-date budget as of February 28, 2014.

Professional Services

- The management consulting services (interim Village Administrator) charges are recorded in a new account within the professional services category with \$55,050 spent year-to-date.
- Legal services are \$45,158 over the \$58,750 annual budget due to additional charges for the GROOT transfer station, a tree issue case, and separation of the Village Administrator.
- Engineering services are \$1,858 over the \$10,260 year-to-date budget due to charges higher than anticipated for Board, staff, and project meetings.

Commodities

- Office supplies are \$403 over the \$4,740 year-to-date budget due to the replacement purchases of a toaster and microwave in the amount of \$164 and the timing of other supplies.
- Printing is \$212 over the \$794 annual budget due to charges of \$727 for payroll and payable check stock.

Contractual Services

- Publications & subscriptions has charges of \$450 for newspaper subscriptions not budgeted.
- The insurance premium account is \$13,851 over the \$119,631 annual budget due to a "true-up" paid (\$10,367) for the 2012 workers compensation plan audit performed by the Village's insurance company and the annual premium slightly higher than budgeted.
- SWALCO is \$7,695 over the \$7,758 annual budget as the invoice typically paid in March/April was paid in May 2013 and the 2014 invoice was paid in the current month.

Buildings & Grounds

- B&G maintenance is \$248 over the \$1,200 annual budget due to charges of \$953 for painting of certain Village Hall areas and \$495 for repair of the safety shutter at the front counter.
- B&G repairs is \$2,138 over the \$3,000 annual budget due to re-keying locks at the village hall in the amount of \$303 and charges of \$881 for HVAC repairs in the month of May, phone system repairs in June of \$830, and \$2,575 of repair charges for heating and ventilation in the month of March.

Capital Outlay

- Office equipment is \$645 over the \$4,420 year-to-date budget due to higher than anticipated copier charges.

Utilities

- Telephone service is \$3,243 over the \$6,104 annual budget due to an increase in the monthly CENTREX charge of the Call One invoice. This charge has been eliminated with the new phone system effective March 2014.

Police Department

Police Department	Monthly Amount		Percent Variance		Year-to-Date		Percent Variance		Percent Expended of Annual Budget
	(Over)	Under	(Over)	Under	(Over)	Under	(Over)	Under	
Payroll Expenses		\$5,548		3.61%		\$48,543		2.87%	82.19%
Taxes, Pen. & Ins.		\$17,339		56.45%		\$24,892		7.76%	77.41%
Personnel Related		(\$360)		(12.00%)		\$5,599		16.73%	70.60%
Professional Services		\$8		0.15%		\$18,075		34.10%	54.91%
Commodities		\$1,132		63.64%		\$4,214		23.69%	63.60%
Contractual Services		\$3,825		15.29%		\$9,284		3.93%	80.99%
Misc. Expense		\$1,089		51.41%		\$4,777		22.55%	64.57%
Building & Grounds		\$494		38.02%		\$3,661		28.18%	59.90%
Capital Outlay		(\$378)		(30.37%)		\$4,619		37.10%	52.42%
Utilities		\$103		12.87%		\$494		5.84%	79.24%
Vehicles & Equip.		\$3,739		32.88%		\$38,849		34.16%	54.87%
Technology		\$149		22.96%		\$2,866		44.22%	46.49%
Total		\$32,688		13.79%		\$165,875		6.56%	78.84%

Police Department expenses are under our projection by 13.79% for the month and 6.56% year-to-date. There are no categories over the year-to-date budget as of February 28, 2014 therefore, there are no comments.

Public Works

Public Works	Monthly Amount		Percent Variance		Year-to-Date		Percent Variance		Percent Expended of Annual Budget
	(Over)	Under	(Over)	Under	(Over)	Under	(Over)	Under	
Payroll Expenses		\$1,360		5.19%		\$2,575		1.03%	83.60%
Taxes, Pen. & Ins.		\$2,942		39.26%		(\$378)		(0.48%)	84.50%
Personnel Related		(\$335)		(83.14%)		(\$1,118)		(27.75%)	106.57%
Professional Services		\$677		55.26%		\$834		6.80%	77.68%
Commodities		(\$15,056)		(81.21%)		(\$25,433)		(25.94%)	104.96%
Contractual Services		\$486		54.44%		\$22,969		65.08%	32.46%
Building & Grounds		(\$8,076)		(226.47%)		\$13,599		22.14%	66.63%
Capital Outlay		\$4,836		58.88%		\$38,319		46.66%	44.45%
Utilities		\$7,602		92.78%		\$9,399		11.76%	73.61%
Vehicles & Equip.		(\$162)		(3.07%)		(\$373)		(0.71%)	83.92%
Technology		(\$211)		(158.27%)		(\$452)		(34.01%)	111.67%
Infrastructure Maint.		\$1,839		100.00%		\$2,577		14.01%	71.63%
Total		(\$4,096)		(5.00%)		\$62,517		8.07%	77.55%

Public Works expenses are over our monthly projection by 5.00%, but are 8.07% under the year-to-date budget. The following comments are for any category over the year-to-date budget as of February 28, 2014.

Taxes, Pensions & Insurance

- IMRF expenses are \$298 over the \$27,710 year-to-date budget as the overtime account is over the year-to-date budget by \$3,752 due to snow removal efforts.

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FEBRUARY 2014

- Vision insurance is \$42 over the \$250 annual budget due to an error in the budgeting process as the annual budget should have been \$350 versus the \$250 allocated.
- Life insurance is \$11 over the \$210 year-to-date budget due to an error in the recording of additional employee voluntary life insurance that needs to be reclassified to another account.
- Health insurance is \$1,551 over the \$29,170 year-to-date budget due to premiums increasing in both October and January higher than anticipated.

Personnel Related

- Uniforms are \$993 over the \$2,116 annual budget due to the purchase of winter sweatshirts and jackets at a cost of \$654 in the month of November and hats (\$155) in January and other additional costs due to the new union contract that was not budgeted.
- Dues and memberships are \$256 over the \$228 annual budget due to a \$264 payment for annual drug & alcohol testing membership in November.
- Meeting, travel, and training is \$33 over the \$1,030 year-to-date budget due to a \$395 payment for a time management class not budgeted.

Commodities

- Ice control (salt purchases) are \$33,150 over the \$87,335 year-to-date budget due to the harsh weather conditions to-date this past winter season.

Vehicles & Equipment

- Vehicle repairs are \$2,651 over the \$16,730 year-to-date budget due to charges of \$6,640 (34% of the year-to-date charges) in the month of January for items such as rearend rebuild (\$2,123), rebuild transmission (\$1,325) and other such repairs.
- Equipment repairs are \$1,941 over the \$11,310 year-to-date budget due to charges of \$4,950 in January (37% of the year-to-date charges) for items such as plow repairs, parts for snow blades, spinner motor parts, and other such parts or repairs.

Technology

- Network repairs are \$186 over the \$1,596 annual budget due to higher than anticipated network repairs for items such as email issues.

Building Department

Building Department	Monthly	Percent	Year-to-Date		Percent
	Amount	Variance	Year-to-Date	Variance	Expenditure of
	(Over) Under	(Over) Under	(Over) Under	(Over) Under	Annual Budget
Payroll Expenses	(\$987)	(7.93%)	(\$163)	(0.12%)	84.72%
Taxes, Pen. & Ins.	\$2,149	46.85%	\$2,709	5.61%	79.33%
Personnel Related	(\$103)	(70.07%)	\$760	51.73%	40.43%
Professional Services	(\$37)	(2.10%)	(\$311)	(1.78%)	84.85%
Commodities	\$96	55.01%	\$1,462	84.00%	13.41%
Contractual Services	\$16	100.00%	\$160	100.00%	0.00%
Utilities	(\$24)	(11.40%)	\$69	3.24%	80.53%
Vehicles & Equip.	(\$494)	(98.86%)	(\$1,190)	(23.79%)	103.23%
Technology	\$63	100.00%	\$1	0.05%	91.99%
Total	\$679	3.42%	\$3,498	1.63%	82.99%

Building Department expenses are under our projection by 3.42% for the month and 1.63% year-to-date. The following comments are for any category over the year-to-date budget as of February 28, 2014.

Payroll Expenses

- Overtime expenses are \$1,351 over the \$705 annual budget due to snow removal efforts.

Professional Services

- Engineering expenses are \$909 over the \$4,558 annual budget due to charges for items such as FEMA maps and a watershed permit for the Round Lake High School health clinic.
- Plumbing inspector services are \$644 over the \$11,286 annual budget, due to inspections related to the continued development of the Emerald Bay subdivision.

Vehicle & Equipment

- Vehicle repairs are \$1,511 over the \$1,153 annual budget due to charges of \$414 for an ignition coil and plugs, vehicle #18 in August, \$279 for an alternator/battery repairs for vehicle #16 in September and November charges of \$1,086 for tires, front brake pads and cylinders.

Other Financing Uses

Other Financing Uses	Monthly Amount (Over) Under	Percent Variance (Over) Under	Year-to-Date (Over) Under	Percent Variance (Over) Under	Percent Expended of Annual Budget
Transfers Out / Contributions	\$0	0.00%	\$0	0.00%	86.68%
Total	\$0	0.00%	\$0	0.00%	86.68%

A total of \$1,741,181 is budgeted for transfers and contributions to other funds. \$350,000 is to be transferred to the 2010 Debt Service Fund. The transfers were done in June and December to cover the debt service payment due July 1st and January 1st. \$1,100,000 is for street projects, \$400,000 to the Motor Fuel Tax Fund and \$700,000 to the Capital Improvements Fund. The remaining \$291,181 relates to the budgeted internal service fund contributions. The street projects and internal service fund contributions are done on a monthly basis.

WATER & SEWER FUND OPERATING RESULTS

WATER AND SEWER FUND SUMMARY

The table below shows the results of operations for the current month and the ten months ending February 28, 2014. For the month, actual results are a positive \$457,879 from the expected monthly deficit of \$172,490. For the ten months ending February 28, 2014 actual results are a positive \$1,680,478 from the expected year-to-date budget deficit of \$1,868,112.

Water and Sewer Fund Operating Results

	Current Month Budget	Current Month Actual	Year-to-Date Budget	Year-to-Date Actual	Annual Budget	Actual as a % of Annual Budget
Revenues	\$301,039	\$358,020	\$3,221,255	\$3,431,845	\$3,846,601	89.22%
Expenditures	\$473,529	\$72,631	\$5,089,367	\$3,619,478	\$6,046,998	59.86%
Excess (Deficiency)	(\$172,490)	\$285,389	(\$1,868,112)	(\$187,634)	(\$2,200,397)	

As a benchmark, for the tenth month of the fiscal year, revenues and expenses should be close to 83.33% of the annual operating budget. Actual revenues are slightly above the benchmark while expenses are also below the benchmark, which shows that spending through the current month in the fiscal year is below projections.

WATER AND SEWER FUND REVENUES

The following is a summary of Water & Sewer Fund revenues by category. The detail that is included in each revenue category can be found in the attached revenue and expense report.

Water & Sewer Fund Revenue by Type

Category	Current Month's Budget	Current Month's Actual	Percent Variance	Year-to-Date Budget	Year-to-Date Actual	Percent Variance	Annual Budget	Actual as a % of Annual Budget
Licenses & Permits	\$0	\$0	0.00%	\$0	\$109,500	100.00%	\$0	100.00%
Charges for Services	\$299,343	\$348,293	16.35%	\$3,204,295	\$3,261,176	1.78%	\$3,826,244	85.23%
Investment Income	\$1,571	\$9,568	509.07%	\$15,710	\$58,343	271.37%	\$18,857	309.39%
Reimbursements	\$0	\$0	0.00%	\$0	\$740	100.00%	\$0	100.00%
Miscellaneous	\$125	\$158	26.37%	\$1,250	\$2,086	66.87%	\$1,500	139.06%
Total Revenue	\$301,039	\$358,020	18.93%	\$3,221,255	\$3,431,845	6.54%	\$3,846,601	89.22%

For the month actual revenues are \$56,981 above the monthly budget projection and are \$210,590 over the year-to-date budget.

Licenses & Permits

Year-to-date \$109,500 has been received for developer permit fees related to the Emerald Bay subdivision, which was not budgeted.

Charges for Services:

The major revenue sources in this category are shown in the table below:

<u>Description</u>	<u>Current Month</u>			<u>Year-to-Date</u>			<u>Prior Year</u>	
	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Actual</u>	<u>Variance</u>
Village Fees	\$133,992	\$150,627	12.42%	\$1,416,399	\$1,423,656	0.51%	\$1,397,191	1.89%
Water Fees	\$68,262	\$79,320	16.20%	\$772,387	\$769,022	(0.44%)	\$821,016	(6.33%)
Sewer Fees	\$89,547	\$110,303	23.18%	\$940,089	\$933,949	(0.65%)	\$928,998	0.53%
Total	\$291,801	\$340,250	16.60%	\$3,128,875	\$3,126,626	(0.07%)	\$3,147,204	(0.65%)

In addition to the above, connection fees of \$50,818 were received related to the Emerald Bay development and meters held for resale are \$2,352 over the \$10,000 annual budget related to the same. The LRSD user fees are also tracking \$2,277 above the projection and water and sewer penalties are tracking \$1,955 above the \$56,670 year-to-date budget.

Investment Income:

Interest income is \$39,486 over the \$18,857 annual budget due to investment returns on the \$3.3 million of investments held at PNC bank.

Reimbursements:

The village received year-to-date one insurance reimbursement totaling \$740 for damage to a hydrant.

Miscellaneous Income:

Miscellaneous receipts are \$586 over the \$1,500 annual budget, which mainly includes charges to customers for bounced checks (NSF fees).

WATER AND SEWER FUND EXPENDITURES

For the month, actual expenditures are \$400,898 lower than the expected monthly amount of \$473,529. For the ten months ending February 28, 2014 actual expenditures are \$1,469,889 under the year-to-date budget of \$5,089,367. Expenses are at 59.86% of the annual budget, versus the monthly benchmark of 83.33%. The detail included for each category can be found in the attached revenue and expense report.

Water and Sewer Expenditures

Category	Current Month's Budget	Current Month's Actual	Percent Variance	Year-to-Date Budget	Year-to-Date Actual	Percent Variance	Annual Budget	Actual as a % of Annual Budget
Payroll Expenses	\$37,271	\$34,653	7.03%	\$371,444	\$369,498	0.52%	\$439,482	84.08%
Taxes, Pen. & Ins.	\$11,280	\$6,495	42.42%	\$119,216	\$109,652	8.02%	\$141,775	77.34%
Personnel Related	\$364	\$718	(97.25%)	\$3,640	\$3,878	(6.55%)	\$4,363	88.89%
Professional Services	\$10,754	\$975	90.93%	\$114,415	\$43,256	62.19%	\$135,916	31.83%
Commodities	\$3,113	\$258	91.72%	\$31,130	\$37,110	(19.21%)	\$37,359	99.33%
Contractual Services	\$3,076	\$1,282	58.33%	\$71,097	\$68,910	3.08%	\$78,688	87.57%
Miscellaneous Expenses	\$0	\$0	0.00%	\$7,260	\$7,260	0.00%	\$7,260	100.00%
Building & Grounds	\$2,471	\$3,245	(31.34%)	\$30,222	\$23,824	21.17%	\$35,851	66.45%
Capital Outlay	\$27,068	\$36	99.87%	\$270,680	\$217,006	19.83%	\$324,808	66.81%
Water & Sewer Improvements	\$187,404	\$4,852	97.41%	\$1,874,040	\$772,618	58.77%	\$2,248,853	34.36%
Utilities	\$172,090	\$5,170	97.00%	\$1,867,560	\$1,655,107	11.38%	\$2,226,709	74.33%
Vehicles & Equipment	\$4,399	\$4,016	8.71%	\$43,990	\$43,435	1.26%	\$52,784	82.29%
Charges for Services	\$0	\$0	#DIV/0!	\$1,700	\$1,588	6.56%	\$1,700	93.44%
Technology	\$1,673	\$1,525	8.87%	\$18,330	\$16,626	9.30%	\$21,676	76.70%
Infrastructure Maintenance	\$5,173	\$2,014	61.07%	\$51,730	\$36,987	28.50%	\$62,080	59.58%
Debt Service	\$0	\$0	0.00%	\$138,983	\$138,798	0.13%	\$138,983	99.87%
Transfers Out / Contributions	\$7,393	\$7,393	0.01%	\$73,930	\$73,926	0.00%	\$88,711	83.33%
Total Expenses	\$473,529	\$72,631	84.66%	\$5,089,367	\$3,619,478	28.88%	\$6,046,998	59.86%

The following comments are for any category over the year-to-date budget as of February 28, 2014.

Personnel Related

- Uniforms are \$786 over the \$2,116 annual budget due to the purchase of winter sweatshirts and jackets at a cost of \$654 in the month of November and hats (\$155) in January and additional costs due to the new union contract that was not budgeted.
- Dues and memberships are \$172 over the \$503 annual budget due to a \$264 payment for annual drug & alcohol testing membership in November.

Commodities

- The water meters account is \$3,179 over the \$10,000 annual budget due to the purchase of water meters for the Emerald Bay subdivision development.
- Postage is \$1,543 over the \$22,130 year-to-date budget due to the timing of the postage due to the Village's third party vendor for printing and mailing water bills (\$5,000 paid in January).

**OPERATING RESULTS OF OTHER FUNDS
REVENUES**

The table that follows are all other funds and the total budget and actual revenues for the month. The detail for each fund's revenue can be found in the attached revenue and expense report.

Funds	Fund #	Current Month's Budget	Current Month's Actual	Percent Variance	Year-to-Date Budget	Year-to-Date Actual	Percent Variance	Annual Budget	Actual as a % of Annual Budget
Special Revenue									
Motor Fuel Tax	10	\$87,124	\$73,675	(15.44%)	\$985,593	\$928,443	(5.80%)	\$1,151,821	80.61%
SSA #1 Bright Meadows	16	\$24	\$5	(80.72%)	\$23,949	\$24,020	0.30%	\$23,998	100.09%
Debt Service Funds									
2005 Bonds Debt Service	24	\$23,374	\$21,996	(5.90%)	\$236,248	\$226,855	(3.98%)	\$287,051	79.03%
2010 Bonds Debt Service	26	\$6,265	\$5,918	(5.54%)	\$471,496	\$469,927	(0.33%)	\$532,572	88.24%
2011 Bonds Debt Service	28	\$36,509	\$35,087	(3.89%)	\$326,178	\$293,585	(9.99%)	\$388,123	75.64%
Capital Project Funds									
Capital Projects	35	\$68,850	\$60,476	(12.16%)	\$847,996	\$738,004	(12.97%)	\$1,033,701	71.39%
Enterprise Funds									
Commuter Parking	51	\$6,156	\$4,803	(21.98%)	\$74,526	\$68,425	(8.19%)	\$91,739	74.59%
Internal Service Funds									
Vehicle Replacement	60	\$18,011	\$43,594	142.04%	\$180,110	\$205,519	14.11%	\$216,123	95.09%
Technology Replacement	61	\$6,778	\$7,593	12.03%	\$67,777	\$66,661	(1.65%)	\$81,332	81.96%
Building Replacement	62	\$7,116	\$7,105	(0.15%)	\$71,160	\$71,052	(0.15%)	\$85,396	83.20%
Agency Funds									
Working Cash	81	\$140	\$5	(96.71%)	\$7,765	\$8,278	6.60%	\$8,038	102.98%
Builders Escrow	83	\$9	\$2	(73.56%)	\$90	\$23	(73.96%)	\$110	21.31%

Special Revenue Funds

The one major revenue source in this fund category is shown in the table below:

Description	Current Month			Year-to-Date			Prior Year	
	Budget	Actual	% Variance	Budget	Actual	% Variance	Actual	Variance
Motor Fuel Tax	\$38,642	\$40,329	4.36%	\$360,523	\$382,904	6.21%	\$371,391	3.10%

The \$400,000 contribution from the General Fund is done on a monthly basis, \$33,333 per month. A \$71,967 Illinois Jobs Now Program grant was received in the month of November. Interest income is less than the year-to-date budget due to lower rates than what was projected and no reimbursements for Hart Road engineering services has been received.

SSA #1 Bright Meadows property tax receipts of \$23,970 are above the annual budget of \$23,730, however, interest income is lower than anticipated.

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Debt Service Funds

The major revenue sources in this fund category are shown in the table below:

<u>Description</u>	<u>Current Month</u>			<u>Year-to-Date</u>			<u>Prior Year</u>	
	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Actual</u>	<u>Variance</u>
Electric	\$37,628	\$36,170	(3.87%)	\$336,164	\$302,629	(9.98%)	\$324,571	(6.76%)
Gas	\$0	\$0	0.00%	\$118,998	\$122,051	2.57%	\$90,362	35.07%
Telephone	\$28,499	\$26,823	(5.88%)	\$288,058	\$276,637	(3.97%)	\$291,759	(5.18%)

Electric and telephone utility receipts are a combined \$44,957 below the year-to-date budget amount of \$624,223. Three quarterly gas payments have been received, which are \$1,527 over the year-to-date budget. Below is a summary of the major revenues that support all debt service payments in the three budgeted debt service funds. The four major revenues listed account for 99.98% of the budgeted debt service funds revenues.

Debt Service Major Revenue Summary

<u>Description</u>	<u>Annual Budget</u>	<u>Year-to-Date Budget</u>	<u>Year-to-Date Actual</u>	<u>Dollar Variance</u>	<u>Percent Variance</u>
Utility Tax Electric	\$400,000	\$336,164	\$302,629	(\$33,535)	(9.98%)
Utility Tax - Gas (1)	\$107,500	\$59,499	\$61,026	\$1,527	2.57%
Utility Tax Telephone	\$350,000	\$288,059	\$276,637	(\$11,422)	(3.97%)
Transfers In	\$350,000	\$350,000	\$350,000	\$0	0.00%
Total Major Revenues	\$1,207,500	\$1,033,722	\$990,291	(\$43,431)	(4.20%)
All Other Revenues	\$246	\$200	\$75	(\$125)	(62.28%)
Total Revenues	\$1,207,746	\$1,033,922	\$990,367	(\$43,555)	(4.21%)

- (1) This amount represents 50% of the gas tax receipts; the other 50% is recorded in the Capital Improvements Fund.

Capital Projects Funds

Revenue in the Capital Projects Fund is below the projection due to no CDBG revenues received yet (\$100,000) and only \$1,513 in reimbursements have been received to-date for the MacGillis Bridge project budgeted year-to-date at \$85,867. However, a \$20,700 grant was received from the Watershed Management Board, budgeted year-to-date at \$17,250 and \$53,580 in developer impact fees recorded for the continued development of the Emerald Bay subdivision. Interest income is above the year-to-date budget and \$14,577 has been received for the tree replacement cost sharing program. The \$700,000 contribution from the General Fund is done on a monthly basis, \$58,333 per month. Finally, a \$574 insurance reimbursement was received in November for tree damage by a vehicle. Three quarterly gas tax payments are in, \$1,527 higher then the \$59,499 year-to-date budget.

Enterprise

The one major revenue source in this fund is shown in the table below:

<u>Description</u>	<u>Current Month</u>			<u>Year-to-Date</u>			<u>Prior Year</u>	
	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Budget</u>	<u>Actual</u>	<u>% Variance</u>	<u>Actual</u>	<u>Variance</u>
Commuter Lot Revenue	\$6,094	\$4,801	(21.22%)	\$73,906	\$68,399	(7.45%)	\$73,052	(6.37%)

Interest income is also less then the year-to-date budget due to lower rates then what was projected.

Internal Service Funds

A total of \$379,892 is budgeted for contributions from other funds. \$291,181 is contributed from the General Fund and \$88,711 from the Water and Sewer Fund. Contributions are done on a monthly basis. Interest income is less then the year-to-date budget in each fund due to lower rates then what was projected. In the Vehicle Replacement Fund a \$25,602 insurance reimbursement was received for a truck fire that totaled the vehicle.

Agency Funds

The Working Cash Fund has a positive year-to-date budget variance due to property tax receipts higher then budget. The Builders Escrow Fund has a negative year-to-date budget variance due to interest income less then budgeted due to lower rates then what was projected.

**OPERATING RESULTS OF OTHER FUNDS
EXPENDITURES**

The table that follows includes all other funds and the total budget and actual expenses for the month. The detail for each fund's expenses can be found in the attached revenue and expense report.

Funds	Fund #	Current Month's Budget	Current Month's Actual	Percent Variance	Year-to-Date Budget	Year-to-Date Actual	Percent Variance	Annual Budget	Actual as a % of Annual Budget
Special Revenue									
Motor Fuel Tax	10	\$134,722	\$3,474	97.42%	\$1,347,220	\$335,166	75.12%	\$1,616,666	20.73%
SSA #1 Bright Meadows	16	\$44	\$6,885	(15641.70%)	\$22,766	\$13,599	40.27%	\$25,641	53.04%
Debt Service Funds									
2005 Bonds Debt Service	24	\$18	\$0	100.00%	\$274,696	\$274,515	0.07%	\$275,266	99.73%
2010 Bonds Debt Service	26	\$5	\$0	100.00%	\$529,245	\$529,195	0.01%	\$530,295	99.79%
2011 Bonds Debt Service	28	\$45	\$0	100.00%	\$379,755	\$379,415	0.09%	\$387,350	97.95%
Capital Project Funds									
Capital Projects	35	\$97,580	\$7,183	92.64%	\$975,800	\$579,865	40.58%	\$1,170,948	49.52%
Enterprise Funds									
Commuter Parking	51	\$4,775	\$7,195	(50.67%)	\$31,681	\$26,301	16.98%	\$42,293	62.19%
Internal Service Funds									
Vehicle Replacement	60	\$5,833	\$0	100.00%	\$148,830	\$120,068	19.33%	\$160,500	74.81%
Technology Replacement	61	\$4,765	\$0	100.00%	\$47,647	\$45,076	5.40%	\$57,184	78.83%
Building Replacement	62	\$0	\$0	0.00%	\$0	\$0	0.00%	\$0	0.00%

Special Revenue Funds

Ninety-three percent of the annual budgeted Motor Fuel Tax Fund expenses relate to roadway improvements of which \$303,878 has been spent through month end. All other categories in this fund are under the year-to-date budget.

Ninety-nine percent of the budgeted SSA #1 Bright Meadows expenses relate to landscaping charges of which \$13,596 was spent through month end, budgeted at \$25,116 year-to-date.

Debt Service Funds

At the end of January all principal and interest payments were made on all bond series.

Capital Projects Funds

Of the \$579,865 spent to-date, expenses mainly relate to the items listed below:

<u>Project Name</u>	<u>Dollar Amount</u>	<u>Percent of Total</u>
Cedar Valley Park Pond	\$5,515	0.95%
Nippersink Design & Construction Engineering	\$27,082	4.67%
Nippersink Construction	\$84,403	14.56%
Tree Replacement Program	\$51,021	8.80%
Hart/Sunset Design Engineering	\$49,920	8.61%
Traffic Signal LED Upgrade	\$1,715	0.30%
Long Lake West Construction & Constr. Engineering	\$339,360	58.52%
Long Lake West Design Engineering	\$18,011	3.11%
Total Project Expenses Listed	<u>\$577,026</u>	<u>99.51%</u>
Total Y-T-D Expenses	<u>\$579,865</u>	

Enterprise

The Commuter Parking Lot Fund has one category over the year-to-date budget. The utilities (electrical service) category is \$56 over the \$3,700 year-to-date budget due to higher than anticipated charges.

Internal Service Funds

There are three (3) funds in this fund type; vehicle, technology, and building replacement. There are no expenses budgeted in the Building Replacement Fund. Through the month of February there has been \$120,068 recorded in the Vehicle Replacement Fund for the purchase of three (3) squads and related equipment (\$77,792) and the purchase of a 2014 F-550 Chassis (\$42,276). In the Technology Replacement Fund \$45,076 has been charged, the majority being a new phone system \$34,477 and the remaining for a Police Department server and 6 replacement computers split between Public Works and Police.

Agency Funds

There are no budgeted expenses for Agency Funds.

INVESTMENT ACTIVITY

The Village's cash and investment holdings totaled \$17.6 million at the end of the month, with cash & investments changes since May 1st by fund listed below.

Change in Cash and Investments Balances

Funds	Fund #	May 1st Cash & Investments	Month End Cash & Investments	Actual Change in Cash & Investments	Projected Change in Cash & Investments
General Fund	01	\$6,390,435	\$5,984,061	(\$406,374)	(\$449,000)
Special Revenue					
Motor Fuel Tax	10	\$926,529	\$1,519,806	\$593,277	(\$361,627)
SSA #1 Bright Meadows	16	\$107,631	\$118,052	\$10,422	\$1,184
Debt Service Funds					
2005 Bonds Debt Service	24	\$23,196	\$70,536	\$47,340	(\$38,448)
2010 Bonds Debt Service	26	\$5,301	\$46,019	\$40,717	(\$57,749)
2011 Bonds Debt Service	28	\$45,925	\$79,094	\$33,170	(\$53,577)
Capital Project Funds					
Capital Projects	35	\$940,298	\$1,097,206	\$156,908	(\$127,804)
Enterprise Funds					
Water & Sewer Fund	50	\$7,448,787	\$7,224,133	(\$224,653)	(\$1,868,112)
Commuter Parking	51	\$296,090	\$338,214	\$42,124	\$42,845
Internal Service Funds					
Vehicle Replacement	60	\$94,200	\$179,651	\$85,451	\$31,280
Technology Replacement	61	\$59,770	\$81,356	\$21,586	\$20,130
Building Replacement	62	\$56,839	\$127,891	\$71,052	\$71,160
Agency Funds					
Working Cash	81	\$665,277	\$673,555	\$8,278	\$7,765
Builders Escrow	83	\$57,586	\$56,631	(\$954)	\$90

The Village's cash and investment position of \$17.6 million at the end of the month does not include the Police Pension Fund investments, which are subject to the control and oversight by a separate board and the Lakewood Grove Special Service Area (SSA) Funds cash accounts as the village only acts as an agent for the property owners in the SSA's. At the end of the month the Village's portfolio by institution was as follows:

Portfolio Diversification

Institution	Type	Amount Held	% of Portfolio
Petty & Register Cash	Cash	\$1,200	0.01%
Illinois Funds	Money Market	\$3,392,952	19.28%
PNC	Investments & MM	\$5,251,293	29.84%
Chase	Money Market	\$2,744,224	15.60%
First American	Money Market	\$1,506,063	8.56%
NorStates	Savings, NOW, MM	\$4,700,474	26.71%
Total Portfolio		\$17,596,206	

Per the investment policy no financial institution shall hold more than 50% of the Village's portfolio. Illinois Funds shall not exceed 40% of portfolio.

At the end of the month \$13.3 million was held in short term money market accounts and \$4.3 million held in fixed income securities. The table that follows lists the cash and cash equivalent balances and investments per fund.

Summary of Cash and Investments by Fund

Funds	Fund #	Cash & Cash Equivalents	Investments	Total Cash and Investments	Percent of Overall Portfolio
General Fund	01	\$5,103,352	\$880,709	\$5,984,061	34.01%
Special Revenue					
Motor Fuel Tax	10	\$1,519,806	\$0	\$1,519,806	8.64%
SSA #1 Bright Meadows	16	\$118,052	\$0	\$118,052	0.67%
Debt Service Funds					
2005 Bonds Debt Service	24	\$70,536	\$0	\$70,536	0.40%
2010 Bonds Debt Service	26	\$44,800	\$1,218	\$46,019	0.26%
2011 Bonds Debt Service	28	\$79,094	\$0	\$79,094	0.45%
Capital Project Funds					
Capital Projects	35	\$997,947	\$99,259	\$1,097,206	6.24%
Enterprise Funds					
Water & Sewer Fund	50	\$3,927,204	\$3,296,929	\$7,224,133	41.06%
Commuter Parking	51	\$338,214	\$0	\$338,214	1.92%
Internal Service Funds					
Vehicle Replacement	60	\$179,651	\$0	\$179,651	1.02%
Technology Replacement	61	\$81,356	\$0	\$81,356	0.46%
Building Replacement	62	\$127,891	\$0	\$127,891	0.73%
Agency Funds					
Working Cash	81	\$673,555	\$0	\$673,555	3.83%
Builders Escrow	83	\$56,631	\$0	\$56,631	0.32%

The following is the fixed income investment split by fund:

Fund Description	Treasury Bonds/Notes	Agency Bonds	Money Market	Total
General	\$238,672.95	\$635,757.25	\$6,278.86	\$880,709.06
2010 Debt Service	\$330.21	\$879.58	\$8.69	\$1,218.47
Capital Projects	\$26,899.30	\$71,652.13	\$707.65	\$99,259.08
Water & Sewer	\$893,470.79	\$2,379,953.54	\$23,504.89	\$3,296,929.23
Total	\$1,159,373.25	\$3,088,242.50	\$30,500.09	\$4,278,115.84

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The detail investment holdings at month end are on the table that follows.

Type	Settlement Date	Maturity Date	Month End Market Value	Unrealized Gain/(Loss)	Interest Received	Rate	Estimated Annual Income	Accrued Income
MM Account								
-	6/29/2012	-	\$30,500.09	\$0.00	\$0.27	0.01%	\$1.90	\$0.14
T-Bonds/Notes								
US Treasury Note	6/26/2012	4/30/2014	\$200,570.00	(\$5,110.36)	\$0.00	1.875%	\$3,750.00	\$1,253.45
US Treasury Note	10/17/2013	9/30/2016	\$212,516.00	(\$1,000.29)	\$0.00	3.000%	\$6,000.00	\$2,505.49
US Treasury Note	12/31/806	12/31/2016	\$214,626.00	\$101.89	\$0.00	3.125%	\$6,500.00	\$1,077.35
US Treasury Note	6/26/2012	6/15/2014	\$200,382.00	(\$1,290.54)	\$0.00	0.625%	\$1,500.00	\$313.19
US Treasury Note	8/2/2013	7/31/2016	\$230,537.25	(\$246.71)	\$0.00	1.500%	\$3,375.00	\$270.37
US Treasury Note	12/18/2013	11/30/2016	\$100,742.00	\$140.10	\$0.00	0.875%	\$875.00	\$365.38
Total Treasurer Bonds/Notes			\$1,159,373.25	(\$7,405.91)	\$0.00		\$22,000.00	\$5,785.23
Agency Bonds								
FHL Bank Bonds	4/1/2013	3/13/2015	\$256,575.00	(\$5,370.00)	\$0.00	2.750%	\$6,875.00	\$3,208.33
FHL Bank Bonds	6/26/2012	6/18/2014	\$507,505.00	(\$40,535.00)	\$0.00	5.250%	\$26,250.00	\$5,322.92
FFC Bank Bonds	8/16/2013	8/25/2016	\$499,603.50	(\$8,739.00)	\$11,531.25	5.125%	\$23,062.50	\$384.37
FHL Bank Notes	6/26/2012	5/28/2014	\$325,978.25	(\$5,281.25)	\$0.00	1.375%	\$4,468.75	\$1,154.43
FHL Mtg Corp. Notes	6/26/2012	4/28/2014	\$400,168.00	\$320.00	\$0.00	0.375%	\$1,500.00	\$512.50
FNMA	11/18/2013	11/15/2016	\$305,964.00	(\$747.00)	\$0.00	1.375%	\$4,125.00	\$1,214.58
FHL Mtg Corp. Notes	12/18/2013	12/15/2016	\$139,313.75	(\$1,070.75)	\$0.00	4.875%	\$6,093.75	\$1,286.46
FHL Mtg Corp. Notes	6/26/2012	4/23/2014	\$301,008.00	(\$10,833.00)	\$0.00	2.500%	\$7,500.00	\$2,666.67
FHL Mtg Corp. Notes	4/17/2013	4/17/2015	\$100,332.00	(\$129.00)	\$0.00	0.500%	\$500.00	\$186.11
FHL Mtg Corp. Notes	10/9/2013	10/14/2016	\$251,795.00	\$1,141.00	\$0.00	0.875%	\$2,187.50	\$832.47
Total Agency Bonds			\$3,088,242.50	(\$71,244.00)	\$11,531.25		\$82,562.50	\$16,768.84
Total Investments			\$4,278,115.84	(\$78,649.91)	\$11,531.25		\$104,562.50	\$22,554.07

Respectfully submitted,

Steven J. Shields

Steven J. Shields
Finance Director/Treasurer

VILLAGE OF ROUND LAKE
 DETAILED REVENUE & EXPENSE REPORT
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE
 FOR 10 PERIODS ENDING FEBRUARY 28, 2014

FUND: GENERAL FUND

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
REVENUES							
TAXES							
01-05-50-55001	REAL ESTATE TAXES	291.00	0.00	100.0	2,949,313.00	2,974,188.86	0.8
TOTAL TAXES		291.00	0.00	100.0	2,949,313.00	2,974,188.86	0.8
INTERGOVERNMENTAL							
01-05-52-55201	ROAD & BRIDGE TAX	8.00	0.00	100.0	53,500.00	56,874.23	6.3
01-05-52-55203	STATE USE TAX	23,312.00	26,178.89	12.3	296,282.00	249,180.57	(15.9)
01-05-52-55205	SALES TAX	36,250.00	31,219.89	(13.8)	448,000.00	389,639.88	(13.0)
01-05-52-55207	STATE INCOME TAX	151,185.00	0.00	100.0	1,744,770.00	1,422,091.34	(18.4)
01-05-52-55209	REPLACEMENT TAX	0.00	0.00	0.0	17,578.00	17,207.30	(2.1)
01-05-52-55211	VIDEO GAMING TAX	750.00	2,450.13	226.6	9,000.00	21,258.87	136.2
TOTAL INTERGOVERNMENTAL		211,505.00	59,848.91	(71.7)	2,569,130.00	2,156,252.19	(16.0)
LICENSES & PERMITS							
01-05-54-55401	BUSINESS LICENSES	710.00	50.00	(92.9)	8,525.00	1,895.00	(77.7)
01-05-54-55403	VENDOR LICENSES	0.00	0.00	0.0	1,443.00	285.00	(80.2)
01-05-54-55405	LIQUOR LICENSES	0.00	50.00	100.0	22,800.00	2,550.00	(88.8)
01-05-54-55407	GARAGE SALE LICENSE	0.00	0.00	0.0	700.00	404.00	(42.2)
01-05-54-55409	BUILDING PERMITS	2,095.00	1,830.00	(12.6)	41,250.00	112,461.50	172.6
01-05-54-55411	INSPECTION FEES	125.00	230.00	84.0	1,500.00	1,190.00	(20.6)
TOTAL LICENSES & PERMITS		2,930.00	2,160.00	(26.2)	76,218.00	118,785.50	55.8
CHARGES FOR SERVICES							
01-05-56-55605	LAB/EQUIP REIMB-MFT	2,083.00	2,083.33	0.0	25,000.00	20,833.30	(16.6)
01-05-56-55611	SALE OF PUBLICATIONS	8.00	0.00	100.0	100.00	(8.36)	(108.3)
01-05-56-55613	GARBAGE FEES	45,081.00	44,987.70	(0.2)	547,000.00	458,224.30	(16.2)
01-05-56-55615	ZONING HEARING FEES	125.00	500.00	300.0	1,500.00	2,125.00	41.6
01-05-56-55617	PUD FILING FEES	4.00	0.00	100.0	50.00	5.88	(88.2)
01-05-56-55619	OFF / ACCIDENT RECEIPTS	150.00	395.00	163.3	1,800.00	1,603.33	(10.9)
01-05-56-55623	LEIN REVENUE	17.00	0.00	100.0	200.00	0.00	100.0
TOTAL CHARGES FOR SERVICES		47,468.00	47,966.03	1.0	575,650.00	482,783.45	(16.1)
FINES & FORFEITS							
01-05-60-56001	FINES	2,833.00	1,340.01	(52.7)	34,000.00	26,780.09	(21.2)
01-05-60-56003	CIRCUIT COURT FINES	9,725.00	5,925.70	(39.0)	118,000.00	125,429.72	6.3
01-05-60-56005	SENATE 740 REVENUES	500.00	850.00	70.0	19,200.00	9,926.38	(48.3)
01-05-60-56007	SEIZURE: COMPUTER CRIME	333.00	0.00	100.0	4,000.00	0.00	100.0
01-05-60-56009	FEDERAL SEIZURES	1,233.00	0.00	100.0	14,800.00	0.00	100.0
01-05-60-56010	STATE SEIZURES	0.00	0.00	0.0	0.00	0.00	0.0

VILLAGE OF ROUND LAKE
 DETAILED REVENUE & EXPENSE REPORT
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FUND: GENERAL FUND

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
REVENUES							
FINES & FORFEITS							
01-05-60-56015	FALSE ALARM FEES	0.00	300.00	100.0	0.00	300.00	100.0
TOTAL FINES & FORFEITS		14,624.00	8,415.71	(42.4)	190,000.00	162,436.19	(14.5)
GRANTS							
01-05-62-56200	GRANT INCOME	3,192.00	0.00	100.0	38,300.00	16,919.50	(55.8)
TOTAL GRANTS		3,192.00	0.00	100.0	38,300.00	16,919.50	(55.8)
INVESTMENT INCOME							
01-05-64-56401	INTEREST INCOME	1,250.00	2,612.31	108.9	15,000.00	17,189.96	14.6
TOTAL INVESTMENT INCOME		1,250.00	2,612.31	108.9	15,000.00	17,189.96	14.6
REIMBURSEMENTS							
01-05-65-56508	INSURANCE REIMB.	0.00	0.00	0.0	0.00	21,408.27	100.0
01-05-65-56520	SRO REIMBURSEMENT	4,700.00	0.00	100.0	47,000.00	19,708.08	(58.0)
TOTAL REIMBURSEMENTS		4,700.00	0.00	100.0	47,000.00	41,116.35	(12.5)
MISCELLANEOUS REVENUE							
01-05-66-56601	MISCELLANEOUS RECEIPTS	850.00	5,391.20	534.2	10,200.00	15,442.01	51.3
01-05-66-56607	COMCAST CABLE FRANCHISE	41,875.00	41,773.33	(0.2)	167,500.00	167,387.49	0.0
01-05-66-56608	AT&T VIDEO FRANCHISE	12,125.00	15,248.20	25.7	48,500.00	53,906.05	11.1
01-05-66-56609	AT&T FRANCHISE	525.00	434.34	(30.5)	7,500.00	4,854.12	(35.2)
01-05-66-56610	AT&T PEG FEES	2,375.00	3,049.64	28.4	9,500.00	10,781.21	13.4
01-05-66-56611	RECYCLING REBATE SWAL	5,000.00	0.00	100.0	20,000.00	11,843.91	(40.7)
01-05-66-56617	RENT PAYMENT	2,100.00	0.00	100.0	25,200.00	10,500.00	(58.3)
01-05-66-56619	AUCTION PROCEEDS	0.00	0.00	0.0	0.00	19,758.00	100.0
TOTAL MISCELLANEOUS REVENUE		64,950.00	65,896.71	1.4	288,400.00	294,472.79	2.1
TOTAL REVENUES: REVENUES		350,910.00	186,899.67	(46.7)	6,749,011.00	6,264,144.79	(7.1)
ADMINISTRATION EXPENSES							
PAYROLL EXPENSES							
01-20-70-67001	REGULAR SALARIES	22,385.00	15,127.80	32.4	291,000.00	236,823.17	18.6
01-20-70-67006	ELECTED OFFICIALS SALARIES	3,800.00	3,500.00	7.8	45,600.00	35,594.51	21.9
01-20-70-67011	COMMITTEE MEMBER SALARIES	510.00	200.00	60.7	6,120.00	(2,135.00)	134.8
01-20-70-67021	PART-TIME SALARIES	689.00	0.00	100.0	8,954.00	0.00	100.0
01-20-70-67031	OVERTIME	10.00	109.54	(995.4)	125.00	173.44	(38.7)

VILLAGE OF ROUND LAKE
 DETAILED REVENUE & EXPENSE REPORT
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FUND: GENERAL FUND

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
ADMINISTRATION							
EXPENSES							
PAYROLL EXPENSES							
01-20-70-67036	TRANSPORTATION ALLOWANCE	229.00	0.00	100.0	2,750.00	1,122.85	59.1
TOTAL PAYROLL EXPENSES		27,623.00	18,937.34	31.4	354,549.00	271,578.97	23.4
TAXES, PENSIONS, & INSURANCE							
01-20-71-67101	IMRF	2,700.00	1,663.92	38.3	35,100.00	27,871.90	20.5
01-20-71-67107	DENTAL INSURANCE	217.00	373.44	(72.0)	2,600.00	1,661.92	36.0
01-20-71-67108	VISION INSURANCE	25.00	16.75	33.0	300.00	189.87	36.7
01-20-71-67109	LIFE INSURANCE	19.00	24.34	(28.1)	225.00	128.57	42.8
01-20-71-67110	HEALTH INSURANCE	2,542.00	(18.87)	100.7	30,500.00	18,215.55	40.2
01-20-71-67111	SOCIAL SECURITY	1,692.00	1,150.11	32.0	22,000.00	16,105.43	26.7
01-20-71-67112	MEDICARE	396.00	269.00	32.0	5,150.00	3,878.02	24.7
01-20-71-67116	UNEMPLOYMENT INSURANCE	0.00	4,130.00	100.0	0.00	6,195.00	100.0
TOTAL TAXES, PENSIONS, & INSURANCE		7,591.00	7,608.69	(0.2)	95,875.00	74,246.26	22.5
PERSONNEL RELATED							
01-20-72-67204	DUES & MEMBERSHIPS	86.00	0.00	100.0	7,085.00	5,477.29	22.6
01-20-72-67208	MEETINGS, TRAVEL, & TRAINING	1,025.00	94.74	90.7	12,296.00	6,673.84	45.7
01-20-72-67234	HIRING PROCESS	25.00	0.00	100.0	300.00	0.00	100.0
TOTAL PERSONNEL RELATED		1,136.00	94.74	91.6	19,681.00	12,151.13	38.2
PROFESSIONAL SERVICES							
01-20-73-77301	AUDITING EXPENSE	0.00	0.00	0.0	20,625.00	17,684.00	14.2
01-20-73-77307	ENGINEERING EXPENSES	1,026.00	0.00	100.0	12,317.00	12,117.72	1.6
01-20-73-77309	VILLAGE PLANNER	325.00	0.00	100.0	3,905.00	0.00	100.0
01-20-73-77313	LEGAL SERVICES	4,896.00	2,272.58	53.5	58,750.00	103,907.67	(76.8)
01-20-73-77314	ORDINANCE REVIEW - LEGAL	220.00	0.00	100.0	2,639.00	1,261.00	52.2
01-20-73-77315	ECONOMIC DEVELOPMENT MARKETING	72.00	0.00	100.0	862.00	0.00	100.0
01-20-73-77319	CONSULTANT STUDIES	833.00	0.00	100.0	10,000.00	0.00	100.0
01-20-73-77320	CONSULTING SERVICES	0.00	5,525.00	100.0	0.00	55,054.50	100.0
TOTAL PROFESSIONAL SERVICES		7,372.00	7,797.58	(5.7)	109,098.00	190,024.89	(74.1)
COMMODITIES							
01-20-74-77430	OFFICE SUPPLIES	474.00	470.87	0.6	5,684.00	5,143.20	9.5
01-20-74-77432	POSTAGE EXPENSE	211.00	365.13	(73.0)	2,530.00	1,792.21	29.1
01-20-74-77440	PRINTING	66.00	0.00	100.0	794.00	1,006.55	(26.7)
TOTAL COMMODITIES		751.00	836.00	(11.3)	9,008.00	7,941.96	11.8

VILLAGE OF ROUND LAKE
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ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
ADMINISTRATION							
EXPENSES							
CONTRACTUAL SERVICES							
01-20-75-77511	PUBLICATIONS & SUBSCRIPTIONS	0.00	0.00	0.0	0.00	449.80	100.0
01-20-75-77512	NOTIFICATION SYSTEM	0.00	0.00	0.0	9,000.00	9,000.00	0.0
01-20-75-77515	GARBAGE COLLECTION	74,606.00	75,804.24	(1.6)	895,277.00	744,194.36	16.8
01-20-75-77519	INSURANCE PREMIUM	0.00	0.00	0.0	119,631.00	133,481.57	(11.5)
01-20-75-77537	LEGAL NOTICES/RECORDING FEES	252.00	0.00	100.0	3,021.00	1,046.40	65.3
01-20-75-77541	SWALCO	647.00	7,757.50	(1099.0)	7,758.00	15,452.94	(99.1)
TOTAL CONTRACTUAL SERVICES		75,505.00	83,561.74	(10.6)	1,034,687.00	903,625.07	12.6
MISCELLANEOUS EXPENSE							
01-20-77-77704	SPECIAL EVENTS	200.00	330.00	(65.0)	5,150.00	6,861.58	(33.2)
01-20-77-77706	MISCELLANEOUS EXPENSE	387.00	0.00	100.0	6,169.00	5,044.38	18.2
01-20-77-77716	FIRE & POLICE COMMISSION	744.00	0.00	100.0	8,925.00	2,544.30	71.4
TOTAL MISCELLANEOUS EXPENSE		1,331.00	330.00	75.2	20,244.00	14,450.26	28.6
BUILDING & GROUNDS							
01-20-79-77901	B&G MAINTENANCE	100.00	0.00	100.0	1,200.00	1,448.00	(20.6)
01-20-79-77903	B&G CONTRACTS	1,146.00	535.00	53.3	13,751.00	9,118.95	33.6
01-20-79-77905	B&G REPAIRS	250.00	2,575.00	(930.0)	3,000.00	5,138.08	(71.2)
TOTAL BUILDING & GROUNDS		1,496.00	3,110.00	(107.8)	17,951.00	15,705.03	12.5
CAPITAL OUTLAY							
01-20-80-88018	OFFICE EQUIPMENT	442.00	721.13	(63.1)	5,303.00	5,065.01	4.4
TOTAL CAPITAL OUTLAY		442.00	721.13	(63.1)	5,303.00	5,065.01	4.4
UTILITIES							
01-20-82-88202	TELEPHONE SERVICE	509.00	1,561.12	(206.7)	6,104.00	9,346.92	(53.1)
01-20-82-88204	CELLULAR SERVICE	274.00	137.19	49.9	3,288.00	1,494.08	54.5
01-20-82-88208	HEATING	0.00	0.00	0.0	500.00	0.00	100.0
TOTAL UTILITIES		783.00	1,698.31	(116.9)	9,892.00	10,841.00	(9.5)
LAND/LAND IMPROVEMENTS							
01-20-86-88602	LAND PURCHASE	0.00	0.00	0.0	0.00	0.00	0.0
TOTAL LAND/LAND IMPROVEMENTS		0.00	0.00	0.0	0.00	0.00	0.0
TECHNOLOGY							
01-20-91-99105	NETWORK REPAIRS	84.00	229.00	(172.6)	1,008.00	2,001.73	(98.5)

VILLAGE OF ROUND LAKE
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ADMINISTRATION							
EXPENSES							
TECHNOLOGY							
01-20-91-99107	IT MAINTENANCE SERVICES	2,270.00	1,098.23	51.6	42,440.00	34,313.91	19.1
01-20-91-99117	IT EQUIPMENT	5,832.00	239.88	95.8	69,988.00	10,099.74	85.5
01-20-91-99119	GIS SUPPORT	1,500.00	0.00	100.0	18,000.00	0.00	100.0
TOTAL TECHNOLOGY							
TOTAL EXPENSES: ADMINISTRATION		9,686.00	1,567.11	83.8	131,436.00	46,415.38	64.6
		133,716.00	126,262.64	5.5	1,807,724.00	1,552,044.96	14.1
POLICE DEPARTMENT							
EXPENSES							
PAYROLL EXPENSES							
01-40-70-67001	REGULAR SALARIES	141,489.00	138,654.85	2.0	1,839,361.00	1,538,805.50	16.3
01-40-70-67021	PART-TIME SALARIES	4,230.00	3,565.26	15.7	54,996.00	24,246.53	55.9
01-40-70-67031	OVERTIME	8,000.00	5,950.91	25.6	104,000.00	79,316.53	23.7
TOTAL PAYROLL EXPENSES							
		153,719.00	148,171.02	3.6	1,998,357.00	1,642,368.56	17.8
TAXES, PENSIONS, & INSURANCE							
01-40-71-67101	IMRF	1,692.00	1,549.40	8.4	22,000.00	18,079.74	17.8
01-40-71-67107	DENTAL INSURANCE	1,375.00	2,478.20	(80.2)	16,500.00	11,802.84	28.4
01-40-71-67108	VISION INSURANCE	142.00	142.88	(0.6)	1,700.00	1,337.60	21.3
01-40-71-67109	LIFE INSURANCE	113.00	490.11	(333.7)	1,350.00	1,509.53	(11.8)
01-40-71-67110	HEALTH INSURANCE	15,625.00	(2,301.21)	114.7	187,500.00	140,488.13	25.0
01-40-71-67111	SOCIAL SECURITY	9,538.00	8,929.55	6.3	124,000.00	99,290.65	19.9
01-40-71-67112	MEDICARE	2,231.00	2,088.33	6.3	29,000.00	23,221.07	19.9
TOTAL TAXES, PENSIONS, & INSURANCE							
		30,716.00	13,377.26	56.4	382,050.00	295,729.56	22.5
PERSONNEL RELATED							
01-40-72-67202	UNIFORMS	2,075.00	2,686.40	(29.4)	24,900.00	14,250.79	42.7
01-40-72-67204	DUES & MEMBERSHIPS	95.00	500.00	(426.3)	2,145.00	2,840.00	(32.4)
01-40-72-67206	MEDICAL/PSYCHOLOGICAL	75.00	0.00	100.0	900.00	104.50	88.3
01-40-72-67208	MEETINGS, TRAVEL, & TRAINING	412.00	95.00	76.9	7,370.00	6,475.90	12.1
01-40-72-67234	HIRING PROCESS	346.00	81.85	76.3	4,150.00	4,189.35	(0.9)
TOTAL PERSONNEL RELATED							
		3,003.00	3,363.25	(12.0)	39,465.00	27,860.54	29.4
PROFESSIONAL SERVICES							
01-40-73-77311	VILLAGE PROSECUTOR	2,800.00	5,292.00	(89.0)	33,600.00	32,124.83	4.3
01-40-73-77313	LEGAL SERVICES	2,500.00	0.00	100.0	30,000.00	2,800.00	90.6
TOTAL PROFESSIONAL SERVICES							
		5,300.00	5,292.00	0.1	63,600.00	34,924.83	45.0

VILLAGE OF ROUND LAKE
 DETAILED REVENUE & EXPENSE REPORT
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE
 FOR 10 PERIODS ENDING FEBRUARY 28, 2014

FUND: GENERAL FUND

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARI- ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI- ANCE
POLICE DEPARTMENT							
EXPENSES							
COMMODITIES							
01-40-74-77402	AMMO / GUNS	533.00	0.00	100.0	6,400.00	6,137.78	4.1
01-40-74-77430	OFFICE SUPPLIES	500.00	309.85	38.0	6,000.00	3,688.09	38.5
01-40-74-77432	POSTAGE	246.00	336.97	(36.9)	2,946.00	2,049.39	30.4
01-40-74-77434	OPERATING SUPPLIES	208.00	0.00	100.0	2,500.00	235.75	90.5
01-40-74-77440	PRINTING	292.00	0.00	100.0	3,500.00	1,464.96	58.1
TOTAL COMMODITIES		1,779.00	646.82	63.6	21,346.00	13,575.97	36.4
CONTRACTUAL SERVICES							
01-40-75-77501	ALERTS / MDT LINES	608.00	609.79	(0.2)	7,301.00	6,087.92	16.6
01-40-75-77503	ANIMAL CONTROL	117.00	180.00	(53.8)	1,400.00	755.00	46.0
01-40-75-77505	CENCOM	20,488.00	20,003.77	2.3	245,850.00	200,602.59	18.4
01-40-75-77511	PUBLICATIONS & SUBSCRIPTIONS	506.00	0.00	100.0	6,074.00	4,992.80	17.8
01-40-75-77525	LAKE COUNTY MEG MEMBERSHIP	0.00	0.00	0.0	13,200.00	12,600.00	4.5
01-40-75-77531	NIPAS EMERGENCY SERV.	3,300.00	400.00	87.8	6,563.00	2,040.80	68.9
TOTAL CONTRACTUAL SERVICES		25,019.00	21,193.56	15.2	280,388.00	227,079.11	19.0
MISCELLANEOUS EXPENSE							
01-40-77-77706	MISCELLANEOUS EXPENSE	260.00	0.00	100.0	3,120.00	2,071.48	33.6
01-40-77-77710	DARE FUND EXPENSES	92.00	0.00	100.0	1,100.00	779.19	29.1
01-40-77-77711	STATE SEIZURE EXPENSES	0.00	1,029.68	100.0	0.00	1,029.68	100.0
01-40-77-77712	SENATE 740 EXPENSES	1,288.00	0.00	100.0	15,450.00	12,436.40	19.5
01-40-77-77714	FEDERAL SEIZURE EXPENSES	42.00	0.00	100.0	500.00	0.00	100.0
01-40-77-77715	COMPUTER CRIME EXPENSES	333.00	0.00	100.0	4,000.00	0.00	100.0
01-40-77-77718	CANINE EXPENSE	0.00	0.00	0.0	0.00	0.00	0.0
01-40-77-77720	COMMUNITY EDUCATION	83.00	0.00	100.0	1,000.00	0.00	100.0
01-40-77-77722	BICYCLE PATROL EXPENSES	21.00	0.00	100.0	250.00	95.86	61.6
TOTAL MISCELLANEOUS EXPENSE		2,119.00	1,029.68	51.4	25,420.00	16,412.61	35.4
BUILDING & GROUNDS							
01-40-79-77901	B&G MAINTENANCE	100.00	0.00	100.0	1,200.00	198.98	83.4
01-40-79-77903	B&G CONTRACTS	614.00	490.00	20.2	7,364.00	5,263.35	28.5
01-40-79-77905	B&G REPAIRS	417.00	0.00	100.0	5,000.00	1,946.89	61.0
01-40-79-77907	B&G SUPPLIES	168.00	315.06	(87.5)	2,010.00	1,920.16	4.4
TOTAL BUILDING & GROUNDS		1,299.00	805.06	38.0	15,574.00	9,329.38	40.1
CAPITAL OUTLAY							
01-40-80-88018	OFFICE EQUIPMENT	828.00	1,623.14	(96.0)	9,937.00	6,311.72	36.4
01-40-80-88024	VEHICLE EQUIPMENT	417.00	0.00	100.0	5,000.00	1,518.87	69.6

VILLAGE OF ROUND LAKE
 DETAILED REVENUE & EXPENSE REPORT
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE
 FOR 10 PERIODS ENDING FEBRUARY 28, 2014

FUND: GENERAL FUND

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
TOTAL CAPITAL OUTLAY							
		1,245.00	1,623.14	(30.3)	14,937.00	7,830.59	47.5
UTILITIES							
01-40-82-88202	TELEPHONE SERVICE	339.00	218.04	35.6	4,065.00	4,172.06	(2.6)
01-40-82-88204	CELLULAR SERVICE	458.00	476.37	(4.0)	5,500.00	3,803.56	30.8
01-40-82-88208	HEATING	0.00	0.00	0.0	500.00	0.00	100.0
TOTAL UTILITIES							
		797.00	694.41	12.8	10,065.00	7,975.62	20.7
VEHICLE & EQUIPMENT							
01-40-84-88402	GAS & OIL	7,167.00	5,635.05	21.3	86,000.00	56,485.52	34.3
01-40-84-88404	VEHICLE REPAIRS	3,750.00	494.37	86.8	45,000.00	12,843.25	71.4
01-40-84-88406	VEHICLE MAINTENANCE	456.00	1,504.64	(229.9)	5,470.00	5,552.43	(1.5)
TOTAL VEHICLE & EQUIPMENT							
		11,373.00	7,634.06	32.8	136,470.00	74,881.20	45.1
TECHNOLOGY							
01-40-91-99105	NETWORK REPAIRS	375.00	257.64	31.3	4,500.00	1,328.40	70.4
01-40-91-99107	IT MAINTENANCE SERVICES	273.00	241.56	11.5	3,275.00	2,285.93	30.2
TOTAL TECHNOLOGY							
		648.00	499.20	22.9	7,775.00	3,614.33	53.5
TOTAL EXPENSES: POLICE DEPARTMENT							
		237,017.00	204,329.46	13.7	2,995,447.00	2,361,582.30	21.1
PUBLIC WORKS EXPENSES							
PAYROLL EXPENSES							
01-60-70-67001	REGULAR SALARIES	19,385.00	19,981.39	(3.0)	252,000.00	216,559.51	14.0
01-60-70-67021	PART-TIME SALARIES	1,020.00	1,490.81	(46.1)	13,264.00	10,223.05	22.9
01-60-70-67026	SEASONAL	787.00	0.00	100.0	10,228.00	0.00	100.0
01-60-70-67031	OVERTIME	5,000.00	3,359.87	32.8	20,000.00	20,252.22	(1.2)
TOTAL PAYROLL EXPENSES							
		26,192.00	24,832.07	5.1	295,492.00	247,034.78	16.4
TAXES, PENSIONS, & INSURANCE							
01-60-71-67101	IMRF	2,519.00	2,647.58	(5.1)	32,750.00	28,007.85	14.4
01-60-71-67107	DENTAL INSURANCE	263.00	473.42	(80.0)	3,150.00	2,278.55	27.6
01-60-71-67108	VISION INSURANCE	21.00	29.16	(38.8)	250.00	291.60	(16.6)
01-60-71-67109	LIFE INSURANCE	21.00	58.72	(179.6)	250.00	221.30	11.4
01-60-71-67110	HEALTH INSURANCE	2,917.00	(474.86)	116.2	35,000.00	30,721.14	12.2
01-60-71-67111	SOCIAL SECURITY	1,423.00	1,473.99	(3.5)	18,500.00	14,654.12	20.7
01-60-71-67112	MEDICARE	331.00	344.78	(4.1)	4,300.00	3,427.39	20.2
01-60-71-67116	UNEMPLOYMENT INSURANCE	0.00	0.00	0.0	0.00	0.00	0.0
TOTAL TAXES, PENSIONS, & INSURANCE							
		7,495.00	4,552.79	39.2	94,200.00	79,601.95	15.5

VILLAGE OF ROUND LAKE
 DETAILED REVENUE & EXPENSE REPORT
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE
 FOR 10 PERIODS ENDING FEBRUARY 28, 2014

FUND: GENERAL FUND

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARI- ANCE	FISCAL YEAR BUDGET	FISCAL YEAR ACTUAL	% VARI- ANCE
PUBLIC WORKS							
EXPENSES							
PERSONNEL RELATED							
01-60-72-67202	UNIFORMS	176.00	590.54	(235.5)	2,116.00	3,108.68	(46.9)
01-60-72-67204	DUES & MEMBERSHIPS	19.00	127.50	(571.0)	228.00	483.50	(112.0)
01-60-72-67206	MEDICAL/PSYCHOLOGICAL	55.00	0.00	100.0	655.00	436.00	33.4
01-60-72-67208	MEETING, TRAVEL, & TRAINING	103.00	20.00	80.5	1,232.00	1,063.00	13.7
01-60-72-67234	HIRING PROCESS	50.00	0.00	100.0	600.00	57.00	90.5
TOTAL PERSONNEL RELATED							
		403.00	738.04	(83.1)	4,831.00	5,148.18	(6.5)
PROFESSIONAL SERVICES							
01-60-73-77307	ENGINEERING EXPENSES	809.00	548.55	32.1	9,710.00	6,689.56	31.1
01-60-73-77313	LEGAL SERVICES	417.00	0.00	100.0	5,000.00	4,736.53	5.2
TOTAL PROFESSIONAL SERVICES							
		1,226.00	548.55	55.2	14,710.00	11,426.09	22.3
COMMODITIES							
01-60-74-77418	ICE CONTROL	17,467.00	33,342.18	(90.8)	104,800.00	120,484.96	(14.9)
01-60-74-77430	OFFICE SUPPLIES	128.00	245.27	(91.6)	1,534.00	1,218.15	20.5
01-60-74-77432	POSTAGE EXPENSE	7.00	7.89	(12.7)	78.00	19.16	75.4
01-60-74-77452	STREET SIGNS	788.00	0.00	100.0	9,450.00	1,329.76	85.9
01-60-74-77458	VILLAGE SIGNS/BANNERS/FLAGS	149.00	0.00	100.0	1,789.00	436.00	75.6
TOTAL COMMODITIES							
		18,539.00	33,595.34	(81.2)	117,651.00	123,488.03	(4.9)
CONTRACTUAL SERVICES							
01-60-75-77511	PUBLICATIONS & SUBSCRIPTIONS	12.50	0.00	100.0	150.00	0.00	100.0
01-60-75-77527	LAKES MANAGEMENT	0.00	0.00	0.0	500.00	500.00	0.0
01-60-75-77539	STREET SWEEPING	0.00	0.00	0.0	23,200.00	4,230.00	81.7
01-60-75-77543	TRAFFIC SIGNAL MAINT. CONTRACT	880.00	406.60	53.8	14,124.00	7,596.50	46.2
TOTAL CONTRACTUAL SERVICES							
		892.50	406.60	54.4	37,974.00	12,326.50	67.5
BUILDING & GROUNDS							
01-60-79-77901	B&G MAINTENANCE	1,042.00	0.00	100.0	12,500.00	8,101.46	35.1
01-60-79-77903	B&G CONTRACTS	364.00	135.00	62.9	4,370.00	2,476.18	43.3
01-60-79-77905	B&G REPAIRS	1,125.00	282.66	74.8	13,501.00	2,790.83	79.3
01-60-79-77907	B & G BUILDING SUPPLIES	1,035.00	614.77	40.6	12,425.00	7,041.95	43.3
01-60-79-77911	LANDSCAPING	0.00	10,609.34	100.0	29,000.00	27,426.34	5.4
TOTAL BUILDING & GROUNDS							
		3,566.00	11,641.77	(226.4)	71,796.00	47,836.76	33.3
CAPITAL OUTLAY							
01-60-80-88001	EQUIPMENT	1,542.00	3,340.75	(116.6)	18,500.00	6,394.87	65.4

VILLAGE OF ROUND LAKE
 DETAILED REVENUE & EXPENSE REPORT
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE
 FOR 10 PERIODS ENDING FEBRUARY 28, 2014

FUND: GENERAL FUND

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
PUBLIC WORKS							
EXPENSES							
CAPITAL OUTLAY							
01-60-80-88002	SAFETY EQUIPMENT	43.00	36.12	16.0	516.00	36.12	93.0
01-60-80-88004	VEHICLES	4,271.00	0.00	100.0	51,250.00	32,400.00	36.7
01-60-80-88018	OFFICE EQUIPMENT	24.00	0.00	100.0	289.00	906.05	(213.5)
01-60-80-88024	VEHICLE EQUIPMENT	2,333.00	0.00	100.0	28,000.00	4,073.57	85.4
TOTAL CAPITAL OUTLAY		8,213.00	3,376.87	58.8	98,555.00	43,810.61	55.5
UTILITIES							
01-60-82-88202	TELEPHONE SERVICE	182.00	244.64	(34.4)	2,184.00	2,067.24	5.3
01-60-82-88204	CELLULAR SERVICE	179.00	177.21	1.0	2,142.00	1,406.05	34.3
01-60-82-88206	ELECTRICAL SERVICE	83.00	51.39	38.0	1,000.00	473.23	52.6
01-60-82-88208	HEATING	250.00	0.00	100.0	500.00	0.00	100.0
01-60-82-88216	STREET LIGHTS - ELECTRICAL	7,500.00	118.33	98.4	90,000.00	66,594.66	26.0
TOTAL UTILITIES		8,194.00	591.57	92.7	95,826.00	70,541.18	26.3
VEHICLES & EQUIPMENT							
01-60-84-88402	GAS & OIL	2,058.00	3,182.41	(54.6)	24,696.00	19,609.95	20.5
01-60-84-88404	VEHICLE REPAIRS	1,673.00	865.98	48.2	20,075.00	19,380.66	3.4
01-60-84-88405	EQUIPMENT REPAIRS	1,131.00	1,373.29	(21.4)	13,571.00	13,250.73	2.3
01-60-84-88406	VEHICLE MAINTENANCE	273.00	0.00	100.0	3,280.00	236.64	92.7
01-60-84-88412	EQUIPMENT RENTAL	125.00	0.00	100.0	1,500.00	495.40	66.9
TOTAL VEHICLES & EQUIPMENT		5,260.00	5,421.68	(3.0)	63,122.00	52,973.38	16.0
TECHNOLOGY							
01-60-91-99105	NETWORK REPAIRS	133.00	343.50	(158.2)	1,596.00	1,782.30	(11.6)
TOTAL TECHNOLOGY		133.00	343.50	(158.2)	1,596.00	1,782.30	(11.6)
INFRASTRUCTURE MAINTENANCE							
01-60-92-99210	STREET LIGHT REPAIRS	1,506.00	0.00	100.0	18,076.00	11,115.94	38.5
01-60-92-99214	STORM SEWER MAINTENANCE	333.00	0.00	100.0	4,000.00	4,697.11	(17.4)
TOTAL INFRASTRUCTURE MAINTENANCE		1,839.00	0.00	100.0	22,076.00	15,813.05	28.3
TOTAL EXPENSES: PUBLIC WORKS		81,952.50	86,048.78	(5.0)	917,829.00	711,782.81	22.4
BUILDING DEPARTMENT EXPENSES							
PAYROLL EXPENSES							
01-70-70-67001	REGULAR SALARIES	12,385.00	12,407.00	(0.1)	161,000.00	134,934.58	16.1

VILLAGE OF ROUND LAKE
 DETAILED REVENUE & EXPENSE REPORT
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE
 FOR 10 PERIODS ENDING FEBRUARY 28, 2014

FUND: GENERAL FUND

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARI- ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI- ANCE
BUILDING DEPARTMENT							
EXPENSES							
PAYROLL EXPENSES							
01-70-70-67031	OVERTIME	54.00	1,018.50	(1786.1)	705.00	2,056.16	(191.6)
TOTAL PAYROLL EXPENSES		12,439.00	13,425.50	(7.9)	161,705.00	136,990.74	15.2
TAXES, PENSIONS, & INSURANCE							
01-70-71-67101	IMRF	1,485.00	1,466.07	1.2	19,300.00	16,021.13	16.9
01-70-71-67107	DENTAL INSURANCE	167.00	270.00	(61.6)	2,000.00	1,366.47	31.6
01-70-71-67108	VISION INSURANCE	19.00	18.08	4.8	225.00	180.80	19.6
01-70-71-67109	LIFE INSURANCE	13.00	186.90	(1337.6)	160.00	371.88	(132.4)
01-70-71-67110	HEALTH INSURANCE	1,938.00	(485.06)	124.7	23,500.00	17,630.40	24.9
01-70-71-67111	SOCIAL SECURITY	769.00	796.34	(3.5)	10,000.00	8,128.84	18.7
01-70-71-67112	MEDICARE	177.00	186.23	(5.2)	2,300.00	1,901.18	17.3
TOTAL TAXES, PENSIONS, & INSURANCE		4,588.00	2,438.56	46.8	57,485.00	45,600.70	20.6
PERSONNEL RELATED							
01-70-72-67202	UNIFORMS	26.00	0.00	100.0	310.00	0.00	100.0
01-70-72-67204	DUES & MEMBERSHIPS	15.00	0.00	100.0	175.00	65.00	62.8
01-70-72-67208	MEETINGS, TRAVEL, & TRAINING	106.00	250.00	(135.8)	1,270.00	644.52	49.2
TOTAL PERSONNEL RELATED		147.00	250.00	(70.0)	1,755.00	709.52	59.5
PROFESSIONAL SERVICES							
01-70-73-77305	BUILDING INSPECTION SERVICES	57.00	0.00	100.0	688.00	344.00	50.0
01-70-73-77307	ENGINEERING EXPENSES	380.00	1,059.63	(178.8)	4,558.00	5,466.68	(19.9)
01-70-73-77310	PLAN REVIEWS	100.00	0.00	100.0	1,200.00	0.00	100.0
01-70-73-77313	LEGAL SERVICES	265.00	0.00	100.0	3,176.00	0.00	100.0
01-70-73-77321	PLUMBING INSPECTOR	941.00	720.00	23.4	11,286.00	11,930.00	(5.7)
TOTAL PROFESSIONAL SERVICES		1,743.00	1,779.63	(2.1)	20,908.00	17,740.68	15.1
COMMODITIES							
01-70-74-77430	OFFICE SUPPLIES	127.00	49.99	60.6	1,521.00	49.99	96.7
01-70-74-77432	POSTAGE EXPENSE	35.00	28.30	19.1	416.00	183.40	55.9
01-70-74-77440	PRINTING	12.00	0.00	100.0	139.00	45.00	67.6
TOTAL COMMODITIES		174.00	78.29	55.0	2,076.00	278.39	86.5
CONTRACTUAL SERVICES							
01-70-75-77511	PUBLICATIONS & SUBSCRIPTIONS	8.00	0.00	100.0	100.00	0.00	100.0
01-70-75-77537	LEGAL NOTICES/RECORDING FEES	8.00	0.00	100.0	100.00	0.00	100.0
TOTAL CONTRACTUAL SERVICES		16.00	0.00	100.0	200.00	0.00	100.0

VILLAGE OF ROUND LAKE
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FUND: GENERAL FUND

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARIANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARIANCE
BUILDING DEPARTMENT							
EXPENSES							
UTILITIES							
01-70-82-88202	TELEPHONE SERVICE	93.00	132.94	(42.9)	1,118.00	1,244.40	(11.3)
01-70-82-88204	CELLULAR SERVICE	120.00	104.35	13.0	1,441.00	816.49	43.3
TOTAL UTILITIES		213.00	237.29	(11.4)	2,559.00	2,060.89	19.4
VEHICLES & EQUIPMENT							
01-70-84-88402	GAS & OIL	377.00	404.07	(7.1)	4,520.00	3,435.93	23.9
01-70-84-88405	VEHICLE REPAIRS	96.00	590.23	(514.8)	1,153.00	2,663.81	(131.0)
01-70-84-88406	VEHICLE MAINTENANCE	27.00	0.00	100.0	323.00	89.90	72.1
TOTAL VEHICLES & EQUIPMENT		500.00	994.30	(98.8)	5,996.00	6,189.64	(3.2)
TECHNOLOGY							
01-70-91-99105	NETWORK REPAIRS	63.00	0.00	100.0	750.00	639.30	14.7
01-70-91-99107	IT MAINTENANCE SERVICES	0.00	0.00	0.0	757.00	747.00	1.3
TOTAL TECHNOLOGY		63.00	0.00	100.0	1,507.00	1,386.30	8.0
TOTAL EXPENSES: BUILDING DEPARTMENT		19,883.00	19,203.57	3.4	254,191.00	210,956.86	17.0
OTHER FINANCING USES							
EXPENSES							
TRANSFERS OUT							
01-80-96-99610	CONTRIBUTION TO MFT	33,333.33	33,333.33	0.0	400,000.00	333,333.30	16.6
01-80-96-99626	TRANSFER TO 2010 DEBT SERVICE	0.00	0.00	0.0	350,000.00	350,000.00	0.0
01-80-96-99635	CONTRIBUTION TO CIP FUND	58,333.00	58,333.33	0.0	700,000.00	583,333.30	16.6
01-80-96-99660	CONTR. TO VEHICLE REPLACEMENT	13,278.00	13,278.00	0.0	159,336.00	132,780.00	16.6
01-80-96-99661	CONTR. TO TECHNOLOGY REPLAC.	5,075.00	5,075.00	0.0	60,900.00	50,750.00	16.6
01-80-96-99662	CONTR. TO BUILDING REPLACEMENT	5,912.00	5,912.08	0.0	70,945.00	59,120.80	16.6
TOTAL TRANSFERS OUT		115,931.33	115,931.74	0.0	1,741,181.00	1,509,317.40	13.3
TOTAL EXPENSES: OTHER FINANCING USES		115,931.33	115,931.74	0.0	1,741,181.00	1,509,317.40	13.3
TOTAL FUND REVENUES							
TOTAL FUND EXPENSES		350,910.00	186,899.67	(46.7)	6,749,011.00	6,264,144.79	(7.1)
FUND SURPLUS (DEFICIT)		588,499.83	551,776.19	6.2	7,716,372.00	6,345,684.33	17.7
		(237,589.83)	(364,876.52)	53.5	(967,361.00)	(81,539.54)	(91.5)

FUND: MOTOR FUEL TAX FUND

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
REVENUES							
INTERGOVERNMENTAL							
10-05-52-55211	MFT SPECIAL ALLOTMENT	0.00	0.00	0.0	47,881.00	47,708.00	(0.3)
10-05-52-55213	MOTOR FUEL TAX	38,642.00	40,328.50	4.3	429,792.00	382,904.33	(10.9)
TOTAL INTERGOVERNMENTAL		38,642.00	40,328.50	4.3	477,673.00	430,612.33	(9.8)
CONTRIBUTIONS							
10-05-58-55845	CONTRIBUTION FROM GENERAL FUND	33,333.00	33,333.33	0.0	400,000.00	333,333.30	(16.6)
TOTAL CONTRIBUTIONS		33,333.00	33,333.33	0.0	400,000.00	333,333.30	(16.6)
GRANTS							
10-05-62-56201	CDBG REVENUES	0.00	0.00	0.0	92,369.00	92,369.00	0.0
10-05-62-56230	ILLINOIS JOBS NOW PROGRAM	0.00	0.00	0.0	0.00	71,967.00	100.0
TOTAL GRANTS		0.00	0.00	0.0	92,369.00	164,336.00	77.9
INVESTMENT INCOME							
10-05-64-56401	INTEREST INCOME	192.00	13.29	(93.0)	2,299.00	161.60	(92.9)
TOTAL INVESTMENT INCOME		192.00	13.29	(93.0)	2,299.00	161.60	(92.9)
REIMBURSEMENTS							
10-05-65-56526	HART ROAD CONTR. ENGINEERING	14,957.00	0.00	100.0	179,480.00	0.00	100.0
TOTAL REIMBURSEMENTS		14,957.00	0.00	100.0	179,480.00	0.00	100.0
TOTAL REVENUES: REVENUES		87,124.00	73,675.12	(15.4)	1,151,821.00	928,443.23	(19.3)
PUBLIC WORKS EXPENSES							
PROFESSIONAL SERVICES							
10-60-73-77307	ENGINEERING EXPENSES	83.00	0.00	100.0	1,000.00	0.00	100.0
TOTAL PROFESSIONAL SERVICES		83.00	0.00	100.0	1,000.00	0.00	100.0
COMMODITIES							
10-60-74-77414	GRAVEL EXPENSE	417.00	0.00	100.0	5,000.00	1,157.55	76.8
10-60-74-77418	ICE CONTROL	250.00	0.00	100.0	3,000.00	0.00	100.0
10-60-74-77436	PATCHING	4,116.00	0.00	100.0	49,390.00	7,860.82	84.0
10-60-74-77438	PAVEMENT MARKING	958.00	0.00	100.0	11,500.00	0.00	100.0
TOTAL COMMODITIES		5,741.00	0.00	100.0	68,890.00	9,018.37	86.9

FUND: MOTOR FUEL TAX FUND

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	VARI-ANCE
PUBLIC WORKS EXPENSES							
ADMINISTRATIVE CHARGES							
10-60-78-77802	LABOR / EQUIPMENT REIMB. TO GF	2,083.00	2,083.33	0.0	25,000.00	20,833.30	16.6
TOTAL ADMINISTRATIVE CHARGES		2,083.00	2,083.33	0.0	25,000.00	20,833.30	16.6
ROADWAY IMPROVEMENTS							
10-60-83-88301	ROADWAY IMPROVEMENTS	125,750.00	1,390.40	98.8	1,508,996.00	303,877.81	79.8
TOTAL ROADWAY IMPROVEMENTS		125,750.00	1,390.40	98.8	1,508,996.00	303,877.81	79.8
OTHER ENHANCEMENTS							
10-60-88-88802	SIDEWALKS	1,065.00	0.00	100.0	12,780.00	1,436.50	88.7
TOTAL OTHER ENHANCEMENTS		1,065.00	0.00	100.0	12,780.00	1,436.50	88.7
TOTAL EXPENSES: PUBLIC WORKS		134,722.00	3,473.73	97.4	1,616,666.00	335,165.98	79.2
TOTAL FUND REVENUES							
TOTAL FUND EXPENSES		87,124.00	73,675.12	(15.4)	1,151,821.00	928,443.23	(19.3)
FUND SURPLUS (DEFICIT)		134,722.00	3,473.73	97.4	1,616,666.00	335,165.98	79.2
		(47,598.00)	70,201.39	(247.4)	(464,845.00)	593,277.25	(227.6)

VILLAGE OF ROUND LAKE
 DETAILED REVENUE & EXPENSE REPORT
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE
 FOR 10 PERIODS ENDING FEBRUARY 28, 2014

FUND: SSA #1 BRIGHT MEADOWS

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARIANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARIANCE
REVENUES							
TAXES							
16-05-50-55001	REAL ESTATE TAXES	2.00	0.00	100.0	23,730.00	23,970.15	1.0
	TOTAL TAXES	2.00	0.00	100.0	23,730.00	23,970.15	1.0
INVESTMENT INCOME							
16-05-64-56401	INTEREST INCOME	22.33	4.69	(79.0)	268.00	50.18	(81.2)
	TOTAL INVESTMENT INCOME	22.33	4.69	(79.0)	268.00	50.18	(81.2)
	TOTAL REVENUES: REVENUES	24.33	4.69	(80.7)	23,998.00	24,020.33	0.0
ADMINISTRATION EXPENSES							
PROFESSIONAL SERVICES							
16-20-73-77313	LEGAL SERVICES	41.66	0.00	100.0	500.00	0.00	100.0
	TOTAL PROFESSIONAL SERVICES	41.66	0.00	100.0	500.00	0.00	100.0
MISCELLANEOUS EXPENSE							
16-20-77-77706	MISCELLANEOUS EXPENSE	2.08	0.00	100.0	25.00	3.00	88.0
	TOTAL MISCELLANEOUS EXPENSE	2.08	0.00	100.0	25.00	3.00	88.0
BUILDING & GROUNDS							
16-20-79-77911	LANDSCAPING	0.00	6,885.42	100.0	25,116.00	13,595.83	45.8
	TOTAL BUILDING & GROUNDS	0.00	6,885.42	100.0	25,116.00	13,595.83	45.8
	TOTAL EXPENSES: ADMINISTRATION	43.74	6,885.42	(5641.7)	25,641.00	13,598.83	46.9
TOTAL FUND REVENUES							
	TOTAL FUND REVENUES	24.33	4.69	(80.7)	23,998.00	24,020.33	0.0
TOTAL FUND EXPENSES							
	TOTAL FUND EXPENSES	43.74	6,885.42	(5641.7)	25,641.00	13,598.83	46.9
	FUND SURPLUS (DEFICIT)	(19.41)	(6,880.73)	5349.4	(1,643.00)	10,421.50	(734.3)

VILLAGE OF ROUND LAKE
 DETAILED REVENUE & EXPENSE REPORT
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE
 FOR 10 PERIODS ENDING FEBRUARY 28, 2014

FUND: 2005 DEBT SERVICE FUND

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARIANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARIANCE
REVENUES							
TAXES							
24-05-50-55007	UTILITY TAX TELEPHONE	23,370.00	21,995.14	(5.8)	287,000.00	226,841.95	(20.9)
	TOTAL TAXES	23,370.00	21,995.14	(5.8)	287,000.00	226,841.95	(20.9)
INVESTMENT INCOME							
24-05-64-56401	INTEREST INCOME	4.00	0.55	(86.2)	51.00	13.02	(74.4)
	TOTAL INVESTMENT INCOME	4.00	0.55	(86.2)	51.00	13.02	(74.4)
	TOTAL REVENUES: REVENUES	23,374.00	21,995.69	(5.9)	287,051.00	226,854.97	(20.9)
ADMINISTRATION EXPENSES							
DEBT SERVICE							
24-20-94-99426	2005 REFUNDING BONDS INT.	0.00	0.00	0.0	49,516.00	49,515.00	0.0
24-20-94-99428	2005 REFUNDING BONDS PRINCIPAL	0.00	0.00	0.0	225,000.00	225,000.00	0.0
24-20-94-99432	BOND ADMIN & DISCLOSURE FEES	18.00	0.00	100.0	750.00	0.00	100.0
	TOTAL DEBT SERVICE	18.00	0.00	100.0	275,266.00	274,515.00	0.2
	TOTAL EXPENSES: ADMINISTRATION	18.00	0.00	100.0	275,266.00	274,515.00	0.2
TOTAL FUND REVENUES							
	TOTAL FUND REVENUES	23,374.00	21,995.69	(5.9)	287,051.00	226,854.97	(20.9)
TOTAL FUND EXPENSES							
	TOTAL FUND EXPENSES	18.00	0.00	100.0	275,266.00	274,515.00	0.2
	FUND SURPLUS (DEFICIT)	23,356.00	21,995.69	(5.8)	11,785.00	(47,660.03)	(504.4)

VILLAGE OF ROUND LAKE
 DETAILED REVENUE & EXPENSE REPORT
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE
 FOR 10 PERIODS ENDING FEBRUARY 28, 2014

FUND: 2010 DEBT SERVICE FUND

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARIANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARIANCE
REVENUES							
TAXES							
26-05-50-55003	UTILITY TAX ELECTRIC	1,129.00	1,085.11	(3.8)	12,000.00	9,078.89	(24.3)
26-05-50-55005	UTILITY TAX - GAS	0.00	0.00	0.0	107,500.00	61,025.69	(43.2)
26-05-50-55007	UTILITY TAX TELEPHONE	5,130.00	4,828.20	(5.8)	63,000.00	49,794.57	(20.9)
	TOTAL TAXES	6,259.00	5,913.31	(5.5)	182,500.00	119,899.15	(34.3)
INVESTMENT INCOME							
26-05-64-56401	INTEREST INCOME	6.00	4.54	(24.3)	72.00	28.25	(60.7)
	TOTAL INVESTMENT INCOME	6.00	4.54	(24.3)	72.00	28.25	(60.7)
TRANSFERS IN							
26-05-68-56801	TRANSFERS FROM GENERAL	0.00	0.00	0.0	350,000.00	350,000.00	0.0
	TOTAL TRANSFERS IN	0.00	0.00	0.0	350,000.00	350,000.00	0.0
	TOTAL REVENUES: REVENUES	6,265.00	5,917.85	(5.5)	532,572.00	469,927.40	(11.7)
ADMINISTRATION EXPENSES							
DEBT SERVICE							
26-20-94-99432	BOND ADMIN & DISCLOSURE FEES	5.00	0.00	100.0	1,100.00	0.00	100.0
26-20-94-99460	2010A BOND INTEREST	0.00	0.00	0.0	390,975.00	390,975.00	0.0
26-20-94-99464	2010B BOND INTEREST	0.00	0.00	0.0	28,220.00	138,220.00	(389.7)
26-20-94-99466	2010B BOND DEBT PRINCIPAL	0.00	0.00	0.0	110,000.00	0.00	100.0
	TOTAL DEBT SERVICE	5.00	0.00	100.0	530,295.00	529,195.00	0.2
	TOTAL EXPENSES: ADMINISTRATION	5.00	0.00	100.0	530,295.00	529,195.00	0.2
	TOTAL FUND REVENUES	6,265.00	5,917.85	(5.5)	532,572.00	469,927.40	(11.7)
	TOTAL FUND EXPENSES	5.00	0.00	100.0	530,295.00	529,195.00	0.2
	FUND SURPLUS (DEFICIT)	6,260.00	5,917.85	(5.4)	2,277.00	(59,267.60)	(2702.8)

VILLAGE OF ROUND LAKE
 DETAILED REVENUE & EXPENSE REPORT
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE
 FOR 10 PERIODS ENDING FEBRUARY 28, 2014

FUND: 2011 DEBT SERVICE FUND

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
REVENUES							
TAXES							
28-05-50-55003	UTILITY TAX ELECTRIC	36,499.00	35,085.15	(3.8)	388,000.00	293,550.36	(24.3)
	TOTAL TAXES	36,499.00	35,085.15	(3.8)	388,000.00	293,550.36	(24.3)
INVESTMENT INCOME							
28-05-64-56401	INTEREST INCOME	10.00	1.91	(80.9)	123.00	34.18	(72.2)
	TOTAL INVESTMENT INCOME	10.00	1.91	(80.9)	123.00	34.18	(72.2)
	TOTAL REVENUES: REVENUES	36,509.00	35,087.06	(3.8)	388,123.00	293,584.54	(24.3)
ADMINISTRATION EXPENSES							
UTILITIES							
28-20-82-88218	SENIOR CITIZEN REBATE	0.00	0.00	0.0	7,500.00	50.00	99.3
	TOTAL UTILITIES	0.00	0.00	0.0	7,500.00	50.00	99.3
DEBT SERVICE							
28-20-94-99432	BOND ADMIN & DISCLOSURE FEES	45.00	0.00	100.0	1,000.00	515.00	48.5
28-20-94-99470	2011 BONDS PRINCIPAL	0.00	0.00	0.0	285,000.00	285,000.00	0.0
28-20-94-99472	2011 BONDS INTEREST	0.00	0.00	0.0	93,850.00	93,850.00	0.0
	TOTAL DEBT SERVICE	45.00	0.00	100.0	379,850.00	379,365.00	0.1
	TOTAL EXPENSES: ADMINISTRATION	45.00	0.00	100.0	387,350.00	379,415.00	2.0
TOTAL FUND REVENUES							
TOTAL FUND EXPENSES							
	FUND SURPLUS (DEFICIT)	36,509.00	35,087.06	(3.8)	388,123.00	293,584.54	(24.3)
		45.00	0.00	100.0	387,350.00	379,415.00	2.0
		36,464.00	35,087.06	(3.7)	773.00	(85,830.46)	(1203.5)

VILLAGE OF ROUND LAKE
 DETAILED REVENUE & EXPENSE REPORT
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE
 FOR 10 PERIODS ENDING FEBRUARY 28, 2014

FUND: CAPITAL PROJECTS FUND

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
REVENUES							
TAXES							
35-05-50-55005	UTILITY TAX - GAS	0.00	0.00	0.0	107,500.00	61,025.68	(43.2)
TOTAL TAXES							
CONTRIBUTIONS							
35-05-58-55845	CONTRIBUTION FROM GENERAL FUND	58,333.00	58,333.33	0.0	700,000.00	583,333.30	(16.6)
TOTAL CONTRIBUTIONS							
GRANTS							
35-05-62-56201	CDBG REVENUES	0.00	0.00	0.0	100,000.00	0.00	100.0
35-05-62-56202	WATERSHED MGMT BOARD GRANT	1,725.00	0.00	100.0	20,700.00	20,700.00	0.0
TOTAL GRANTS							
IMPACT FEES							
35-05-63-56303	DEVELOPER IMPACT FEES	0.00	0.00	0.0	0.00	53,580.00	100.0
TOTAL IMPACT FEES							
INVESTMENT INCOME							
35-05-64-56401	INTEREST INCOME	205.00	321.32	56.7	2,460.00	2,701.24	9.8
TOTAL INVESTMENT INCOME							
REIMBURSEMENTS							
35-05-65-56508	INSURANCE REIMB.	0.00	0.00	0.0	0.00	573.77	100.0
35-05-65-56524	MCGILLIS BRIDGE REIMB.	8,587.00	0.00	100.0	103,041.00	1,513.05	(98.5)
TOTAL REIMBURSEMENTS							
MISCELLANEOUS REVENUE							
35-05-66-56601	MISCELLANEOUS RECEIPTS	0.00	1,821.22	100.0	0.00	14,576.72	100.0
TOTAL MISCELLANEOUS REVENUE							
TOTAL REVENUES: REVENUES							
		68,850.00	60,475.87	(12.1)	1,033,701.00	738,003.76	(28.6)
ADMINISTRATION EXPENSES							
35-20-73-77307	ENGINEERING EXPENSES	22,396.00	0.00	100.0	268,746.00	79,141.07	70.5

VILLAGE OF ROUND LAKE
 DETAILED REVENUE & EXPENSE REPORT
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE
 FOR 10 PERIODS ENDING FEBRUARY 28, 2014

FUND: CAPITAL PROJECTS FUND

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARIANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARIANCE
ADMINISTRATION EXPENSES							
PROFESSIONAL SERVICES							
35-20-73-77313	LEGAL SERVICES	42.00	0.00	100.0	500.00	0.00	100.0
TOTAL PROFESSIONAL SERVICES		22,438.00	0.00	100.0	269,246.00	79,141.07	70.6
CAPITAL OUTLAY							
OTHER EQUIPMENT							
35-20-80-88001	URBAN FOREST MANAGEMENT	0.00	632.50	100.0	0.00	1,715.10	100.0
35-20-80-88028		0.00	632.50	100.0	0.00	1,121.25	100.0
TOTAL CAPITAL OUTLAY		0.00	632.50	100.0	0.00	2,836.35	100.0
ROADWAY IMPROVEMENTS							
35-20-83-88301	ROADWAY IMPROVEMENTS	51,575.00	6,550.44	87.3	618,900.00	441,352.05	28.6
TOTAL ROADWAY IMPROVEMENTS		51,575.00	6,550.44	87.3	618,900.00	441,352.05	28.6
OTHER ENHANCEMENTS							
35-20-88-88601	LAND / LAND IMPROVEMENTS	4,167.00	0.00	100.0	50,000.00	0.00	100.0
35-20-88-88901	OTHER ENHANCEMENTS	19,400.00	0.00	100.0	232,802.00	56,535.66	75.7
TOTAL OTHER ENHANCEMENTS		23,567.00	0.00	100.0	282,802.00	56,535.66	80.0
TOTAL EXPENSES: ADMINISTRATION		97,580.00	7,182.94	92.6	1,170,948.00	579,865.13	50.4
TOTAL FUND REVENUES							
TOTAL FUND EXPENSES		68,850.00	60,475.87	(12.1)	1,033,701.00	738,003.76	(28.6)
FUND SURPLUS (DEFICIT)		97,580.00	7,182.94	92.6	1,170,948.00	579,865.13	50.4
		(28,730.00)	53,292.93	(285.5)	(137,247.00)	158,138.63	(215.2)

VILLAGE OF ROUND LAKE
 DETAILED REVENUE & EXPENSE REPORT
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE
 FOR 10 PERIODS ENDING FEBRUARY 28, 2014

FUND: WATER/SEWER FUND

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARIANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARIANCE
REVENUES							
LICENSES & PERMITS							
50-05-54-55411	WATER SEWER PERMITS	0.00	0.00	0.0	0.00	109,500.00	100.0
TOTAL LICENSES & PERMITS		0.00	0.00	0.0	0.00	109,500.00	100.0
CHARGES FOR SERVICES							
50-05-56-55603	METER ETC. FOR RESALE	833.00	0.00	100.0	10,000.00	12,352.00	23.5
50-05-56-55604	LRSU USER FEES	1,042.00	1,365.59	31.0	12,500.00	12,697.29	1.5
50-05-56-55606	LRSU CONNECTION FEES	0.00	0.00	0.0	0.00	50,817.54	100.0
50-05-56-55623	WATER LIEN REVENUE	0.00	58.00	100.0	0.00	58.00	100.0
50-05-56-55627	W/S MAINTENANCE FEE	133,992.00	150,627.31	12.4	1,693,522.00	1,423,656.07	(15.9)
50-05-56-55629	WATER FEES	68,262.00	79,320.13	16.2	915,011.00	769,021.61	(15.9)
50-05-56-55631	SEWER FEES	89,547.00	110,302.50	23.1	1,127,211.00	933,948.66	(17.1)
50-05-56-55637	WATER SEWER PENALTIES	5,667.00	6,619.82	16.8	68,000.00	58,624.88	(13.7)
TOTAL CHARGES FOR SERVICES		299,343.00	348,293.35	16.3	3,826,244.00	3,261,176.05	(14.7)
INVESTMENT INCOME							
50-05-64-56401	INTEREST INCOME	1,571.00	9,568.42	509.0	18,857.00	58,342.52	209.3
TOTAL INVESTMENT INCOME		1,571.00	9,568.42	509.0	18,857.00	58,342.52	209.3
REIMBURSEMENTS							
50-05-65-56508	INSURANCE REIMBURSEMENT	0.00	0.00	0.0	0.00	740.23	100.0
TOTAL REIMBURSEMENTS		0.00	0.00	0.0	0.00	740.23	100.0
MISCELLANEOUS REVENUES							
50-05-66-56601	MISCELLANEOUS RECEIPTS	125.00	157.96	26.3	1,500.00	2,085.88	39.0
TOTAL MISCELLANEOUS REVENUES		125.00	157.96	26.3	1,500.00	2,085.88	39.0
TOTAL REVENUES: REVENUES		301,039.00	358,019.73	18.9	3,846,601.00	3,431,844.68	(10.7)
PUBLIC WORKS EXPENSES							
PAYROLL EXPENSES							
50-60-70-67001	REGULAR SALARIES	30,423.00	29,796.23	2.0	395,500.00	336,637.27	14.8
50-60-70-67021	PART-TIME SALARIES	1,020.00	1,490.77	(46.1)	13,264.00	10,222.85	22.9
50-60-70-67026	SEASONAL	787.00	0.00	100.0	10,228.00	0.00	100.0
50-60-70-67031	OVERTIME	5,000.00	3,365.59	32.6	20,000.00	22,439.31	(12.2)
50-60-70-67036	TRANSPORTATION ALLOWANCE	41.00	0.00	100.0	490.00	198.15	59.5
TOTAL PAYROLL EXPENSES		37,271.00	34,652.59	7.0	439,482.00	369,497.58	15.9

VILLAGE OF ROUND LAKE
 DETAILED REVENUE & EXPENSE REPORT
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE
 FOR 10 PERIODS ENDING FEBRUARY 28, 2014

FUND: WATER/SEWER FUND

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
PUBLIC WORKS							
EXPENSES							
TAXES, PENSIONS & INSURANCE							
50-60-71-67101	IMRF	3,827.00	3,719.91	2.8	49,750.00	42,344.21	14.8
50-60-71-67107	DENTAL INSURANCE	379.00	582.84	(53.7)	4,550.00	2,796.42	38.5
50-60-71-67108	VISION INSURANCE	40.00	35.05	12.3	475.00	354.25	25.4
50-60-71-67109	LIFE INSURANCE	31.00	79.36	(156.0)	375.00	311.53	16.9
50-60-71-67110	HEALTH INSURANCE	4,417.00	(481.16)	110.8	53,000.00	36,580.99	30.9
50-60-71-67111	SOCIAL SECURITY	2,096.00	2,074.44	1.0	27,250.00	22,081.03	18.9
50-60-71-67112	MEDICARE	490.00	485.05	1.0	6,375.00	5,183.20	18.6
TOTAL TAXES, PENSIONS & INSURANCE		11,280.00	6,495.49	42.4	141,775.00	109,651.63	22.6
PERSONNEL RELATED							
50-60-72-67202	UNIFORMS	176.00	590.48	(235.5)	2,116.00	2,546.37	(20.3)
50-60-72-67204	DUES & MEMBERSHIPS	42.00	127.50	(203.5)	503.00	674.50	(34.1)
50-60-72-67206	MEDICAL/PSYCHOLOGICAL	35.00	0.00	100.0	415.00	128.00	69.1
50-60-72-67208	MEETING, TRAVEL, & TRAINING	94.00	0.00	100.0	1,129.00	472.50	58.1
50-60-72-67234	HIRING PROCESS	17.00	0.00	100.0	200.00	57.00	71.5
TOTAL PERSONNEL RELATED		364.00	717.98	(97.2)	4,363.00	3,878.37	11.1
PROFESSIONAL SERVICES							
50-60-73-77301	AUDITING EXPENSE	0.00	0.00	0.0	6,875.00	5,895.00	14.2
50-60-73-77307	ENGINEERING EXPENSES	5,212.00	0.00	100.0	62,541.00	2,847.26	95.4
50-60-73-77313	LEGAL SERVICES	5,542.00	0.00	100.0	66,500.00	26,518.61	60.1
50-60-73-77320	CONSULTING SERVICES	0.00	975.00	100.0	0.00	7,995.50	100.0
TOTAL PROFESSIONAL SERVICES		10,754.00	975.00	90.9	135,916.00	43,256.37	68.1
COMMODITIES							
50-60-74-77428	WATER METERS	833.00	0.00	100.0	10,000.00	13,179.23	(31.7)
50-60-74-77430	OFFICE SUPPLIES	167.00	245.25	(46.8)	2,000.00	1,257.26	37.1
50-60-74-77432	POSTAGE	2,113.00	12.36	99.4	25,359.00	22,673.13	10.5
TOTAL COMMODITIES		3,113.00	257.61	91.7	37,359.00	37,109.62	0.6
CONTRACTUAL SERVICES							
50-60-75-77519	INSURANCE PREMIUM	0.00	0.00	0.0	39,877.00	48,472.93	(21.5)
50-60-75-77529	METRA EASEMENTS	0.00	0.00	0.0	1,435.00	0.00	100.0
50-60-75-77535	OUTSOURCING WATER BILLS	2,345.00	1,281.90	45.3	28,142.00	16,786.03	40.3
50-60-75-77537	LEGAL NOTICES/RECORDING FEES	45.00	0.00	100.0	1,000.00	0.00	100.0
50-60-75-77545	WATER METER TESTING	103.00	0.00	100.0	1,234.00	0.00	100.0
50-60-75-77547	WATER SAMPLES	583.00	0.00	100.0	7,000.00	3,650.90	47.8
TOTAL CONTRACTUAL SERVICES		3,076.00	1,281.90	58.3	78,688.00	68,909.86	12.4

VILLAGE OF ROUND LAKE
 DETAILED REVENUE & EXPENSE REPORT
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE
 FOR 10 PERIODS ENDING FEBRUARY 28, 2014

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
FUND: WATER/SEWER FUND							
PUBLIC WORKS							
MISCELLANEOUS EXPENSE							
50-60-77-77740	RLSD GRANT REIMB.	0.00	0.00	0.0	7,260.00	7,260.00	0.0
TOTAL MISCELLANEOUS EXPENSE		0.00	0.00	0.0	7,260.00	7,260.00	0.0
BUILDING & GROUNDS							
50-60-79-77901	B&G MAINTENANCE	278.00	9.91	96.4	3,338.00	1,310.32	60.7
50-60-79-77903	B&G CONTRACTS	1,167.00	135.00	88.4	14,000.00	11,796.29	15.7
50-60-79-77905	B&G REPAIRS	943.00	91.18	90.3	11,313.00	921.86	91.8
50-60-79-77907	B&G SUPPLIES	83.00	138.79	(67.2)	1,000.00	3,869.15	(286.9)
50-60-79-77911	LANDSCAPING	0.00	2,870.50	100.0	6,200.00	5,926.50	4.4
TOTAL BUILDING & GROUNDS		2,471.00	3,245.38	(31.3)	35,851.00	23,824.12	33.5
CAPITAL OUTLAY							
50-60-80-88001	EQUIPMENT	6,583.00	0.00	100.0	79,000.00	32,122.56	59.3
50-60-80-88002	SAFETY EQUIPMENT	134.00	36.12	73.0	1,606.00	36.12	97.7
50-60-80-88004	VEHICLES	20,313.00	0.00	100.0	243,750.00	183,600.00	24.6
50-60-80-88018	OFFICE EQUIPMENT	38.00	0.00	100.0	452.00	864.79	(91.3)
50-60-80-88024	VEHICLE EQUIPMENT	0.00	0.00	0.0	0.00	383.00	100.0
TOTAL CAPITAL OUTLAY		27,068.00	36.12	99.8	324,808.00	217,006.47	33.1
WATER/SEWER IMPROVEMENTS							
50-60-81-88101	WATER/SEWER IMPROVEMENTS	187,404.00	4,852.12	97.4	2,248,853.00	772,618.26	65.6
TOTAL WATER/SEWER IMPROVEMENTS		187,404.00	4,852.12	97.4	2,248,853.00	772,618.26	65.6
UTILITIES							
50-60-82-88202	TELEPHONE SERVICE	182.00	244.64	(34.4)	2,184.00	2,099.04	3.8
50-60-82-88204	CELLULAR SERVICE	166.00	177.21	(6.7)	1,993.00	1,497.06	24.8
50-60-82-88206	ELECTRICAL SERVICE	4,146.00	4,038.73	2.5	49,752.00	35,534.82	28.5
50-60-82-88208	HEATING	451.00	709.27	(57.2)	5,417.00	3,180.03	41.3
50-60-82-88210	JAWA EXPENSE	77,598.00	0.00	100.0	1,040,152.00	773,723.57	25.6
50-60-82-88212	LAKE COUNTY SEWER	89,547.00	0.00	100.0	1,127,211.00	839,072.52	25.5
TOTAL UTILITIES		172,090.00	5,169.85	97.0	2,226,709.00	1,655,107.04	25.6
VEHICLES & EQUIPMENT							
50-60-84-88402	GAS & OIL	1,956.00	3,157.24	(61.4)	23,466.00	19,114.01	18.5
50-60-84-88404	VEHICLE REPAIRS	1,308.00	770.95	41.0	15,697.00	17,348.16	(10.5)
50-60-84-88405	EQUIPMENT REPAIRS	532.00	87.50	83.5	6,380.00	6,445.93	(1.0)
50-60-84-88406	VEHICLE MAINTENANCE	269.00	0.00	100.0	3,222.00	233.08	92.7

VILLAGE OF ROUND LAKE
 DETAILED REVENUE & EXPENSE REPORT
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE
 FOR 10 PERIODS ENDING FEBRUARY 28, 2014

FUND: WATER/SEWER FUND

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	VARI-ANCE
PUBLIC WORKS							
EXPENSES							
VEHICLES & EQUIPMENT							
50-60-84-88408	EQUIPMENT MAINTENANCE	69.00	0.00	100.0	832.00	293.40	64.7
50-60-84-88410	RADIO READ SYSTEM	182.00	0.00	100.0	2,187.00	0.00	100.0
50-60-84-88412	EQUIPMENT RENTAL	83.00	0.00	100.0	1,000.00	0.00	100.0
TOTAL VEHICLES & EQUIPMENT		4,399.00	4,015.69	8.7	52,784.00	43,434.58	17.7
CHARGES FOR SERVICES							
50-60-90-99005	J.U.L.I.E.	0.00	0.00	0.0	1,700.00	1,588.42	6.5
TOTAL CHARGES FOR SERVICES		0.00	0.00	0.0	1,700.00	1,588.42	6.5
TECHNOLOGY							
50-60-91-99101	SCADA MAINTENANCE	709.00	0.00	100.0	8,505.00	0.00	100.0
50-60-91-99105	NETWORK REPAIRS	99.00	0.00	100.0	1,183.00	0.00	100.0
50-60-91-99107	IT MAINTENANCE	33.00	1,524.60	(4520.0)	2,000.00	6,766.14	(238.3)
50-60-91-99117	IT EQUIPMENT	832.00	0.00	100.0	9,988.00	9,859.86	1.2
TOTAL TECHNOLOGY		1,673.00	1,524.60	8.8	21,676.00	16,626.00	23.3
INFRASTRUCTURE MAINTENANCE							
50-60-92-99202	REPAIRS TO SEWERS	358.00	0.00	100.0	4,300.00	5,394.45	(25.4)
50-60-92-99204	REPAIR TO WATER LINES	1,589.00	1,442.70	9.2	19,070.00	13,895.33	27.1
50-60-92-99206	REPAIRS PUMPS / TELEMET	2,592.00	0.00	100.0	31,099.00	12,543.00	59.6
50-60-92-99208	REPAIRS TO LIFT STATIONS	634.00	570.96	9.9	7,611.00	5,153.98	32.2
TOTAL INFRASTRUCTURE MAINTENANCE		5,173.00	2,013.66	61.0	62,080.00	36,986.76	40.4
DEBT SERVICE							
50-60-94-99418	2003C BONDS PRINCIPAL	0.00	0.00	0.0	110,000.00	110,000.00	0.0
50-60-94-99420	2003C BONDS INTEREST	0.00	0.00	0.0	28,283.00	28,282.50	0.0
50-60-94-99432	BOND ADMIN & DISCLOSURE FEES	0.00	0.00	0.0	700.00	515.00	26.4
TOTAL DEBT SERVICE		0.00	0.00	0.0	138,983.00	138,797.50	0.1
TOTAL EXPENSES: PUBLIC WORKS		466,136.00	65,237.99	86.0	5,958,287.00	3,545,552.58	40.4
OTHER FINANCING USES							
EXPENSES							
TRANSFERS OUT							
50-80-96-99660	CONTR. TO VEHICLE REPLACEMENT	4,713.00	4,712.66	0.0	56,552.00	47,126.60	16.6
50-80-96-99661	CONTR. TO TECHNOLOGY REPLAC.	1,488.00	1,487.50	0.0	17,850.00	14,875.00	16.6
50-80-96-99662	CONTR. TO BUILDING REPLACEMENT	1,192.00	1,192.41	0.0	14,309.00	11,924.10	16.6

FUND: WATER/SEWER FUND

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARIANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	VARIANCE
TOTAL TRANSFERS OUT		7,393.00	7,392.57	0.0	88,711.00	73,925.70	16.6
TOTAL EXPENSES: OTHER FINANCING USES		7,393.00	7,392.57	0.0	88,711.00	73,925.70	16.6
TOTAL FUND REVENUES		301,039.00	358,019.73	18.9	3,846,601.00	3,431,844.68	(10.7)
TOTAL FUND EXPENSES		473,529.00	72,630.56	84.6	6,046,998.00	3,619,478.28	40.1
FUND SURPLUS (DEFICIT)		(172,490.00)	285,389.17	(265.4)	(2,200,397.00)	(187,633.60)	(91.4)

VILLAGE OF ROUND LAKE
 DETAILED REVENUE & EXPENSE REPORT
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE
 FOR 10 PERIODS ENDING FEBRUARY 28, 2014

FUND: COMMUTER PARKING LOT FUND

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
REVENUES							
CHARGES FOR SERVICES							
51-05-56-55625	PARKING LOT INCOME	6,094.00	4,800.57	(21.2)	91,000.00	68,398.77	(24.8)
TOTAL CHARGES FOR SERVICES		6,094.00	4,800.57	(21.2)	91,000.00	68,398.77	(24.8)
INVESTMENT INCOME							
51-05-64-56401	INTEREST INCOME	62.00	2.52	(95.9)	739.00	25.96	(96.4)
TOTAL INVESTMENT INCOME		62.00	2.52	(95.9)	739.00	25.96	(96.4)
TOTAL REVENUES: REVENUES		6,156.00	4,803.09	(21.9)	91,739.00	68,424.73	(25.4)
PUBLIC WORKS EXPENSES							
PROFESSIONAL SERVICES							
51-60-73-77307	ENGINEERING EXPENSES	63.00	0.00	100.0	750.00	0.00	100.0
51-60-73-77313	LEGAL SERVICES	42.00	0.00	100.0	500.00	0.00	100.0
TOTAL PROFESSIONAL SERVICES		105.00	0.00	100.0	1,250.00	0.00	100.0
COMMODITIES							
51-60-74-77434	OPERATING SUPPLIES	31.00	0.00	100.0	375.00	0.00	100.0
51-60-74-77440	PRINTING	0.00	0.00	0.0	500.00	500.79	(0.1)
51-60-74-77452	STREET SIGNS	42.00	0.00	100.0	500.00	0.00	100.0
TOTAL COMMODITIES		73.00	0.00	100.0	1,375.00	500.79	63.5
CONTRACTUAL SERVICES							
51-60-75-77507	COMMUTER PARKING RENT	0.00	0.00	0.0	4,800.00	0.00	100.0
TOTAL CONTRACTUAL SERVICES		0.00	0.00	0.0	4,800.00	0.00	100.0
MISCELLANEOUS EXPENSES							
51-60-77-77706	MISCELLANEOUS EXPENSE	0.00	(23.13)	100.0	2,706.00	2,671.86	1.2
TOTAL MISCELLANEOUS EXPENSES		0.00	(23.13)	100.0	2,706.00	2,671.86	1.2
BUILDING & GROUNDS							
51-60-79-77903	B&G CONTRACTS	3,725.00	3,725.00	0.0	14,900.00	11,175.00	25.0
51-60-79-77905	B&G REPAIRS	63.00	(529.01)	939.7	750.00	264.00	64.8
51-60-79-77911	LANDSCAPING	0.00	3,469.64	100.0	6,800.00	6,851.10	(0.7)
51-60-79-77915	PARKING LOT MAINTENANCE	439.00	552.14	(25.7)	5,272.00	1,082.23	79.4
TOTAL BUILDING & GROUNDS		4,227.00	7,217.77	(70.7)	27,722.00	19,372.33	30.1

VILLAGE OF ROUND LAKE
 DETAILED REVENUE & EXPENSE REPORT
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE
 FOR 10 PERIODS ENDING FEBRUARY 28, 2014

FUND: COMMUTER PARKING LOT FUND

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARIANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARIANCE
PUBLIC WORKS EXPENSES							
UTILITIES							
51-60-82-88206	ELECTRICAL SERVICE	370.00	0.00	100.0	4,440.00	3,755.60	15.4
TOTAL UTILITIES		370.00	0.00	100.0	4,440.00	3,755.60	15.4
TOTAL EXPENSES: PUBLIC WORKS		4,775.00	7,194.64	(50.6)	42,293.00	26,300.58	37.8
TOTAL FUND REVENUES							
TOTAL FUND EXPENSES							
FUND SURPLUS (DEFICIT)							
		6,156.00	4,803.09	(21.9)	91,739.00	68,424.73	(25.4)
		4,775.00	7,194.64	(50.6)	42,293.00	26,300.58	37.8
		1,381.00	(2,391.55)	(273.1)	49,446.00	42,124.15	(14.8)

FUND: VEHICLE REPLACEMENT FUND

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARIANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARIANCE
REVENUES							
CONTRIBUTIONS							
60-05-58-55845	CONTRIBUTION FROM GENERAL FUND	13,278.00	13,278.00	0.0	159,336.00	132,780.00	(16.6)
60-05-58-55850	CONTRIBUTION FROM WATER/SEWER	4,713.00	4,712.66	0.0	56,552.00	47,126.60	(16.6)
	TOTAL CONTRIBUTIONS	17,991.00	17,990.66	0.0	215,888.00	179,906.60	(16.6)
INVESTMENT INCOME							
60-05-64-56401	INTEREST INCOME	20.00	1.08	(94.6)	235.00	10.32	(95.6)
	TOTAL INVESTMENT INCOME	20.00	1.08	(94.6)	235.00	10.32	(95.6)
REIMBURSEMENTS							
60-05-65-56508	INSURANCE REIMB.	0.00	25,602.25	100.0	0.00	25,602.25	100.0
	TOTAL REIMBURSEMENTS	0.00	25,602.25	100.0	0.00	25,602.25	100.0
	TOTAL REVENUES: REVENUES	18,011.00	43,593.99	142.0	216,123.00	205,519.17	(4.9)
POLICE DEPARTMENT EXPENSES							
CAPITAL OUTLAY							
60-40-80-88004	VEHICLES	0.00	0.00	0.0	72,500.00	70,197.00	3.1
60-40-80-88024	VEHICLE EQUIPMENT	0.00	0.00	0.0	18,000.00	7,594.79	57.8
	TOTAL CAPITAL OUTLAY	0.00	0.00	0.0	90,500.00	77,791.79	14.0
	TOTAL EXPENSES: POLICE DEPARTMENT	0.00	0.00	0.0	90,500.00	77,791.79	14.0
PUBLIC WORKS EXPENSES							
CAPITAL OUTLAY							
60-60-80-88004	VEHICLES	5,833.00	0.00	100.0	70,000.00	42,276.42	39.6
	TOTAL CAPITAL OUTLAY	5,833.00	0.00	100.0	70,000.00	42,276.42	39.6
	TOTAL EXPENSES: PUBLIC WORKS	5,833.00	0.00	100.0	70,000.00	42,276.42	39.6
	TOTAL FUND REVENUES	18,011.00	43,593.99	142.0	216,123.00	205,519.17	(4.9)
	TOTAL FUND EXPENSES	5,833.00	0.00	100.0	160,500.00	120,068.21	25.1
	FUND SURPLUS (DEFICIT)	12,178.00	43,593.99	257.9	55,623.00	85,450.96	53.6

FUND: TECHNOLOGY REPLACEMENT

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARIANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARIANCE
REVENUES							
CONTRIBUTIONS							
61-05-58-55845	CONTRIBUTION FROM GENERAL FUND	5,075.00	5,075.00	0.0	60,900.00	50,750.00	(16.6)
61-05-58-55850	CONTRIBUTION FROM WATER/SEWER	1,488.00	1,487.50	0.0	17,850.00	14,875.00	(16.6)
	TOTAL CONTRIBUTIONS	6,563.00	6,562.50	0.0	78,750.00	65,625.00	(16.6)
FINES & FORFEITS							
61-05-60-56010	STATE SEIZURES	202.66	1,029.68	408.0	2,432.00	1,029.68	(57.6)
	TOTAL FINES & FORFEITS	202.66	1,029.68	408.0	2,432.00	1,029.68	(57.6)
INVESTMENT INCOME							
61-05-64-56401	INTEREST INCOME	12.00	0.53	(95.5)	150.00	6.64	(95.5)
	TOTAL INVESTMENT INCOME	12.00	0.53	(95.5)	150.00	6.64	(95.5)
	TOTAL REVENUES: REVENUES	6,777.66	7,592.71	12.0	81,332.00	66,661.32	(18.0)
ADMINISTRATION EXPENSES							
TECHNOLOGY							
61-20-91-99117	IT EQUIPMENT	3,044.66	0.00	100.0	36,536.00	34,476.97	5.6
	TOTAL TECHNOLOGY	3,044.66	0.00	100.0	36,536.00	34,476.97	5.6
	TOTAL EXPENSES: ADMINISTRATION	3,044.66	0.00	100.0	36,536.00	34,476.97	5.6
POLICE DEPARTMENT EXPENSES							
TECHNOLOGY							
61-40-91-99117	IT EQUIPMENT	1,619.00	0.00	100.0	19,432.00	8,697.67	55.2
	TOTAL TECHNOLOGY	1,619.00	0.00	100.0	19,432.00	8,697.67	55.2
	TOTAL EXPENSES: POLICE DEPARTMENT	1,619.00	0.00	100.0	19,432.00	8,697.67	55.2
PUBLIC WORKS EXPENSES							
TECHNOLOGY							
61-60-91-99117	IT EQUIPMENT	101.00	0.00	100.0	1,216.00	1,901.18	(56.3)
	TOTAL TECHNOLOGY	101.00	0.00	100.0	1,216.00	1,901.18	(56.3)
	TOTAL EXPENSES: PUBLIC WORKS	101.00	0.00	100.0	1,216.00	1,901.18	(56.3)

FUND: TECHNOLOGY REPLACEMENT

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
TOTAL FUND REVENUES		6,777.66	7,592.71	12.0	81,332.00	66,661.32	(18.0)
TOTAL FUND EXPENSES		4,764.66	0.00	100.0	57,184.00	45,075.82	21.1
FUND SURPLUS (DEFICIT)		2,013.00	7,592.71	277.1	24,148.00	21,585.50	(10.6)

VILLAGE OF ROUND LAKE
 DETAILED REVENUE & EXPENSE REPORT
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE
 FOR 10 PERIODS ENDING FEBRUARY 28, 2014

FUND: BUILDING REPLACEMENT

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARIANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARIANCE
REVENUES							
CONTRIBUTIONS							
62-05-58-55845	CONTRIBUTION FROM GENERAL FUND	5,912.00	5,912.08	0.0	70,945.00	59,120.80	(16.6)
62-05-58-55850	CONTRIBUTION FROM WATER/SEWER	1,192.00	1,192.41	0.0	14,309.00	11,924.10	(16.6)
	TOTAL CONTRIBUTIONS	7,104.00	7,104.49	0.0	85,254.00	71,044.90	(16.6)
INVESTMENT INCOME							
62-05-64-56401	INTEREST INCOME	12.00	0.85	(92.9)	142.00	7.48	(94.7)
	TOTAL INVESTMENT INCOME	12.00	0.85	(92.9)	142.00	7.48	(94.7)
	TOTAL REVENUES: REVENUES	7,116.00	7,105.34	(0.1)	85,396.00	71,052.38	(16.8)
TOTAL FUND REVENUES							
	TOTAL FUND EXPENSES	0.00	0.00	0.0	85,396.00	71,052.38	(16.8)
	FUND SURPLUS (DEFICIT)	7,116.00	7,105.34	(0.1)	85,396.00	71,052.38	(16.8)

VILLAGE OF ROUND LAKE
 DETAILED REVENUE & EXPENSE REPORT
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE
 FOR 10 PERIODS ENDING FEBRUARY 28, 2014

FUND: POLICE PENSION FUND

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
REVENUES							
TAXES							
70-05-50-55001	REAL ESTATE TAXES	42.00	0.00	100.0	424,248.00	427,625.33	0.8
TOTAL TAXES		42.00	0.00	100.0	424,248.00	427,625.33	0.8
CONTRIBUTIONS							
70-05-58-55801	POLICE OFFICER CONTRIBUTIONS	12,308.00	12,563.11	2.0	160,000.00	140,225.80	(12.3)
TOTAL CONTRIBUTIONS		12,308.00	12,563.11	2.0	160,000.00	140,225.80	(12.3)
INVESTMENT INCOME							
70-05-64-56401	INTEREST INCOME	6,250.00	3,173.40	(49.2)	75,000.00	56,157.98	(25.1)
70-05-64-56417	REALIZED GAINS	2,500.00	54,304.99	2072.2	30,000.00	192,593.15	541.9
70-05-64-56419	UNREALIZED GAINS	0.00	58,241.97	100.0	0.00	524,818.77	100.0
70-05-64-56425	DIVIDEND INCOME	2,167.00	1.97	(99.9)	26,000.00	66,001.01	153.8
TOTAL INVESTMENT INCOME		10,917.00	115,722.33	960.0	131,000.00	839,570.91	540.8
MISCELLANEOUS REVENUE							
70-05-66-56601	MISCELLANEOUS INCOME	83.00	0.00	100.0	1,000.00	0.00	100.0
TOTAL MISCELLANEOUS REVENUE		83.00	0.00	100.0	1,000.00	0.00	100.0
TOTAL REVENUES: REVENUES		23,350.00	128,285.44	449.4	716,248.00	1,407,422.04	96.5
ADMINISTRATION EXPENSES							
PAYROLL EXPENSES							
70-20-70-67050	RETIREMENT BENEFITS	19,390.00	19,387.82	0.0	228,800.00	190,002.20	16.9
70-20-70-67055	DISABILITY BENEFITS	7,085.00	7,082.31	0.0	84,300.00	70,069.58	16.8
70-20-70-67056	SURVIVING SPOUSE	2,458.00	2,457.93	0.0	29,496.00	24,579.30	16.6
70-20-70-67057	REFUND OF CONTRIBUTIONS	417.00	0.00	100.0	5,000.00	97,414.84	(1848.3)
TOTAL PAYROLL EXPENSES		29,350.00	28,928.06	1.4	347,596.00	382,065.92	(9.9)
PERSONNEL RELATED							
70-20-72-67204	DUES & MEMBERSHIPS	0.00	0.00	0.0	800.00	775.00	3.1
70-20-72-67206	MEDICAL/PSYCHOLOGICAL	417.00	0.00	100.0	5,000.00	0.00	100.0
70-20-72-67208	MEETINGS, TRAVEL, & TRAINING	350.00	0.00	100.0	4,250.00	2,188.69	48.5
TOTAL PERSONNEL RELATED		767.00	0.00	100.0	10,050.00	2,963.69	70.5
PROFESSIONAL SERVICES							

FUND: POLICE PENSION FUND

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARI- ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	VARI- ANCE
ADMINISTRATION							
EXPENSES							
PROFESSIONAL SERVICES							
70-20-73-77301	AUDITING EXPENSE	0.00	0.00	0.0	3,100.00	2,489.00	19.7
70-20-73-77313	LEGAL SERVICES	2,000.00	1,700.00	15.0	18,000.00	5,600.00	68.8
70-20-73-77325	ACTUARIAL SERVICES	142.00	0.00	100.0	1,700.00	3,400.00	(100.0)
TOTAL PROFESSIONAL SERVICES		2,142.00	1,700.00	20.6	22,800.00	11,489.00	49.6
COMMODITIES							
70-20-74-77430	OFFICE SUPPLIES	8.00	0.00	100.0	100.00	0.00	100.0
70-20-74-77432	POSTAGE	8.00	15.31	(91.3)	100.00	52.60	47.4
TOTAL COMMODITIES		16.00	15.31	4.3	200.00	52.60	73.7
MISCELLANEOUS EXPENSE							
70-20-77-77750	REALIZED LOSSES	0.00	0.00	0.0	0.00	30,793.78	100.0
70-20-77-77755	UNREALIZED LOSSES	0.00	0.00	0.0	0.00	383,425.82	100.0
TOTAL MISCELLANEOUS EXPENSE		0.00	0.00	0.0	0.00	414,219.60	100.0
CHARGES FOR SERVICES							
70-20-90-99001	BANK FEES	0.00	0.00	0.0	19,200.00	14,754.75	23.1
70-20-90-99003	DOI COMPLIANCE FEE	0.00	0.00	0.0	925.00	914.97	1.0
TOTAL CHARGES FOR SERVICES		0.00	0.00	0.0	20,125.00	15,669.72	22.1
TOTAL EXPENSES: ADMINISTRATION		32,275.00	30,643.37	5.0	400,771.00	826,460.53	(106.2)
TOTAL FUND REVENUES							
TOTAL FUND EXPENSES		23,350.00	128,285.44	449.4	716,248.00	1,407,422.04	96.5
FUND SURPLUS (DEFICIT)		32,275.00	30,643.37	5.0	400,771.00	826,460.53	(106.2)
		(8,925.00)	97,642.07	(1194.0)	315,477.00	580,961.51	84.1

VILLAGE OF ROUND LAKE
 DETAILED REVENUE & EXPENSE REPORT
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE
 FOR 10 PERIODS ENDING FEBRUARY 28, 2014

FUND: WORKING CASH FUND

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARIANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	VARIANCE
REVENUES							
TAXES							
81-05-50-55001	REAL ESTATE TAXES	1.00	0.00	100.0	6,375.00	8,223.57	29.0
TOTAL TAXES		1.00	0.00	100.0	6,375.00	8,223.57	29.0
INVESTMENT INCOME							
81-05-64-56401	INTEREST INCOME	139.00	4.60	(96.6)	1,663.00	54.13	(96.7)
TOTAL INVESTMENT INCOME		139.00	4.60	(96.6)	1,663.00	54.13	(96.7)
TOTAL REVENUES: REVENUES		140.00	4.60	(96.7)	8,038.00	8,277.70	2.9
TOTAL FUND REVENUES							
TOTAL FUND EXPENSES							
FUND SURPLUS (DEFICIT)							
		140.00	4.60	(96.7)	8,038.00	8,277.70	2.9

VILLAGE OF ROUND LAKE
 DETAILED REVENUE & EXPENSE REPORT
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE
 FOR 10 PERIODS ENDING FEBRUARY 28, 2014

FUND: IMPACT FEE FUND

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
REVENUES							
INVESTMENT INCOME							
82-05-64-56401	INTEREST INCOME	0.00	0.00	0.0	0.00	4.43	100.0
TOTAL INVESTMENT INCOME							
TOTAL REVENUES: REVENUES		0.00	0.00	0.0	0.00	4.43	100.0
		0.00	0.00	0.0	0.00	4.43	100.0
TOTAL FUND REVENUES							
TOTAL FUND EXPENSES							
FUND SURPLUS (DEFICIT)							
		0.00	0.00	0.0	0.00	4.43	100.0
		0.00	0.00	0.0	0.00	0.00	0.0
		0.00	0.00	0.0	0.00	4.43	100.0

FUND: BUILDERS ESCROW

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARIANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARIANCE
REVENUES							
INVESTMENT INCOME							
83-05-64-56401	INTEREST INCOME	9.00	2.14	(76.2)	110.00	23.44	(78.6)
TOTAL INVESTMENT INCOME		9.00	2.14	(76.2)	110.00	23.44	(78.6)
TOTAL REVENUES: REVENUES		9.00	2.14	(76.2)	110.00	23.44	(78.6)
TOTAL FUND REVENUES		9.00	2.14	(76.2)	110.00	23.44	(78.6)
TOTAL FUND EXPENSES		0.00	0.00	0.0	0.00	0.00	0.0
FUND SURPLUS (DEFICIT)		9.00	2.14	(76.2)	110.00	23.44	(78.6)

VILLAGE OF ROUND LAKE
 DETAILED REVENUE & EXPENSE REPORT
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE
 FOR 10 PERIODS ENDING FEBRUARY 28, 2014

FUND: LAKEWOOD GROVE #1

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARI-ANCE
REVENUES							
TAXES							
85-05-50-55001	REAL ESTATE TAX	0.00	0.00	0.0	0.00	928,559.77	100.0
TOTAL TAXES		0.00	0.00	0.0	0.00	928,559.77	100.0
INVESTMENT INCOME							
85-05-64-56401	INTEREST INCOME	0.00	11.69	100.0	0.00	120.88	100.0
TOTAL INVESTMENT INCOME		0.00	11.69	100.0	0.00	120.88	100.0
MISCELLANEOUS REVENUE							
85-05-66-56601	MISCELLANEOUS INCOME	0.00	0.00	0.0	0.00	195.83	100.0
85-05-66-56630	SSA PREPAYMENT	0.00	0.00	0.0	0.00	0.00	0.0
TOTAL MISCELLANEOUS REVENUE		0.00	0.00	0.0	0.00	195.83	100.0
TRANSFERS IN							
85-05-68-56885	TRANSFER FROM OTHER ACCOUNTS	0.00	0.00	0.0	0.00	40,436.00	100.0
85-05-68-56886	TRANSFER FROM SSA #3	0.00	0.00	0.0	0.00	0.00	0.0
TOTAL TRANSFERS IN		0.00	0.00	0.0	0.00	40,436.00	100.0
TOTAL REVENUES: REVENUES		0.00	11.69	100.0	0.00	969,312.48	100.0
ADMINISTRATION EXPENSES							
EXPENSES							
85-20-90-99007	ADMIN FEES	0.00	0.00	0.0	0.00	12,976.50	100.0
85-20-90-99009	OTHER EXPENSES	0.00	0.00	0.0	0.00	0.00	0.0
85-20-90-99013	BANK FEES	0.00	11.69	100.0	0.00	100.41	100.0
TOTAL EXPENSES		0.00	11.69	100.0	0.00	13,076.91	100.0
DEBT SERVICES							
85-20-94-99436	BOND INTEREST	0.00	0.00	0.0	0.00	342,568.33	100.0
85-20-94-99442	BOND PRINCIPAL	0.00	0.00	0.0	0.00	25,000.00	100.0
TOTAL DEBT SERVICES		0.00	0.00	0.0	0.00	367,568.33	100.0
TOTAL EXPENSES: ADMINISTRATION		0.00	11.69	100.0	0.00	380,645.24	100.0
OTHER FINANCING USES EXPENSES							

FUND: LAKEWOOD GROVE #1

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARIANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARIANCE
OTHER FINANCING USES							
EXPENSES							
TRANSFERS OUT							
85-80-96-99685	TRANSFER TO OTHER ACCOUNTS	0.00	0.00	0.0	0.00	40,436.00	100.0
TOTAL TRANSFERS OUT							
TOTAL EXPENSES: OTHER FINANCING USES		0.00	0.00	0.0	0.00	40,436.00	100.0
TOTAL FUND REVENUES							
TOTAL FUND EXPENSES		0.00	11.69	100.0	0.00	969,312.48	100.0
FUND SURPLUS (DEFICIT)		0.00	11.69	100.0	0.00	421,081.24	100.0
		0.00	0.00	0.0	0.00	548,231.24	100.0

FUND: LAKEWOOD GROVE #3

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARIANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARIANCE
REVENUES							
TAXES							
86-05-50-55001	REAL ESTATE TAX	0.00	0.00	0.0	0.00	277,192.43	100.0
TOTAL TAXES		0.00	0.00	0.0	0.00	277,192.43	100.0
INVESTMENT INCOME							
86-05-64-56401	INTEREST INCOME	0.00	3.91	100.0	0.00	39.00	100.0
TOTAL INVESTMENT INCOME		0.00	3.91	100.0	0.00	39.00	100.0
TRANSFERS IN							
86-05-68-56875	TRANSFER FROM OTHER ACCOUNTS	0.00	0.00	0.0	0.00	0.00	0.0
86-05-68-56886	TRANSFER FROM OTHER ACCOUNTS	0.00	0.00	0.0	0.00	15,000.00	100.0
TOTAL TRANSFERS IN		0.00	0.00	0.0	0.00	15,000.00	100.0
TOTAL REVENUES: REVENUES		0.00	3.91	100.0	0.00	292,231.43	100.0
ADMINISTRATION EXPENSES							
EXPENSES							
86-20-90-99007	ADMIN FEES	0.00	0.00	0.0	0.00	9,075.75	100.0
86-20-90-99013	BANK FEES	0.00	3.91	100.0	0.00	3,732.32	100.0
TOTAL EXPENSES		0.00	3.91	100.0	0.00	12,808.07	100.0
DEBT SERVICES							
EXPENSES							
86-20-94-99438	BOND INTEREST	0.00	0.00	0.0	0.00	85,663.25	100.0
86-20-94-99443	BOND PRINCIPAL	0.00	0.00	0.0	0.00	0.00	0.0
TOTAL DEBT SERVICES		0.00	0.00	0.0	0.00	85,663.25	100.0
TOTAL EXPENSES: ADMINISTRATION		0.00	3.91	100.0	0.00	98,471.32	100.0
OTHER FINANCING USES							
EXPENSES							
TRANSFERS OUT							
86-80-96-99685	TRANSFER TO SSA #1	0.00	0.00	0.0	0.00	0.00	0.0
86-80-96-99686	TRANSFER TO OTHER ACCOUNTS	0.00	0.00	0.0	0.00	15,000.00	100.0
TOTAL TRANSFERS OUT		0.00	0.00	0.0	0.00	15,000.00	100.0
TOTAL EXPENSES: OTHER FINANCING USES		0.00	0.00	0.0	0.00	15,000.00	100.0

FUND: LAKEWOOD GROVE #3

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	VARI-ANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	VARI-ANCE
TOTAL FUND REVENUES		0.00	3.91	100.0	0.00	292,231.43	100.0
TOTAL FUND EXPENSES		0.00	3.91	100.0	0.00	113,471.32	100.0
FUND SURPLUS (DEFICIT)		0.00	0.00	0.0	0.00	178,760.11	100.0

FUND: LAKEWOOD GROVE #4

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARIANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	VARIANCE
REVENUES							
TAXES							
87-05-50-55001	REAL ESTATE TAX	0.00	0.00	0.0	0.00	378,694.59	100.0
TOTAL TAXES		0.00	0.00	0.0	0.00	378,694.59	100.0
INVESTMENT INCOME							
87-05-64-56401	INTEREST INCOME	0.00	5.00	100.0	0.00	53.26	100.0
TOTAL INVESTMENT INCOME		0.00	5.00	100.0	0.00	53.26	100.0
MISCELLANEOUS REVENUE							
87-05-66-56601	MISCELLANEOUS INCOME	0.00	0.00	0.0	0.00	601.75	100.0
TOTAL MISCELLANEOUS REVENUE		0.00	0.00	0.0	0.00	601.75	100.0
TRANSFERS IN							
87-05-68-56887	TRANSFER FROM OTHER ACCOUNTS	0.00	0.00	0.0	0.00	11,000.00	100.0
TOTAL TRANSFERS IN		0.00	0.00	0.0	0.00	11,000.00	100.0
TOTAL REVENUES: REVENUES		0.00	5.00	100.0	0.00	390,349.60	100.0
ADMINISTRATION EXPENSES							
EXPENSES							
87-20-90-99007	ADMIN FEES	0.00	0.00	0.0	0.00	9,075.75	100.0
87-20-90-99013	BANK FEES	0.00	5.00	100.0	0.00	3,744.30	100.0
TOTAL EXPENSES		0.00	5.00	100.0	0.00	12,820.05	100.0
DEBT SERVICES							
87-20-94-99440	BOND INTEREST	0.00	0.00	0.0	0.00	122,257.00	100.0
87-20-94-99444	BOND PRINCIPAL	0.00	0.00	0.0	0.00	87,000.00	100.0
TOTAL DEBT SERVICES		0.00	0.00	0.0	0.00	209,257.00	100.0
TOTAL EXPENSES: ADMINISTRATION		0.00	5.00	100.0	0.00	222,077.05	100.0
OTHER FINANCING USES							
EXPENSES							
87-80-96-99687	TRANSFER TO OTHER ACCOUNTS	0.00	0.00	0.0	0.00	11,000.00	100.0
TOTAL TRANSFERS OUT		0.00	0.00	0.0	0.00	11,000.00	100.0

VILLAGE OF ROUND LAKE
 DETAILED REVENUE & EXPENSE REPORT
 BUDGET VS. ACTUAL WITH PERCENT VARIANCE
 FOR 10 PERIODS ENDING FEBRUARY 28, 2014

FUND: LAKEWOOD GROVE #4

ACCOUNT NUMBER	DESCRIPTION	FEBRUARY BUDGET	FEBRUARY ACTUAL	% VARIANCE	FISCAL YEAR BUDGET	FISCAL YEAR-TO-DATE ACTUAL	% VARIANCE

TOTAL EXPENSES: OTHER FINANCING USES		0.00	0.00	0.0	0.00	11,000.00	100.0

TOTAL FUND REVENUES		0.00	5.00	100.0	0.00	390,349.60	100.0
TOTAL FUND EXPENSES		0.00	5.00	100.0	0.00	233,077.05	100.0
FUND SURPLUS (DEFICIT)		0.00	0.00	0.0	0.00	157,272.55	100.0

Tressler | LLP

MEMORANDUM

To: Mayor and Board of Trustees, Village of Round Lake
From: Michael F. Zimmermann, Village Attorney
Eric J. Yehl, Associate
Date: March 13, 2014
Re: Amendment to the fee provision of the liquor code

The attached ordinance amends the Village of Round Lake's Liquor Code regarding the fee to obtain a newly issued Class A, B, B-1, B-2, B-3, C and C-1 Liquor License during the final three (3) months of the license year. Currently, the Village's Liquor Code contains provisions that can be susceptible to different interpretations. This amendment clarifies that language.

Currently, for these liquor licenses, Section 5.32.060 of the Code states that "The fee for such license shall be one thousand two hundred dollars (\$1,200.00) per year, or any part thereof." This means that the fee for a liquor license is \$1,200 regardless if the licensee utilizes the license for one month or twelve months.

On the other hand, Section 5.32.100 states that "The fee for a new license shall be the annual fee reduced in proportion to the number of full months that have expired since the last prior May 1st." While this language obviously applies to new license applicants and the above "or any part thereof" is meant to apply to only renewals, it is not entirely clear. Therefore, the attached ordinance amends the Village's Liquor Code to address this issue by removing both sets of quoted language from Sections 5.32.060 and 5.32.100 and adding new language in Section 5.32.100.

The attached amendment provides that "For all Class A, B, B-1, B-2, B-3, C and C-1 licenses, the fee for a new license shall be reduced by 75% of its original cost if issued during the final three months of a license year." For example, if a license fee is \$1,200.00 for a full year then the cost of that license is reduced to \$300 if the license is issued in February, March or April. Renewal licenses will continue to cost the full \$1,200 regardless of how long the license is utilized.

Finally, the attached ordinance includes a technical amendment to clarify that of the Class B type licenses only on-premise licensees (Class B-2 and Class B-3, but not Class B or Class B-1) are eligible for a late hour license.

ORDINANCE NO. 14-O-_____

AN ORDINANCE AMENDING THE LIQUOR LICENSE CHAPTER OF THE ROUND LAKE VILLAGE CODE

**BE IT ORDAINED BY THE PRESIDENT AND BOARD OF TRUSTEES OF THE
VILLAGE OF ROUND LAKE, ILLINOIS:**

SECTION ONE: That Chapter 5.32.060 of the Round Lake Village Code, as amended, is further amended, with deletions shown in strike through text and additions shown in underlined text, so that the same shall be read as follows:

5.32.060 Classes of licenses and fees.

Licenses permitting the sale at retail of alcoholic liquor shall be of the following classes:

- A. Class A license (tavern with full liquor packaged goods) which shall authorize the retail sale on the premises specified and the retail sale in packages for consumption off the premises of alcoholic liquors, spirits, wines, beer, ale, or other liquids containing more than one-half of one percent of alcohol by volume for beverage purposes. The fee for such license shall be one thousand two hundred dollars (\$1,200.00) per year, ~~or any part thereof.~~
- B. Class B license (packaged goods - full liquor) which shall authorize the retail sale in unopened packages for consumption off the premises of alcoholic liquors, spirits, wines, beer, ale, or other liquids containing more than one-half of one percent of alcohol by volume for beverage purposes. The fee for such license shall be one thousand two hundred dollars (\$1,200.00) per year ~~or any part thereof.~~
- C. Class B-1 license (packaged goods - beer and wine) which shall authorize the retail sale in unopened packages for consumption off the premises of beer and wines, but no other liquids containing more than one-half of one percent of alcohol by volume for beverage purposes. The fee for such license shall be one thousand two hundred dollars (\$1,200.00) per year ~~or any part thereof.~~
- D. Class B-2 (restaurant - beer and wine) which shall authorize the retail sale and serving of beer, ale or wine containing more than one-half of one percent of alcohol by volume for beverage purposes, when sold and served as an incidental part of a restaurant food service on the premises. The fee for such license shall be the sum of one thousand two hundred dollars (\$1,200.00) per year.
- E. Class B-3 (restaurant - full liquor) which shall authorize the retail sale and serving of alcoholic liquors, spirits, beer, ale, wine or other liquids containing more than one-half of one percent of alcohol by volume for beverage purposes, when sold and served as an incidental part of a restaurant food service on the premises. The fee for such license shall be the sum of one thousand two hundred dollars (\$1,200.00) per year.

F. Class C license (non-profit clubs) which shall authorize the retail sale on the premises only of alcoholic liquors, spirits, wines, beer, ale, or other liquids containing more than one-half of one percent of alcohol by volume for beverage purposes, when sold and disbursed by a club chartered by the state of Illinois under the Not For Profit Corporation Act. The fee for such license shall be the sum of one thousand two hundred dollars (\$1,200.00) per year.

G. Class C-1 license (catering) which shall authorize the retail sale and serving of alcoholic liquors, spirits, wines, beer, ale, or other liquids containing more than one-half of one percent of alcohol by volume for beverage purposes, when sold and served as an incidental part of a catering food service that serves prepared meals, which excludes the serving of snacks as the primary meal, either on or off site, when licensed by the Illinois Liquor Control Commission for such purposes. The fee for such license shall be the sum of one thousand two hundred dollars (\$1,200.00) per year.

H. Class D license (special event/temporary license) which shall authorize the retail sale on the premises specified of alcoholic liquors, spirits, wines, beer, ale or other liquids containing more than one-half of one percent of alcohol by volume for beverage purposes. Class D licenses may be issued to otherwise unlicensed applications for specific events which take place on not more than three consecutive days. In no event shall any one applicant be granted a Class D license on more than six separate occasions in any calendar year. The liquor commissioner shall specify the name and the date(s) of the event, and hours of operation on each Class D license. Class D license shall be valid only for the event, dates and hours specified.

The fee for each Class D license shall be fifty dollars (\$50.00).

I. Class D-1 license (special village sponsored event license) which shall authorize the retail sale on the premises location specified by the village of alcoholic liquors, spirits, wines, beer, ale or other liquids containing more than one-half of one percent of alcohol by volume for beverage purposes when sold for not more than a forty-eight (48) hour period in conjunction with a special village sponsored event. Class D-1 licenses may be issued only to a person or entity already holding a valid liquor license issued by the village and then only for the dates, place and special event designated by the village in advance but in no event shall the applicant be permitted more than one such license during any forty-eight (48) hour period. The fee for such license shall be one hundred fifty dollars (\$150.00) for each such forty-eight (48) hour period designated in advance or thereafter added to the license privilege.

J. Class F license (annual twenty-six (26) intermittent date license) which shall authorize the retail sale on the premises and for the consumption on the premises of alcoholic liquor, spirits, wines, beers, ale or other liquids containing more than one-half of one percent of alcohol by volume for beverage purposes when sold by a resort park for not more than twenty-six (26) special events per year during the hours permitted under this chapter. In addition, this license is issued only if the following conditions are complied with:

1. The applicant has complied with all ordinances and laws of the village, the county of Lake when applicable and the state of Illinois and obtains a State Liquor License;
2. That the fee of one thousand dollars (\$1,000.00) per annum is paid in advance and is accompanied with a list of the dates on which the special events are to be scheduled;
3. That licensee shall not advertise the sale of products licensed under this chapter at any location that is on other than the resort property for which this license is issued and at locations on the premises not seen or viewed from public or other properties;
4. In the event licensee desires to change one of the event dates, then an application for each change shall be made not less than thirty (30) days prior to the scheduled date, giving the reasons for the requested change and accompanied by a fee of fifty dollars (\$50.00). The scheduled event date cannot be changed except upon permission of the village liquor control commission;
5. Licensee shall provide dram shop insurance in the minimum amounts required by state of Illinois law for each scheduled event.

K. Late-Hour License which shall authorize the holder of a Class A license, a Class B-2 license or a Class B-3 license ~~or a Class B license~~ an additional privilege to remain open and permit the sale of alcoholic liquor for an extended period until three a.m. on Saturdays and Sundays, subject to the provisions of Section 5.32.065 of this Chapter governing a Late-Hour License. The fee for such license shall be as follows:

1. The fee for a Late-Hour License shall be one hundred dollars (\$100.00) per year, or any part thereof, except as provided in subparagraph 2 below.
2. For a Late-Hour License applicant who is a holder of a Class A license or a Class B license on April 30, 2012 ("2012 License Holder"), there shall be no fee imposed. There shall continue to be no fee imposed for Late Hour License renewals for any 2012 License Holder who holds a Late Hour license without it being suspended, revoked or denied. In the event a 2012 License Holder applies for a Late Hour License and such application is denied, the fee shall be imposed upon such license holder for any and all subsequent applications or renewals.

SECTION TWO: That Chapter 5.32.100 of the Round Lake Village Code, as amended, is further amended, with deletions shown in strike through text and additions shown in underlined text, so that the same shall be read as follows:

5.32.100 - Duration of licenses.

Every license shall terminate on April 30th next following issuance unless sooner revoked or suspended. ~~The fee for a new license shall be the annual fee reduced in proportion to the number of full months that have expired since the last prior May 1st.~~ The fee for renewal shall be the full annual fee. There shall be no rebate for the unexpired term of a license. An application for renewal shall not be considered as such unless made prior to April 30th, and unless submitted with the appropriate fee. No license shall be valid unless the proper fee has been paid. For all Class A, B, B-1, B-2, B-3, C and C-1 licenses, the fee for a new license shall be reduced by 75% of its original cost if issued during the final three months of a license year.

SECTION THREE: That the Village Clerk is directed hereby to publish this Ordinance in pamphlet form, pursuant to the Statutes of the State of Illinois.

SECTION FOUR: That this Ordinance shall be in full force and effect from and after its passage, approval, and publication in the manner provided by law.

APPROVED:

Daniel A. MacGillis, Village President

ATTEST:

Patricia C. Blauvelt, Village Clerk

PASSED:

APPROVED:

AYES:

NAYS:

ABSENT:

PUBLISHED IN PAMPHLET FORM: _____, 2014

Tressler | LLP

MEMORANDUM

To: Mayor and Board of Trustees, Village of Round Lake
From: Michael F. Zimmermann, Village Attorney
Eric J. Yehl, Associate
Date: March 13, 2014
Re: Amendment to the Solicitation Ordinance

As you may recall, the Illinois legislature passed a statute that established the requirements for non-home rule and home rule communities to consider and approve solicitation permits in the public right-of-way. The Village, therefore, amended its solicitation ordinance to reflect the requirements established under State law.

Recently, the Illinois legislature amended that State statute pertaining to solicitation in the public right-of-way. See 65 ILCS 5/11-80-9. The attached ordinance reflects those changes as mandated by State law.

Specifically, an applicant for a permit to solicit in the public right-of-way must submit 3 alternate locations to conduct its solicitation, in addition to its main choice. If the Village determines that the applicant's main location cannot be permitted due to certain specific safety concerns, which are identified in the ordinance, then the Village may deny the application for that intersection. However, the Village is required to approve 1 of the 3 alternate locations submitted by the applicant.

ORDINANCE NO. _____

AN ORDINANCE AMENDING THE VILLAGE CODE RELATING TO SOLICITATION

BE IT ORDAINED BY THE PRESIDENT AND BOARD OF TRUSTEES OF THE VILLAGE OF ROUND LAKE, LAKE COUNTY, ILLINOIS, as follows:

SECTION ONE: That Title 5 of the Round Lake Village Code, as amended, is hereby further amended with deletions shown in strike through text and additions shown in underlined text, so that the same shall be read as follows:

5.40.045 - License for Solicitation in the Public Right-of-Way.

- A. License Eligibility. The only individuals or entities permitted to apply for and obtain a license for solicitation in the public right-of-way, as provided in this section, are individuals or entities who solicit funds for patriotic, philanthropic, charitable, political or religious purposes.
- B. Application Requirements. Application for a license for solicitation in the public right-of-way shall be upon a form provided by the village clerk, and filed with the clerk not later than 10 business days before the date that the solicitation is to begin. The applicant shall truthfully state in full the information requested on the application, as follows:
1. The name and address of the applicant;
 2. Description sufficient for identification of the subject matter of the solicitation which the applicant will engage in and the purpose for the activity which will include an outline of the methods to be used in conducting the solicitations;
 3. The date or dates and times of day when the solicitation is to occur;
 4. The location ~~or locations~~ where the solicitation is to occur, along with a list of 3 alternate locations listed in order of preference;
 5. Proof of a valid liability insurance policy in the amount of at least \$1,000,000 insuring the applicant against bodily injury and property damage arising out of or in connection with the solicitation.
 6. Also, such additional information as the chief of police of the village may deem necessary to process the application.
- C. Public Safety Restrictions. The following restrictions apply to solicitation in village rights-of-way:

1. No more than one permit holder may solicit in any one intersection in a village right-of-way at one time.
 2. Reflective vests must be worn at all times.
 3. At least two individuals must be present at all times.
 4. All participants must be at least eighteen (18) years of age.
- D. Denial of Application. The village clerk, after consideration of the application and all information obtained relative thereto, shall deny the application if the applicant does not possess the qualifications for such license as herein required. Endorsement shall be made by the village clerk, upon the application, of the denial of the application.
- E. Approval of Application. The village clerk will approve an application that meets the above requirements within 5 business days after the filing date of the application. The village may, as a condition of its approval, impose reasonable conditions in writing that are based on articulated public safety concerns. If the village clerk determines that the applicant's proposed location cannot be permitted due to significant safety concerns, such as high traffic volumes, poor geometrics, construction, maintenance operations, or past accident history, then the village clerk may deny the application for that location and approve one of the 3 alternate locations following the order of preference submitted by the applicant on the alternate location list.
- F. There is no fee for a license under this section.

SECTION TWO: That the Village Clerk is directed hereby to publish this Ordinance in pamphlet form, pursuant to the Statutes of the State of Illinois.

SECTION THREE: That this Ordinance shall be in full force and effect from and after its passage, approval, and publication in the manner provided by law.

APPROVED:

Daniel A. MacGillis, Village President

ATTEST:

Patricia C. Blauvelt, Village Clerk

PASSED:

APPROVED:

AYES:

NAYS:

ABSENT:

PUBLISHED IN PAMPHLET FORM: _____, 2014

ORDINANCE NO. 14-O-__

AN ORDINANCE GRANTING A SPECIAL USE PERMIT TO ALLOW THE SALE OF ALCOHOLIC BEVERAGES AT 657 RAILROAD AVENUE, ROUND LAKE, ILLINOIS

WHEREAS, Alberto Riquelme has submitted an application for a special use permit to allow for the sale of alcoholic beverages at the subject property located at 657 Railroad Avenue, Round Lake, Illinois, legally described in Exhibit A attached hereto; and

WHEREAS, Section 17.52.040 of the Round Lake Village Code provides that the sale of alcoholic beverages shall be a special use in the C-2 Zoning District; and

WHEREAS, the Plan Commission/Zoning Board of Appeals held a public hearing on March 18, 2014, regarding said application; and

WHEREAS, the Plan Commission/Zoning Board of Appeals has found the application meets the standards for a special use and recommends the special use permit be approved; and

WHEREAS, the Village President and Village Board of Trustees (collectively "Board of Trustees") have reviewed the documents pertinent to the application and the recommendations of the Plan Commission/Zoning Board of Appeals and determined that the standards for special use have been met;

WHEREAS, the Board of Trustees has determined that the requisite public notice and hearing has been completed in conformity with the Round Lake Village Code, Illinois; and

NOW, THEREFORE, BE IT ORDAINED BY THE PRESIDENT AND BOARD OF TRUSTEES OF THE VILLAGE OF ROUND LAKE, LAKE COUNTY, ILLINOIS, as follows:

Section 1: The Board of Trustees hereby finds and determines that the facts set forth in the preamble hereto are true and correct and hereby adopts same as part of this Ordinance.

Section 2: That a special use permit to allow for the sale of alcoholic beverages is hereby granted to the Applicant for the subject property legally described in Exhibit A attached hereto.

Section 3: That this special use permit is not a liquor license and that the special use permit is expressly conditioned upon Applicant's compliance with the regulations set forth in Section 5.32 of the Round Lake Village Code.

Section 4: That the "Findings and Recommendations" of the Plan Commission/ Zoning Board of Appeals are concurred and approved and the special use shall run with the use and not with the land.

Section 5: This Ordinance shall be in full force and effect from and after its passage, approval, and publication as provided by law.

APPROVED:

Daniel A. MacGillis, Village President

ATTEST:

Patricia C. Blauvelt, Village Clerk

PASSED:

APPROVED:

AYES:

NAYS:

ABSENT:

PUBLISHED IN PAMPHLET FORM: _____, 2014

April 3, 2014

Members of the Board of Trustees
Village of Round Lake
442 North Cedar Lake Road
Round Lake, IL 60073

RE: Plan Commission/Zoning Board of Appeals
Recommendations Regarding
Application for Special Use:

Alberto Riquelme, Applicant
John A. Maqee and Judith T. Maqee, Owner

FINDINGS AND RECOMMENDATIONS

Pursuant to published Public Notice of Hearing on March 18, 2014, at 7:00 P.M. the Plan Commission/Zoning Board of Appeals considered the Application of Alberto Riquelme. Hearing was held for approval of a Special Use Permit for the sale of alcoholic beverages per Section 17.52.040 of the Round Lake Zoning Code for the property located at 657 Railroad, Round Lake, Illinois.

The Plan Commission/Zoning Board of Appeals finds as follows:

Public Notice of Hearing was given by publication in the Lake County Sun, a newspaper, and certification of publication was accepted by the Commission, all as provided by ordinance and at the direction of the Zoning Administrator.

Under C-2 zoning, special use is authorized and special use approval is required for purpose of this application. The property is presently zoned C-2 and is located in the Village of Round Lake. The Applicant proposes a special use within the Round Lake Zoning District for the sale of alcoholic beverages per Section 17.52.040. There was no request for any variation or other requests for any change to the structure itself.

Public comment and questions were invited by the Chairman of which only the Applicant took advantage of making such comment and no citizen posed any questions to the Applicant or the Commission. Therefore, there was no objection to the Applicant's requested Special Use Permit.

The Plan Commission/Zoning Board of Appeals further finds that the proposed special use permit does conform to the standards as set out in Section 17.100.060 (A) through (G) of the Zoning Ordinance, to-wit:

- A. That the establishment, maintenance, or operation of the special uses will not be detrimental to, or endanger the public health, safety, morals, comfort, or general welfare.
- B. That the special uses will not be injurious to the use and enjoyment of other property in the immediate vicinity for the purposes already permitted, nor substantially diminish and impair property values within the neighborhood.
- C. That the establishment of the special uses will not impede the normal and orderly development and improvement of the surrounding property for uses permitted in the district.

- D. That the exterior architectural appeal and functional plan of any proposed structure will not be so at variance with either the exterior architectural appeal and functional plan of the structures already constructed or in the course of construction in the immediate neighborhood or the character of the applicable district, as to cause a substantial depreciation in the property values within the neighborhood.
- E. That adequate utilities, access roads, drainage and/or necessary facilities have been or are being provided.
- F. That adequate measures have been or will be taken to provide ingress and egress so designed as to minimize traffic congestion in the public streets.
- G. That the special uses shall, in all other respects, conform to the applicable regulations of the district in which it is located, except as such regulations may, in each instance, be modified by the Village Board pursuant to the recommendations of the Plan Commission/Zoning Board of Appeals.

The Plan Commission/Zoning Board of Appeals further finds that the proposed special use shall be conditioned as follows:

- A. The special use shall run with the use and not with the land.
- B. The Applicant must comply with the terms and conditions set forth in Section 5.32 of the Round Lake Village Code (liquor licenses).

By an affirmative vote of five (5) ayes and zero (0) nays, the Plan Commission/Zoning Board of Appeals recommends approval of the proposed special use permit for the sale of alcoholic beverages per Section 17.52.040 on the subject property, as presented, subject to any other licensing and permit requirements of the Village.

Respectfully submitted,

Terre Ezyk
 Chairman of the Plan Commission/
 Zoning Board of Appeals
 Meeting of March 18, 2014.

AYES

Kristan
 Monaco
 Gutknecht
 Mazzanti
 Waller

NAYS

None

EXHIBIT A

Legal Description

PCL 1: THAT PART OF LOTS 2 AND 3 DESCRIBED AS FOLLOWS: COMMENCING AT A POINT ON THE WEST LINE OF SAID LOT 2 THAT IS 360.66 FEET NORTH OF THE SOUTHWEST CORNER OF SAID LOT 2; THENCE NORTH EAST ALONG THE CENTER LINE OF THE EASEMENT CREATED BY INSTRUMENT RECORDED DECEMBER 16, 1987 AS DOCUMENT 2641087 AND RE-RECORDED APRIL 4, 1988 AS DOCUMENT 2669807 TO A POINT ON THE WEST LINE OF SAID LOT 3; THENCE SOUTH ALONG THE WEST LINE OF SAID LOT 3 TO A POINT 304.0 FEET SOUTH OF THE NORTHWEST CORNER THEREOF; THENCE EAST AT RIGHT ANGLES 75.35 FEET TO THE EAST LINE OF SAID LOT 3 (SAID LINE ALSO BEING THE WEST LINE OF LANDS CONVEYED BY DOCUMENT 1373788); THENCE SOUTH ALONG THE WEST LINE OF LANDS CONVEYED BY DOCUMENT 1373788 AND SAID WEST LINE EXTENDED SOUTH TO THE SOUTHERLY LINE OF SAID LOT 3; THENCE WESTERLY ALONG THE SOUTHERLY LINE OF SAID LOTS 3 AND 2 TO THE SOUTHWEST CORNER OF SAID LOT 2; THENCE NORTH ALONG THE WEST LINE OF SAID LOT 2 TO THE POINT OF BEGINNING (EXCEPT THAT PART OF LOT 2 DESCRIBED AS FOLLOWS: COMMENCING ON THE WEST LINE OF SAID LOT 2 AT A POINT 33.8 FEET NORTH OF THE SOUTHWEST CORNER THEREOF; THENCE NORTH ALONG THE WEST LINE 120 FEET; THENCE EAST 2.54 FEET; THENCE SOUTHWESTERLY 120 FEET TO A POINT 2.7 FEET EAST OF THE WEST LINE; THENCE WEST TO THE POINT OF BEGINNING), IN AVON MANOR SUBDIVISION, BEING A SUBDIVISION OF PART OF THE NORTHWEST 1/4 OF SECTION 29, TOWNSHIP 45 NORTH, RANGE 10, EAST OF THE THIRD PRINCIPAL MERIDIAN, ACCORDING TO THE PLAT THEREOF RECORDED DECEMBER 10, 1958 AS DOCUMENT 1013725, IN BOOK 1669 OF RECORDS, PAGE 664, IN LAKE COUNTY, ILLINOIS

PARCEL 2: EASEMENT FOR INGRESS AND EGRESS OVER THAT PART OF LOTS 2 AND 3 IN AVON MANOR SUBDIVISION, BEING A SUBDIVISION OF PART OF THE NORTHWEST 1/4 OF SECTION 29, TOWNSHIP 45 NORTH, RANGE 10, EAST OF THE THIRD PRINCIPAL MERIDIAN, ACCORDING TO THE PLAT THEREOF RECORDED DECEMBER 10, 1958 AS DOCUMENT 1013725, IN BOOK 1669 OF RECORDS, PAGE 664 DESCRIBED AS FOLLOWS: BEGINNING AT A POINT ON THE WEST LINE OF LOT 2, SAID POINT BEING 360.66 FEET NORTH OF THE SOUTHWEST CORNER OF SAID LOT 2; THENCE NORTH 82 DEGREES 16 MINUTES 54 SECONDS EAST 142.90 FEET; THENCE NORTH 19 DEGREES 51 MINUTES 25 SECONDS EAST 134.5 FEET TO THE SOUTHERLY RIGHT OF WAY LINE OF STATE ROUTE 134; THENCE NORTHWESTERLY ALONG SAID RIGHT OF WAY LINE 61.58 FEET; THENCE SOUTH 19 DEGREES 51 MINUTES 25 SECONDS WEST 145.85 FEET; THENCE SOUTH 82 DEGREES 16 MINUTES 54 SECONDS WEST 86.82 FEET TO THE WEST LINE OF SAID LOT 2; THENCE SOUTH ALONG SAID WEST LINE 30.28 FEET TO THE POINT OF BEGINNING, AS CREATED BY INSTRUMENT DATED JANUARY 10, 1987 AND RECORDED DECEMBER 16, 1987 AS DOCUMENT 2641037 AND RE-RECORDED APRIL 4, 1988 AS DOCUMENT 2669807, IN LAKE COUNTY, ILLINOIS

Commonly known as: 649 to 657 Railroad Avenue, Round Lake, Illinois 60073
Permanent Index Number: 06-29-101-025

Resolution 2014-R-___

A Resolution Approving the Capacity, Management, Operations and Maintenance Program and the Annual Capacity, Management, Operations and Maintenance Investment

Whereas, the County of Lake and the Village of Fox Lake, in consultation with the Northwest Lake Sewer Facilities Planning Area Wholesale Advisory Committee (“NWFPA WAC”), developed a Capacity, Management, Operations and Maintenance (“CMOM”) Program for the purposes of ensuring a minimum needed maintenance and repair for any local sewage collection system within the NWFPA to control excessive inflow and infiltration; and

Whereas, the Village of Round Lake (“Village”) has an agreement for sewage disposal with the County of Lake and participates in the NWFPA WAC; and

Whereas, the CMOM Program is to be adopted by the Village and all other communities that participate in the NWFPA WAC; and

Whereas, the President and Board of Trustees of the Village find that it is in the best interest of public health, safety and welfare to adopt the CMOM Program and approve the Annual CMOM Investment; and

NOW, THEREFORE, BE IT RESOLVED by the Village President and Board of Trustees of the Village of Round Lake as follows:

SECTION ONE: That the CMOM Program, attached hereto as Exhibit A, is hereby approved and adopted.

SECTION TWO: That the Annual CMOM Investment, described in Exhibit A, is hereby approved.

SECTION THREE: The Mayor, or his designee, is authorized to take all necessary steps to implement this resolution.

APPROVED:

Daniel A. MacGillis, Village President

ATTEST:

Patricia C. Blauvelt, Village Clerk

PASSED:

APPROVED:

AYES:

NAYS:

ABSENT:

**Capacity, Management, Operations and Maintenance
(CMOM)**

PREPARED FOR

**Northwest Lake Sewer System Advisory Committee
(NWLSSAC)**

December 2013

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SECTION 1 – INTRODUCTION

1.1 Background and Information

The CMOM Technical Subcommittee (“CMOMTS”) was formed by the Northwest Lake Sewer System Advisory Committee (NWLSSAC) in January 2007 for the purpose of preparing a CMOM Program Manual for adoption by the Member Utilities.

CMOMTS goals:

- Develop technical recommendations that will, if implemented, successfully mitigate existing and future sanitary sewer system failures including but not necessarily limited to sanitary sewer system backups, overflows and loss of sewage treatment efficiency at the Northwest Regional Water Reclamation Facility (NWRWRF).
- Incorporate those recommendations in a Northwest Lake County (NWLC) Regional CMOM Program for use by NWLSSAC member utilities in the management, operation and maintenance of their utilities.
- Present Advisory Committee with a CMOM Program Manual.

The NWLSSAC Member Utilities include the following:

Harbor Ridge Utilities, Inc.	Lake County Public Works
Lakes Region Sanitary District	Northwest Regional WRF
Round Lake Sanitary District	Village of Fox Lake
Village of Hainesville	Village of Lake Villa
Village of Round Lake	Village of Round Lake Beach
Village of Round Lakes Heights	Village of Round Lake Park

1.2 CMOM Program Goals

The goals outlined below contribute to the overall mission and address issues of health and safety, cost-efficient operation, enhance and optimize collection system performance and compliance with applicable laws.

- Comply with NPDES Permit requirements
- Operate a continuous CMOM Program
- Establish a standard of practice for the operation of the collection system
- Provide adequate capacity to convey base and peak flows
- Improve system reliability
- Improve customer service
- Identify and reduce sources of inflow / infiltration
- Maintain annual cleaning and inspection programs

SECTION 1 – INTRODUCTION

- Maintain system assets through cost-effective preventative maintenance and rehabilitation programs
- Improve management, operation and maintenance of collection systems.
- Proactively prevent system failures, SSOs, and system backups
- Respond to system failures, SSOs, and system backups

In addition to these program goals, an effective CMOM Program will also:

- Protect human health
- Protect property from damage
- Protect infrastructure investment by properly maintaining the collection system

1.3 CMOM Program Components

The CMOM Plan includes the following components:

- Section 2 Management Plan
- Section 3 Operation and Maintenance (O&M) Plan
- Section 4 Capacity Plan
- Section 5 Response Plan to SSOs and Emergencies
- Section 6 Condition Assessment Program
- Section 7 Communication Plan
- Section 8 Annual CMOM Review
- Appendices Standard Forms and Documents

1.4 Definitions

The following definitions pertain to this document:

1. **CMOM** Capacity, Management, Operations and Maintenance. A program to efficiently operate and maintain collection system assets to minimize performance failures and overflows.
2. **Collection System** is defined as the sanitary sewer system including sanitary sewers, combined sewers, manholes, pumping stations and associated equipment.
3. **Critical Structures** are system components that are essential to the operation of the sanitary sewer collection system. Failure of critical structures would impact critical customers and/or a large number of customers.
4. **Critical Customers** are customers such as hospitals, schools, municipal facilities (fire station, police station), nursing homes, etc. where maintaining service is a high priority.

SECTION 1 – INTRODUCTION

5. **Infiltration** is water other than wastewater that enters the collection system from the ground through sources such as defective pipes, pipe joints, connections, or manholes.
6. **Inflow** is water other than wastewater that enters the collection system from the ground through such sources as roof drains, sump pumps, yard drains, foundation drains, manhole covers, cross connections, and other surface water drainage.
7. **I&I** Infiltration & Inflow abbreviation
8. **Sanitary Sewer Overflow (SSO)** is a condition whereby untreated wastewater from the collection system is discharged to the environment prior to reaching the treatment facility. A SSO event can be caused by collection system failures, significant rainfall events, large sources of I&I, and collection system blockages.
9. **IEPA** Illinois Environmental Protection Agency
10. **NPDES** National Pollutant Discharge Elimination System
11. **NWLSSAC** Northwest Lake Sewer System Advisory Committee
12. **SSES** Sanitary Sewer Evaluation Survey

1.5 Legislation

Legislation which requires the monitoring, control and elimination of SSOs includes but is not necessarily limited to the following:

1.5.1 ENVIRONMENTAL SAFETY (415 ILCS 25/) Water Pollutant Discharge Act

Discharges of pollutants to waters used for public water supply, navigation or recreation

1.5.2 TITLE 35: ENVIRONMENTAL PROTECTION SUBTITLE C: WATER POLLUTION CHAPTER I: POLLUTION CONTROL BOARD

Section 306.102 Systems Reliability,
Section 306.303 Excess Infiltration
Section 306.304 Overflows
Part 392 Guidelines for Notification of Restricted Status or Critical Review

1.5.3 TITLE 40--PROTECTION OF ENVIRONMENT (40CFR122.41) CHAPTER I ENVIRONMENTAL PROTECTION AGENCY PART 122--EPA ADMINISTERED PERMIT PROGRAMS: THE NATIONAL POLLUTANT DISCHARGE ELIMINATION SYSTEM

Section 122.41 d) and e)

Note: A proposed USEPA rulemaking concerning SSOs and CMOM programs has been a matter of public discussion since 2001. Current information can be obtained at:

SECTION 1 – INTRODUCTION

http://www.cfpub.epa.gov/npdes/home.cfm?program_id=4

Relevant excerpts from this legislation are found in Appendix A.

1.5.4 IEPA Compliance Assurance Section Contacts

The Illinois EPA's Compliance Assurance section is the principle contact in matters concerning sanitary sewer overflows. Information concerning this section can be found at:

<http://www.epa.state.il.us/water/compliance/wastewater/waste-water-compliance-contacts.html>.

The following are current IEPA contacts for SSO matters:

IEPA Regional Office

Jay Patel, Manager
Illinois EPA – DWPC
9511 West Harrison
Des Plaines, IL. 60016
Phone: (847) 294-4000
Fax: (847) 294-4115

IEPA Springfield State Office

Manager - Mike Garretson
Wastewater Compliance Unit Manager - Roger Callaway
Illinois Environmental Protection Agency
Bureau of Water
Compliance Assurance Section #19
1021 North Grand Avenue East
P.O. Box 19276
Springfield, Illinois 62794-9274
Phone: (217) 782-9720
Fax: (217) 557-1407

SECTION 2 – MANAGEMENT PLAN

2.1 Background and Information

The Management Plan describes the approach that the Member Utilities are to take to implement the CMOM Plan. The Management Plan consists of the following components:

1. Organization
2. Management of Assets
3. Customer Service
4. Program Authority
5. Fiscal Responsibility
6. Data Management
7. Standard Design, Construction and Inspection
8. Safety Training
9. Performance Measurements

2.2 Organization

The NWLSSAC Member Utilities include the following:

Harbor Ridge Utilities, Inc.	Lake County Public Works
Lakes Region Sanitary District	Northwest Regional WRF
Round Lake Sanitary District	Village of Fox Lake
Village of Hainesville	Village of Lake Villa
Village of Round Lake	Village of Round Lake Beach
Village of Round Lakes Heights	Village of Round Lake Park

The Member Utilities are part of the Northwest Lake Facilities Planning Area and wastewater treatment and disposal is provided at the Northwest Regional Water Reclamation Facilities which is owned and operated by the Village of Fox Lake. The Member Utilities are subject to the provisions of the "Agreement for Sewage Disposal between the County and Agencies Discharging to the Northwest Sewer System and Treatment Works – Lake County".

Each of the utilities own, operate and maintain the collection systems within their own service area. The Member Utility has the responsibility to staff and fund their Public Works Department for operation and maintenance of their collection system. Most of the individual collection systems discharge to the Northwest Interceptor Sewer for conveyance to the Northwest Regional WRF. The Northwest Interceptor Sewer is owned and operated by the Lake County Public Works Department.

SECTION 2 – MANAGEMENT PLAN

2.3 Management of Assets

The cost-effective management of assets is a critical component of the CMOM program.

- 2.3.1 All O&M activities are tracked and documented. The forms used to record the O&M work are reviewed and updated on an annual basis.
- 2.3.2 Sewer Maps. Collection system maps are complete and kept up to date. The overall system map will, at a minimum, identify manholes, sewers with diameters, and pumping stations. The overall system map shall clearly illustrate the piping network of the collection system. The individual sewer atlas maps or GIS system will provide additional system information such as elevations for manholes and sewers, materials of construction, forcemain diameters, pump station capacities. The ultimate goal is for each Member Utility to have a fully developed GIS system.
- 2.3.3 O&M Programs are discussed in Section 3.
- 2.3.4 Condition Assessment Program. Each Member Utility shall establish and maintain program to evaluate on a routine basis the condition of the collection system assets. The assessment program is used to plan and budget upcoming rehabilitation and replacement work.
- 2.3.5 Equipment and Spare Parts. To perform routine operations and maintenance, respond to emergencies and prevent sanitary sewer overflows, it is critical to have adequate equipment and spare parts available. Each Member Utility is responsible for maintaining the necessary equipment and spare parts unique to its collection system to address routine O&M operations and response to emergencies. This inventory shall be reviewed on an annual basis.
- 2.3.6 The Critical Structures / Components of the collection system are to be identified and monitored on a routine basis. The goal is that each Member Utility develops a list of Critical Structures by April 30, 2014.

2.4 Customer Service

Service delivery is key for a successful CMOM program. The customer service program is monitored, in part, based on the time necessary for response to and resolution of a problem. Documenting these issues provides valuable information related to system functionality, including identification of laterals versus mainline issues. A standard form is recommended to document customer calls and inquires. Tracking and documenting customer calls and inquires allows operators to identify and address problem areas and ensure that the work is documented.

SECTION 2 – MANAGEMENT PLAN

2.5 Program Authority

Legal authority is included in the individual Member Utilities Sewer Use Ordinances. The utilities review and update their ordinances on a regular basis. In general the Member Utilities Sewer Use Ordinances include the following:

- 2.5.1 Regulations regarding the use of public and private sewers that discharges to the public collection system within each utility.
- 2.5.2 Regulations that require individual property owners to maintain sewer laterals from the sewer main or property line (per the Member Utility policy) to the building and to prevent unnecessary overburdening of the collection system.
- 2.5.3 Authority to control infiltration and connections from inflow sources.
- 2.5.4 Authority to prevent illegal connections and discharges into the collection system.
- 2.5.5 Fats Oil and Grease (FOG) elimination program. (Each Member Utility has adopted the Lake County's FOG ordinance)
- 2.5.6 Industrial Pretreatment Program
- 2.5.7 Standard Specifications for the design and construction of sanitary sewers and lateral connections.

2.6 Fiscal Responsibility

The authority to prepare a budget and secure revenues to finance the operation and maintenance of the individual collection systems is the responsibility of each Member Utility. The Sewer Use Ordinances allow for the collection of revenues to fund the system operation and maintenance typically through a combination of system connection and permit fees, property taxes and user charges which can either be a flat rate or a metered rated fee. The fee schedules are reviewed on a routine basis to ensure revenues track with system expenses.

2.7 Data Management

It is a goal for each Member Utility to have a geographic information system (GIS) in place to document and track system information. In addition to inventorying system information, the GIS data base can also be utilized to document and track O&M activities including inspections, cleaning, rehabilitation and replacement work, flow data

SECTION 2 – MANAGEMENT PLAN

and system performance. If no GIS, an alternate method of documentation shall be utilized.

2.8 Standards for Design, Construction and Inspection

At a minimum, all Member Utilities require all design and construction work to comply with following standards.

2.8.1 Sewer Use Ordinance

2.8.2 Illinois Recommended Standards for Sewage Works

2.8.3 Standard Specifications for Water and Sewer Main Construction in Illinois

2.9 Safety Training

The necessary safety training is unique to each Member Utility's collection system. At a minimum, safety training for staff should include confined space, maintenance practices, and electrical lock out/tag out procedures. The safety training requirements are to be reviewed on an annual basis.

2.10 Performance Measurements

An effective CMOM program monitors and evaluates the operation and maintenance activities and makes adjustments necessary to improve customer satisfaction, system performance and to achieve long-term goals. Performance measurements can be divided into two categories; effectiveness and efficiency.

Effectiveness performance measures are indicative of the value or success of the operation and maintenance activities compared against a standard over time. An example of improved effectiveness would be the reduction of sewer backups per mile of sewer main per year. A decreasing trend over time indicates improved O&M effectiveness / performance.

Efficiency performance measures reflect the frequency at which the measure is performed in the context of time or cost. Efficiency reflects quantity or value but not necessarily quality. An example of improved efficiency would be the reduction of costs for sewer cleaning per mile of sewer main. A decreasing trend of unit costs would indicate improved efficiency performance.

Table 2-1 illustrates the relationship between the program goals, objectives, and O&M activities.

SECTION 2 – MANAGEMENT PLAN

Table 2-1 Program Goals, Objectives, and O&M Activities

Program Goal	Objective	O&M Activities
1. Comply with NPDES Permit requirements	Ensure procedures are in place to identify, report, and mitigate SSOs	Monitor and report Sanitary Sewer Overflows
2. Establish a standard of practice for the operation of the collection system	Establish procedures for performing O&M activities	Routinely review and update the O&M procedures
3. Provide adequate capacity to convey base and peak flows	Gain an understanding of the systems capacity and identify potential bottleneck areas	Perform flow monitoring as appropriate Rehabilitate or replace sewers and manholes
4. Minimize the occurrence of sanitary sewer overflows	Ensure procedures are in place including O&M activities to minimize overflows	Inspect manholes, sewers, and pumping stations Rehabilitate or replace sewers and manholes Perform flow monitoring as appropriate Perform O&M activities
5. Improve system reliability	Confirm the existence of any system components that do not function according to established standards	Inspect manholes, sewers, and pumping stations Rehabilitate or replace sewers and manholes Identify critical system components Document Emergency Response Plan Perform O&M activities
6. Improve customer service	Ensure customer service meets utility's needs	Monitor and record customer complaints and resolutions

SECTION 2 – MANAGEMENT PLAN

Table 2-1 Program Goals, Objectives, and O&M Activities (con't.)

Program Goal	Objective	O&M Activities
7. Identify and manage sources inflow & infiltration	Establish a program to reduce I&I	Inspect manholes, sewers, and pumping stations Rehabilitate or replace sewers and manholes Perform O&M activities
8. Maintain annual cleaning and inspection programs	Establish a program to maintain system assets	Perform cleaning and inspection per schedule
9. Maintain system assets through cost-effective preventative maintenance and rehabilitation programs	Ensure preventative maintenance is performed Conduct condition assessments	Inspect manholes, sewers, and pumping stations Rehabilitate or replace sewers and manholes Perform O&M activities
10. Operate a continuous CMOM program	Establish a program for monitoring the CMOM Program	Complete annual report Review annual reports Review and update the CMOM plan every three years

SECTION 3 – OPERATION AND MAINTENANCE PLAN

3.1 Background and Information

Operation and Maintenance Programs for a collection system are critical to properly operate and maintain the system and to provide for future service and expansion. O&M programs can often identify system problems before they become failures which can disrupt service. Collection system inspections are a key component of the O&M program to determine structural integrity, system performance, sources of inflow and infiltration, and illegal connections. The critical inspection programs in a successful O&M program include the following:

1. Inspection of sewers
2. Inspection of manholes
3. Inspection of pumping facilities
4. Inspection of critical structures
5. Inspection of air relief valves
6. Sewer cleaning
7. Inspection of grease traps
8. Root control / removal
9. System rehabilitation
10. Code compliance – construction, connections, discharges

3.2 Inspection of Sewers

- 3.2.1 One hundred percent of the sewers are to be inspected within a ten (10) year period with a minimum of ten percent (10%) of the sewers inspected per year on a cumulative basis. (For example, if 40% an entity's sewers are inspected the first year, additional inspection is not required until the 5th year.)
- 3.2.2 Known problem areas of the collection system are to be inspected on a more frequent basis. Areas of known debris accumulation, FOG problems, root intrusion, SSO events, siphon sewers, flat/back pitched sewers, and defective areas previously identified but not repaired, etc. are to be inspected up to annually as necessary.
- 3.2.3 Sewer inspections are performed by using closed circuit television camera (CCTV)
- 3.2.4 The standardized sanitary sewer report documents the assessment of the sewer system. The sanitary sewer report should include a recommended repair or replace program for the problems areas identified during the inspection work.

SECTION 3 – OPERATION AND MAINTENANCE PLAN

3.3 Inspection of Manholes

- 3.3.1 One hundred percent of the system manholes are to be inspected within a ten (10) year period with a minimum of ten percent (10%) of manholes inspected per year on a cumulative basis.
- 3.3.2 Problem manholes are to be inspected on a more frequent basis. Areas of known debris accumulation, FOG problems, forcemain discharge manholes, SSO events, high groundwater, and snow plowing areas, and rims below floodplain elevations, etc. are to be inspected up to annually as necessary.
- 3.3.3 Manhole inspections shall consider the structural integrity, condition of joints, chimney seals, frame and cover, and ladder rungs, root intrusion, and debris accumulation.
- 3.3.4 Based on the condition assessment report, a recommendation shall be made for future inspections, repair or replacement.

3.4 Inspection of Pumping Facilities

- 3.4.1 All pumping facilities are to be inspected weekly (at a minimum).
- 3.4.2 All mechanical, electrical, and instrumentation equipment are to be inspected and tested to verify their operation.
- 3.4.3 Piping systems and equipment shall be inspected for leaks.
- 3.4.4 Equipment run times and/or flows (as applicable) are to be documented and compared to historical data.
- 3.4.5 The wet well is to be inspected for the accumulation of debris and FOG. All wet wells are to be cleaned annually (at a minimum).
- 3.4.6 An in-depth annual inspection shall be performed to evaluate the condition of mechanical, electrical and instrumentation equipment including equipment wear, lubrication, vibration and performance. The results of these in-depth inspections shall be compared against the manufacturer's specifications and historical results.
- 3.4.7 A general condition assessment report of the facility should be performed and required maintenance items are to be noted and scheduled for service, repair or replacement.

SECTION 3 – OPERATION AND MAINTENANCE PLAN

3.5 Inspection of Critical Structures

- 3.5.1 All critical structures are to be inspected monthly.
- 3.5.2 All critical structures are to be inspected during significant wet weather events.
- 3.5.3 Critical structures are to be cleaned / repaired on an as needed basis based on the inspection of the structures.

3.6 Inspection of Air Relief Valves

- 3.6.1 All air relief valves are to be inspected monthly.
- 3.6.2 Air valves shall be tested to ensure proper operation.
- 3.6.3 Air valves shall be cleaned and repaired as necessary based on inspections.

3.7 Sewer Cleaning

- 3.7.1 One hundred percent of the sewers are to be cleaned within a ten (10) year period with a minimum of ten percent (10%) of the sewers cleaned per year on a cumulative basis. The sewer cleaning work is typically performed in conjunction with the sewer inspection and CCTV work.
- 3.7.2 Sewer cleaning is performed to remove debris and sediment in the sewers to prevent blockages and potential overflows.
- 3.7.3 Areas of critical service and/or known problem areas are to be cleaned on a more frequent basis. Areas of known debris accumulation, FOG problems, root intrusion, SSO events, siphon sewers, flat/back pitched sewers, and defective areas previously identified but not repaired, etc. are to be cleaned up to annually as necessary.

3.8 Inspection of Grease Traps

- 3.8.1 All grease traps are to be inspected annually.
- 3.8.2 Inspections of grease traps shall document that the grease traps are being cleaned on routine basis (review pumping tickets), plumbing connections are maintained, and required maintenance is being performed.
- 3.8.3 Suspect grease traps shall be inspected on a more frequent basis.

SECTION 3 – OPERATION AND MAINTENANCE PLAN

3.8.4 Periodic witnessing of grease trap cleaning operations should be conducted to ensure proper cleaning and maintenance procedures are being performed.

3.9 Root Control

3.9.1 Root intrusion in collection systems can result in blockages and overflows. Control of roots is to be done in combination with the routine inspections and cleaning to reduce potential blockages and overflows.

3.9.2 Root removal / control will be done on as needed basis.

3.10 System Rehabilitation

3.10.1 Each inspection report shall include a prioritized listing for repairs and/or replacement. The system repairs shall be prioritized based on their severity with priority placed on structural deficiencies and I&I activity.

3.10.2 Sewer repair or replacement to address structural deficiencies, major root intrusion and I&I shall be performed at the earliest opportunity. Such repair or replacement work should, when possible, be coordinated with other scheduled or anticipated work. The goal is to repair or replace within two (2) years all sewers identified as requiring repair or replacement. Replace pipes if the annualized cost of repair and maintenance significantly exceeds the cost of replacement.

3.10.3 Manhole repair or replacement to address structural deficiencies and I&I shall be performed at the earliest opportunity. Such repair or replacement work should, when possible, be coordinated with other scheduled or anticipated work. The goal is to repair or replace within two (2) years all manholes identified as requiring repair or replacement. .

3.10.4 Pumping facility repairs to address structural deficiencies, mechanical piping leaks, reduced pumping capacities and I&I shall be performed at the earliest opportunity. Such repair work should, when possible, be coordinated with other scheduled or anticipated work. The goal is to repair deficiencies within two (2) years following their discovery.

3.10.5 All critical structure defects shall be repaired as soon as possible.

3.10.6 All grease trap defects shall be repaired as soon as possible.

3.10.7 All air relief valve defects shall be repaired as soon as possible.

SECTION 3 – OPERATION AND MAINTENANCE PLAN

3.10.8 The prioritization report and all repair activities shall be included in the annual report.

3.11 Code Compliance

3.11.1 Businesses are to be inspected for compliance with the ordinances and codes. An important part of the code compliance inspections are to ensure industrial pretreatment standards are being met, grease traps are in service and being maintained, inspection manholes are installed and maintained and service connections are not being made without permits.

3.11.2 New developments are to be inspected for compliance with the ordinances and codes. An important part of the code compliance inspections for new developments are to ensure that design and construction standards are being met and that service connections are not being made without permits.

SECTION 4 – CAPACITY PLAN

4.1 Background and Information

The goal of each Member Utility is to do their part to maintain sufficient capacity for dry weather flows, ability to convey peak wet weather flows and capacity for future connections within the regional system as a whole. The capacity plan shall also provide a review of the collection system to identify trouble spots within the collection system. Identifying the problem areas will allow the Member Utilities to make the necessary repairs and improvements to the system to improve service, reduce overflows and backups, and reduce sources of inflow and infiltration.

4.2 Capacity

- 4.2.1 Collection system shall have no dry weather capacity restrictions.
- 4.2.2 Each Member Utility shall continue to review flows during wet weather events to identify and reduce inflow and infiltration.
- 4.2.3 Each Member Utility shall have an on-going inspection program to identify sources of inflow and infiltration.
- 4.2.4 Each Member Utility shall enforce their ordinances on a continuous basis to ensure that inflow and infiltration is being reduced to the extent practical.

4.3 Field Investigations

- 4.3.1 Perform field investigations on regular basis to identify inflow and infiltration, system defects, and problem areas.
- 4.3.2 Problem areas, backups, and overflows are investigated, documented and reported.
- 4.3.3 Observations and recommendations from the field investigations are to be used to enhance the O&M program and to provide repair, rehabilitation and replacement recommendations.

4.4 Flow Monitoring

- 4.4.1 Flow monitoring should be performed to evaluate system flows and to evaluate system capacity constraints.
- 4.4.2 Visual monitoring of system flows shall be performed to evaluate system flows. The visual monitoring shall be performed during dry weather and wet conditions to establish baseline dry weather flows and to track wet weather flows. The results of the visual monitoring shall be reviewed against prior observations to

SECTION 4 – CAPACITY PLAN

gauge any changes in flow. Weather conditions should also be considered during the wet weather flow observations.

- 4.4.3 If visual flow monitoring indicates a significant increase of dry weather flows without an expansion of the service area and/or a significant increase of wet weather flows, an investigation of the service area shall be performed. Field investigations may include sewer televising, dye testing, smoke testing and/or flow monitoring with flow metering equipment.
- 4.4.4 Flow meter readings for pumping stations equipped with flow meters shall be documented during both dry weather and wet weather conditions and compared against historical data to evaluate system flows and capacity. New pumping stations with a design capacity of 1,200 gpm or more shall be equipped with flow meters.
- 4.4.5 For pumping stations equipped with hour meters, the pump run times shall be documented during both dry weather and wet weather conditions and compared against historical data to evaluate system flows and capacity.
- 4.4.6 Dry and wet weather flow monitoring in areas of high I&I should be performed to quantify and confirm I&I flow.

4.5 I&I Reduction

- 4.5.1 Each Member Utility shall have an ordinance for property owners to maintain their service connection and prohibit connection of clean water sources to the sanitary sewer.
- 4.5.2 Each Member Utility shall make system repairs to reduce public system sources of inflow and infiltration.
- 4.5.3 Each Member Utility should continue to review alternative programs to eliminate clear water inflow and infiltration into the sanitary sewer system.
- 4.5.4 Each Member Utility should encourage homeowners and businesses to disconnect downspouts, sump pumps, footing drains, area drains that are connected to the sanitary sewer system.

4.6 Facility Planning

- 4.6.1 Northwest Lake Facility Plan shall be reviewed and updated periodically to ensure that sufficient system capacity will be in place for the planned future growth.

SECTION 4 – CAPACITY PLAN

4.6.2 Number of current service connections, number of committed service connections and available system capacity shall be documented, reviewed, and updated annually.

4.6.3 Record and review number of new services being made per year.

4.7 Capacity Assurance Check List

- Current and up to date Sewer System Map
- Current Facility Plan
- Current and up to date number of service connections
- Current and up to date system flow rates (dry and wet weather) – Pump Station Records
- Pump Station Capacities
- Program to monitor bottleneck / capacity constriction areas / problem areas
- Infiltration / Inflow Analysis
- Sewer System Evaluation Survey
- Flow Monitoring Program

SECTION 5 – Response Plan SSOs and Emergencies

5.1 Background and Information

Each Member Utility is committed to provide reliable sanitary sewer service to its customers and minimize the potential damage to waterways, infrastructure, homes and businesses due to sanitary sewer overflows (SSO). The response plan shall outline the procedures to respond to overflows and emergencies, documents the work performed, and identifies the appropriate parties to receive notification.

5.2 SSO Response Plan

Each Member Utility to develop a SSO Response Plan by April 30, 2015. Plans to be reviewed and updated as appropriate. The SSO Response Plan shall, at a minimum, include the following elements:

1. Identification of known or potential overflow sites
2. Procedure for receipt of notification of a SSO event
 - Time and date call was received
 - Caller's name and phone number
 - Location of problem
 - Description of problem and observation
 - Any other information that may assist responders
3. Procedure for notification / communication of SSO events
 - Responders
 - Emergency Management Officials
 - Municipal Officials
 - Regulatory Agencies
 - Affected Customers / Public
4. Third Party Notice Plan
 - Describes how, under various overflow scenarios, the public, as well as other entities, would be notified of overflows that may endanger health
 - Identifies overflows that would be reported
 - Identifies who shall receive notification
 - Identifies the specific information that would be reported
 - Includes a description of the lines of communication
 - Includes the identities of responsible officials
5. Procedure for responders
 - Required personnel (in-house staff and contract services)
 - Required equipment
 - Probable response activities and methods

SECTION 5 – Response Plan SSOs and Emergencies

- Response time standards
 - Information to be communicated to affected property owners and others:
 - Nature of expected response
 - Anticipated timeframe of response activities
 - Contact information
 - Persons/agencies to be notified of the SSO event
 - Post response reporting standards including reasons for the SSO and the necessary actions to prevent the same or similar SSO occurrence in the future
6. Investigation procedures for determining the cause of the SSO event
 7. Documentation of maintenance procedures for individual incidents
 8. Documented training for field personnel, including first responders, covering all procedures and methods used to respond to SSO events
 9. IEPA Sanitary Sewer Overflow or Bypass Notification Summary Report, see Appendix B.

5.3 Major Emergencies Response Plan

Each Member Utility to develop a Major Emergencies Response Plan by April 30, 2016. Plans to be reviewed and updated as appropriate. The Major Emergencies Response Plan shall, at a minimum, include the following elements:

1. Introduction and Background
2. Description of System (including system map(s))
 - System Size
 - System Components
 - Collection System
 - Pump Stations and Force mains
3. List of Critical Customers (hospitals, schools, municipal facilities, fire station, police station, nursing homes, etc.)
4. Procedure for notification / communication of emergencies
 - Responders
 - Emergency Management Officials
 - Municipal Officials
 - Regulatory Agencies

SECTION 5 – Response Plan SSOs and Emergencies

- Affected Customers / Public
5. List and Location of Critical System Components
 - Pipe Connections (ID interceptors)
 - Pump Stations (table with key information for each pump station)
 6. Potential Threats and Response Procedures (for each include step by step procedures including responder responsibilities, required equipment and anticipated timeline)
 - Manmade
 - Mechanical equipment disabled
 - Primary power source disrupted
 - Secondary / emergency power source disrupted
 - Alarm system failure
 - Assault of field staff
 - Theft
 - Arson
 - Vandalism
 - Accidental
 - Illicit discharge
 - Sewer blockage
 - Sewer overflow
 - Forcemain breakage
 - Mechanical equipment failure
 - Natural Threats
 - Flooding
 - Tornados
 - Snow / Ice Storms
 - Other (severe wind, lightning, etc.)
 7. Preventative Measures
 - Access Control
 - Barriers (physical)
 - Backflow preventers
 - Testing and Maintenance
 8. Emergency Contact Information Directory

SECTION 6 – CONDITION ASSESSMENT

6.1 Background and Information

The Condition Assessment involves documentation and inspection of the sanitary sewer collection system to assess the condition of the Member Utility's sanitary sewer infrastructure. The information gathered during the assessment is used to plan and budget for repair, rehabilitation and replacement of the system components. Recommendations for additional inspections and cleaning are made from these assessments. Listed below are several key elements that are part of the Condition Assessment process.

6.2 Condition Assessment Purpose

- 6.2.1 The purpose of the condition assessment is to utilize a proactive and coordinated asset management-based approach to assessing the sanitary sewer system condition and remaining useful life, and managing rehabilitation and replacement of the system components.
- 6.2.2 The condition assessment program will guide the Member Utilities to be able to more effectively and proactively prioritize and implement system inspections, cleaning, repairs, rehabilitation and replacement of the system components needed in order to identify and address sources of inflow and infiltration, assure sufficient capacity in both dry and wet weather, and to reduce SSOs and backups.

6.3 Condition Assessment Key Elements

- 6.3.1 The tools listed in Section 3 – Operation and Maintenance Plan and Section 4 – Capacity Plan will be used for the condition assessment. These tools include but are not limited to inspection, cleaning, smoke testing, dye testing, root control and flow monitoring.
- 6.3.2 System inspections and O&M activities are recorded and documented utilizing the Member Utility's standard forms.
- 6.3.3 The data from the inspections is reviewed and evaluated by operations staff. The condition of the system components is assessed and rated. Current assessments are compared against the previous assessments.
- 6.3.4 Based on the condition assessment rating, recommendations are made on a continuing basis to repair, rehabilitate and replace system components to maintain the Member Utility's assets.
- 6.3.5 Analysis of system performance, maintenance history, age of materials, and structural condition is also used to prioritize system recommendations.

SECTION 6 – CONDITION ASSESSMENT

6.4 Condition Assessment Recommendations

- 6.4.1 Recommendations to repair, replace and rehabilitate the components of the sanitary sewer system are to be based on the condition assessment.
- 6.4.2 Depending upon the severity of the condition, the recommended system improvements may be performed by operations staff or by contract services.
- 6.4.3 Solutions for repair and rehabilitation will depend upon the condition of the system components, effectiveness of reducing I&I, and use of the appropriate technology for correcting the deficiency.
- 6.4.4 The condition assessment recommendations are to be utilized by each Member Utility to plan and budget for the system O&M and capital improvements.

SECTION 7 – COMMUNICATION PLAN

7.1 Background and Information

Member Utilities communicate with system customers, government officials and the IEPA on a regular basis for primarily non-emergency conditions and events. The objective of the communication plan is to keep officials and the public informed of operation and maintenance activities.

7.2 CMOM Communication

CMOM related topics identified for future and continued discussion may include:

1. Financial impact to O&M operations
2. Sanitary Sewer Collection System O&M activities
3. Problems areas in the system
4. Sanitary Sewer Overflows
5. Sanitary Sewer System Backups
6. Meeting the CMOM goals
7. Cost effective reduction of inflow and infiltration
8. Other topics of interest and concern

7.3 Methods of Communication to Customers and the Public

Each Member Utility utilizes unique and individual methods of communicating information to system customers and officials. In general the common methods of communication may include the following:

- Board meetings which are open to the public and the purposes of the meetings are to discuss and determine policy related to finance, department reports, personnel, operations, communications and other utility business
- Municipal/Utility websites to post utility news, emergency notifications, meeting minutes, and other events, as appropriate
- Periodic newsletters that are distributed to system customers
- Periodic mailings included in utility bills
- Reverse 911 or similar call system

SECTION 7 – COMMUNICATION PLAN

7.4 Reporting Methods for Internal Operations and to the Northwest Technical Advisory Committee

Each Member Utility utilizes a number of reporting methods to communicate the activities of the O&M operations staff. These methods may include:

- Monthly Board or Committee meetings
- Periodic staff meetings
- Monthly reports to the government boards and officials
- Budget Reports
- Annual Report Data (Calendar Year: January through December)

SECTION 8 – ANNUAL CMOM REVIEW

8.1 Background and Information

The CMOM Review is necessary to ensure that the Plan is properly implemented, goals and objectives are met, and performance measures are reviewed, evaluated, and updated on a regular basis.

The CMOM Plan provides the framework and documentation to implement the programs that each Member Utility is currently performing. The CMOM Plan is meant to be a working document and will be updated as needed.

As part of the Review, the following will be done:

1. Review the CMOM Plan
2. Monitor the Plan
3. Provide recommendations
4. Update the CMOM Plan

8.2 Review the CMOM Plan

8.2.1 Reviews are to be performed by the Northwest Technical Advisory Committee at minimum every three (3) years.

8.2.2 Review the Plan for the following:

8.2.2.1 Goals are applicable to each Member Utility.

8.2.2.2 Goals and strategies are applicable to and meet the requirements of the Northwest Regional Water Reclamation Facility's NPDES permit.

8.2.2.3 Performance measures are being met.

8.2.2.4 Budget is adequate to meet the needs of the CMOM Plan.

8.3 Annual CMOM Plan Performance Evaluation and Monitoring

8.3.1 Northwest Technical Advisory Committee will monitor the implementation and measure the effectiveness of the program through performance measures.

8.3.2 Northwest Technical Advisory Committee will perform an annual review of goals and performance measures to evaluate the program effectiveness.

SECTION 8 – ANNUAL CMOM REVIEW

- 8.3.3 Each Member Utility will update and complete the Annual CMOM Summary Report. See Appendix C and D for an example copy of the annual summary reports.
- 8.3.4 The Northwest Technical Advisory Committee will review all Annual Reports from all Member Utilities to determine compliance of each with the CMOM Plan.
- 8.4 Provide Recommendations**
 - 8.4.1 Each Member Utility will obtain recommended plan revisions and/or updates from operations staff.
 - 8.4.2 Provide recommendations to the Northwest Technical Advisory Committee for updating the CMOM Plan.
- 8.5 Update the CMOM Plan**
 - 8.5.1 Northwest Technical Advisory Committee will update the CMOM Plan based on the review, feedback from operations staff and review recommendations.
 - 8.5.2 Provide updated copies of the CMOM Plan to Member Utilities, IEPA and other required agencies.

APPENDIX A

Appendix A – Legislation

APPENDIX A – LEGISLATION

ENVIRONMENTAL SAFETY

(415 ILCS 25/) Water Pollutant Discharge Act.
(415 ILCS 25/0.01) (from Ch. 85, par. 1700)

Sec. 0.01. Short title. This Act may be cited as the Water Pollutant Discharge Act.
(Source: P.A. 86-1324.)

(415 ILCS 25/1) (from Ch. 85, par. 1701)

Sec. 1. It is hereby declared that it is the public policy of the State of Illinois that there should be no discharges of oil or other pollutants into or upon any waters which are or may be used for the purposes of providing a water supply for any city, town or village, or for purposes of recreation or navigation and that those persons responsible for such discharges shall bear the costs of removal. (Source: P. A. 77-1605.)

(415 ILCS 25/2) (from Ch. 85, par. 1702)

Sec. 2. For purposes of this Section, unless the context otherwise requires, the term --

- (a) "oil" means oil of any kind or in any form including, but not limited to, petroleum, fuel oil, sludge and oil refuse;
- (b) "other pollutants" mean any floating materials which may cause unsightly appearance on the surface of such waters or are detrimental to aquatic life or the water quality of such waters;
- (c) "discharge" includes, but is not limited to, any spilling, leaking, pumping, pouring, emitting, emptying or dumping;
- (d) "remove" or "removal" refers to removal of oil, or other pollutants, from the waters and taking such other action as may be necessary to minimize damage to the public health or welfare from discharges of oil or other pollutants;
- (e) "facility" means any facility of any kind located in, on, or under land or waters and watercraft of every description;
- (f) "waters" mean all waters of any river, stream, watercourse, pond, or lake wholly or partly within the territorial boundaries of the State of Illinois;
- (g) "governmental body" means cities, villages, incorporated towns or any units of local government;
- (h) "owner or operator" means any person owning or operating any facility;
- (i) "person" includes an individual, firm, corporation, association or partnership. (Source: P. A. 77-1605.)

APPENDIX A – LEGISLATION

(415 ILCS 25/3) (from Ch. 85, par. 1703)

Sec. 3. The discharge of oil in quantities which exceed the standards adopted by the Pollution Control Board, or the discharge of other pollutants directly or indirectly into the waters is prohibited. (Source: P. A. 77-1605.)

(415 ILCS 25/4) (from Ch. 85, par. 1704)

Sec. 4. Whenever any oil or other pollutant is discharged in violation of Section 3 of this act, any governmental body having such waters within its territorial limits is authorized to act to remove or arrange for the removal of such oil or other pollutants. (Source: P. A. 77-1605.)

(415 ILCS 25/5) (from Ch. 85, par. 1705)

Sec. 5. The owner or operator of such facility from which oil or other pollutants are discharged in violation of Section 3 of this Act, shall be liable to such governmental body for the actual costs incurred for the removal of such oil or other pollutants. Such governmental body may, if necessary, bring an action in the circuit court for the recovery of the actual costs of removal, plus reasonable attorneys fee, court costs and other expenses of litigation. (Source: P.A. 79-1358.)

(415 ILCS 25/6) (from Ch. 85, par. 1706)

Sec. 6. Nothing in this act shall affect or modify the liabilities of any owner or operator for damage to any publicly-owned or privately-owned property resulting from a discharge or removal of oil or other pollutants; nor shall this act be construed as affecting or modifying any other existing authority or act. (Source: P. A. 77-1605.)

APPENDIX A – LEGISLATION

TITLE 35: ENVIRONMENTAL PROTECTION
SUBTITLE C: WATER POLLUTION
CHAPTER II: ENVIRONMENTAL PROTECTION AGENCY
TITLE 35: ENVIRONMENTAL PROTECTION
SUBTITLE C: WATER POLLUTION
CHAPTER I: POLLUTION CONTROL BOARD
PART 306
PERFORMANCE CRITERIA

SUBPART A: SYSTEMS RELIABILITY

Section 306.101 Preamble

This part contains specific requirements and prohibitions concerning existing and potential sources of water pollution. Unless the contrary is clearly indicated, all references to "Parts" or "Sections" are to Ill. Adm. Code, Title 35: Environmental Protection. For example, "Part 309" is 35 Ill. Adm. Code 309, and "Section 309.101" is 35 Ill. Adm. Code 309.101.

Section 306.102 Systems Reliability

- a) Malfunctions: All treatment works and associated facilities shall be so constructed and operated as to minimize violations of applicable standards during such contingencies as flooding, adverse weather, power failure, equipment failure, or maintenance, through such measures as multiple units, holding tanks, duplicate power sources, or such other measures as may be appropriate.

Section 306.303 Excess Infiltration

Excess infiltration into sewers shall be eliminated, and the maximum practicable flow shall be conveyed to treatment facilities.

(Source: Section 306.303 renumbered from Section 306.103(a) at 7 Ill. Reg. 5682, effective April 19, 1983)

Section 306.304 Overflows

Overflows from sanitary sewers are expressly prohibited.

(Source: Section 306.304 renumbered)

APPENDIX A – LEGISLATION

TITLE 35: ENVIRONMENTAL PROTECTION
SUBTITLE C: WATER POLLUTION
CHAPTER II: ENVIRONMENTAL PROTECTION AGENCY
TITLE 35: ENVIRONMENTAL PROTECTION
SUBTITLE C: WATER POLLUTION
CHAPTER I: POLLUTION CONTROL BOARD

PART 392
GUIDELINES FOR NOTIFICATION OF
RESTRICTED STATUS OR CRITICAL REVIEW
PURSUANT TO 35 ILL. ADM. CODE 306.105

SUBPART A: INTRODUCTION

Section 392.101 Purpose

This policy constitutes the guidelines governing notification by the Agency to sanitary districts and other wastewater treatment or transportation authorities of Restricted Status or Critical Review. Definitions of Restricted Status and Critical Review as well as the criteria utilized by the Agency for determination of Restricted Status and Critical Review are herein presented. The Agency shall notify sanitary districts, other wastewater treatment or transportation authorities, and the public of Restricted Status or Critical Review in accordance with the procedures established herein.

Section 392.102 Definitions

"Agency" means the Illinois Environmental Protection Agency.

"Critical Review" shall be defined as the Agency determination, pursuant to Section 39 of the Environmental Protection Act (Ill. Rev. Stat. 1981, ch. 111 1/2, par. 1039) and 35 Ill. Adm. Code 309.241, that a sewer is approaching hydraulic capacity or that a sewage treatment plant is approaching design capacity such that additional sewer connection permit applications will require close scrutiny to determine whether issuance would result in a violation of the Act or Regulations.

"Restricted Status" shall be defined as the Agency determination, pursuant to Section 39 of the Environmental Protection Act (Ill. Rev. Stat. 1981, ch. 111 1/2, par. 1039) and 35 Ill. Adm. Code 309.241, that a sewer has reached hydraulic capacity or that a sewage treatment plant has reached design capacity, such that additional sewer connection permits may no longer be issued without causing a violation of the Act or Regulations.

APPENDIX A – LEGISLATION

"Sewer Connection" means a sewer for which a construction permit is required under 35 Ill. Adm.Code 309.202.

Section 392.202 Criteria for Placing Sewage Treatment Plants on Restricted Status

The Agency may place a sewage treatment plant on Restricted Status when any of the following conditions exists, as shown by Agency field inspections, operational reports, records of permits issued, or other information:

a) Hydraulic overloading of the treatment plant as determined by a comparison of the permitted design capacity of the plant with the actual average monthly flows measured at the plant during the three low-flow months in the preceding 12-month period, adjusted to include all outstanding (permitted but not connected) permits issued by the Agency, or other information on hydraulic loading of the plant available to the Agency (i.e., water pumpage, recent development, demographic and meteorological data, etc.);

(more)

Section 392.203 Criteria for Placing Sewers and Lift Stations on Restricted Status

a) The Agency may place sanitary sewers and lift stations on Restricted Status in order to prevent overflows as expressly prohibited 35 Ill. Adm. Code 306.103(b). Restricted Status may be imposed upon the confirmation of overflows in the form of basement backups, overflows of sanitary sewer manholes, or sanitary sewer overflow devices.

APPENDIX A – LEGISLATION

TITLE 40--PROTECTION OF ENVIRONMENT

40 CFR122.41

CHAPTER I--ENVIRONMENTAL PROTECTION AGENCY

PART 122--EPA ADMINISTERED PERMIT PROGRAMS: THE NATIONAL POLLUTANT DISCHARGE ELIMINATION SYSTEM

Subpart C--Permit Conditions

Sec. 122.41 Conditions applicable to all permits (applicable to State programs, see Sec. 123.25).

The following conditions apply to all NPDES permits. Additional conditions applicable to NPDES permits are in Sec. 122.42. All conditions applicable to NPDES permits shall be incorporated into the permits either expressly or by reference. If incorporated by reference, a specific citation to these regulations (or the corresponding approved State regulations) must be given in the permit.

(d) Duty to mitigate. The permittee shall take all reasonable steps to minimize or prevent any discharge or sludge use or disposal in violation of this permit which has a reasonable likelihood of adversely affecting human health or the environment.

(e) Proper operation and maintenance. The permittee shall at all times properly operate and maintain all facilities and systems of treatment and control (and related appurtenances) which are installed or used by the permittee to achieve compliance with the conditions of this permit. Proper operation and maintenance also includes adequate laboratory controls and appropriate quality assurance procedures. This provision requires the operation of back-up or auxiliary facilities or similar systems which are installed by a permittee only when the operation is necessary to achieve compliance with the conditions of the permit.

(more)

Source:

http://a257.g.akamaitech.net/7/257/2422/14mar20010800/edocket.access.gpo.gov/cfr_2002/julqtr/40cfr122.41.htm

APPENDIX B

Appendix B – IEPA Sanitary Sewer Overflow Report Form



Illinois Environmental Protection Agency

Bureau of Water • 1021 North Grand Avenue East • P.O. Box 19276 • Springfield • Illinois • 62794-9276

Sanitary Sewer Overflow or Bypass Notification Summary Report

- Within 24 hours of the occurrence, notify the Illinois EPA regional wastewater staff by telephone, FAX, email or voice mail, if staff are unavailable.
- Within 5 days of the occurrence, provide a written report describing the overflow or bypass, including all information requested on this form. The permittee is required to submit this form or other equivalent written notification to the Illinois EPA at:

Bureau of Water/Compliance Assurance Section - MC #19
 1021 North Grand Avenue East
 P.O. Box 19276
 Springfield, IL 62794-9276

NOTE: You may complete this form online, save a copy locally, print, sign and submit it to the BOW/CAS MC #19, at the above address. You may also print the form before completing it by hand, signing and submitting it.
 Failure to notify the Illinois EPA as specified may result in fines up to \$10,000 for each day of violation.

Instructions: Use this form to report all unscheduled sanitary sewer overflow or bypass occurrences. Attach additional information as necessary to explain or document the overflow or bypass. For the purpose of this report, an overflow or bypass is defined as the discharge of untreated sewage from the sanitary sewer collection system to a surface water and/or ground due to circumstances such as those identified by the check boxes in the overflow or bypass details section of this form.

Use one form per occurrence. A single occurrence may be more than one day if the circumstances causing the overflow or bypass results in a discharge duration of more than 24 hours. If there is a stop and restart of the overflow or bypass within 24 hours, but it is caused by the same circumstances, report it as one occurrence. If the discharges are separated by more than 24 hours, they should be reported as separate occurrences.

24 Hour Notification Information

Permittee (Municipality or Facility Name): _____ Permit Number: _____ Person Representing Permittee Who Contacted IEPA: _____

Date: _____ Time: AM PM IEPA Office Contacted: _____ Name of IEPA Employee Contacted: _____

Sanitary Sewer Overflow or Bypass Details

Date and Duration of Overflow or Bypass Occurrence (complete a separate form for each occurrence):

Start Date: _____ Time: AM PM Duration of the overflow or bypass (hours and minutes): _____

Estimated Volume of Wastewater Discharged (gallons): _____ WWTP Flow During bypass (report in MGD): Not applicable for a collection system SSO.

Location of the Overflow or Bypass: _____

Circumstances Causing the Overflow or Bypass (check all that apply)

- WPC 733
11/2011
- Rain Power Outage Equipment Failure Other (explain below)
 Snow Melt Broken Sewer Widespread Flooding

Provide a narrative description to further explain why the overflow or bypass occurred. For example, describe what equipment failed. What caused the power outage, or what plugged the sewer. Flooding should only be indicated, as a cause if there is significant flooding that is caused by high river, stream, or lake water levels, not just localized high water in the street.

Wet Weather (if applicable)

Date(s) and Duration of Rainfall:

Start Date: _____ Time: _____ AM PM _____ End Date: _____ Time: _____ AM PM _____ Amount of Rainfall (inches) _____ Amount of Snow Melt (inches) _____

Contributing Soil Conditions (saturated, frozen, soil type) _____

Where Did the Discharge from the Overflow or Bypass Go? (check all that apply)

Provide the name of the local receiving water that the wastewater enters, which could be a nearby stream, river, lake, or wetland. If discharge does not enter directly into surface water, but indirectly by way of a ditch or storm sewer, trace the path of the ditch or storm sewer to find the receiving water.

- Runs on ground and absorbs into the soil
- Ditch: Name of surface water it drains to: _____
- Storm Sewer: Name of surface water it drains to: _____
- Surface water direct discharge: _____
- Basement Back-ups, (Number & use (i.e.residential, commercial) of buildings affected): _____
- Other, describe: _____

Actions to Correct This Occurrence and Prevent Future Owerflows or Bypasses

Describe what actions were taken to minimize the volume of wastewater discharged from the overflow or bypass reported on this form. Also describe what actions are planned to prevent or minimize future overflows or bypasses. Illinois law and NPDES permits prohibit overflows or bypasses, unless certain specified conditions are met. Sanitary sewer overflows and bypasses may be the subject of enforcement action.

Report Completed By

Authorized Representative Contact Information

Contact Person: _____
Street Address: _____
PO Box: _____
City: _____ State: _____
Zip Code: _____ Phone: _____
County: _____

Contact Person: _____
Title: _____
Street Address: _____
PO Box: _____
City: _____ State: _____
Zip Code: _____ Phone: _____
County: _____

Any person who knowingly makes a false, fictitious, or fraudulent material statement, orally or in writing, to the Illinois EPA commits a Class 4 felony. A second or subsequent offense after conviction is a Class 3 felony. (415 ILCS 5/44(h))

Authorized Representative Name (Print) _____ Title _____

Authorized Representative Signature _____ Date _____

APPENDIX C

Appendix C – Annual Flow Summary Report

APPENDIX C – FLOW SUMMARY

ANNUAL FLOW SUMMARY

I. General Information

A. Agency Name _____

B. Agency Address

Street _____

City _____ State _____ Zip _____

C. Contact Person _____

D. Contact Information

Telephone _____ Fax _____

Email _____

E. Data Provided as of _____

II. Pumping Station Annual Flow Summary

	Pump Station No.	Station Hours	Flow Gallons	Notes
Previous Year				
Current Year				
Previous Year				
Current Year				
Previous Year				
Current Year				
Previous Year				
Current Year				
Previous Year				
Current Year				

APPENDIX C – FLOW SUMMARY

	Pump Station No.	Station Hours	Flow Gallons	Notes
Previous Year				
Current Year				
Previous Year				
Current Year				
Previous Year				
Current Year				
Previous Year				
Current Year				
Previous Year				
Current Year				

III. Critical Structure Annual Flow Summary

	Structure Name	Visual Observations (flow depth)				Notes
		¼ Dia	½ Dia	¾ Dia	Surcharged	
Previous Year						
Current Year						
Previous Year						
Current Year						
Previous Year						
Current Year						
Previous Year						
Current Year						
Previous Year						
Current Year						

APPENDIX C – FLOW SUMMARY

	Structure Name	Visual Observations (flow depth)				Notes
		¼ Dia	½ Dia	¾ Dia	Surcharged	
Previous Year						
Current Year						
Previous Year						
Current Year						
Previous Year						
Current Year						
Previous Year						
Current Year						
Previous Year						
Current Year						

Appendix D – Annual Summary Report

APPENDIX D – ANNUAL SUMMARY REPORT

SYSTEM INVENTORY SUMMARY

I. General Information

A. Agency Name _____

B. Agency Address

Street _____

City _____ State _____ Zip _____

C. Contact Person _____

D. Contact Information

Telephone _____ Fax _____

Email _____

E. Data Provided as of _____

II. Collection System Description/Inventory

A. Number of Service Connections

Residential	Commercial	Industrial	Total

B. Gravity Sewer Inventory

Pipe Diameter (in)	Material	Length (ft)
Total Length of Sewers (ft)		

APPENDIX D – ANNUAL SUMMARY REPORT

CMOM ACTIVITY SUMMARY

for period _____

(Cumulative % tracked since _____)

I. Inspection Summary

Description	Quantity	%	Cumulative %
Gravity Sewer Cleaning (ft.)			
Forcemain Cleaning (ft.)			
Root Control / Removal (ft.)			
Sewer Televising (ft.)			
Number of Defects Identified Current Year		(Details Attached)	
Manhole Inspections (no.)			
Number of Defects Identified Current Year		(Details Attached)	
Pumping Station Inspections (no.)			
Number of Defects Identified Current Year		(Details Attached)	
Critical Structure Inspections (no.)			
Number of Defects Identified Current Year		(Details Attached)	
Air Release Valve Inspections (no.)			
Number of Defects Identified Current Year		(Details Attached)	
Grease Trap Inspections (no.)			
Number of Defects Identified Current Year		(Details Attached)	
Other Inspections (Smoke Testing, Dye, etc.)			

APPENDIX D – ANNUAL SUMMARY REPORT

II. Repair Summary

Description	Quantity	Notes	Cost
Manhole Repairs			
Manhole Replacements			
Sewer Spot Repairs			
Sewer Lining			
Sewer Replacement			
Pump Repairs (major items)			
Pump Replacement			
Generator Repairs (major items)			
Building Repairs (major items)			
Other Repairs:			

APPENDIX D – ANNUAL SUMMARY REPORT

III. CMOM Activity Checklist

Confirm	CMOM Activity
	Review/Update System Inventory
	Sewer Atlas Up-to-Date
	Parts Inventory Reviewed
	Ordinances Reviewed
	Budget Review for CMOM Activities
	Fees/Rates Reviewed
	Safety Training Requirements Reviewed
	Safety Training Completed/Current
	Review Critical Structure List
	Review Major Emergency Response Plan
	Review SSO Response Plan
	Grease Trap Inspections Completed (See Details Attached)
	Code Compliance Inspections Completed (See Details Attached)
	Wetwells Cleaned
	Lift Station Flow Monitoring Records Reviewed (See Attached)
	Special Studies Completed

IV. Performance Indicators (#'s)

Quantity	CMOM Performance Indicator
	Pump Station Failure – mechanical
	Pump Station Failure – electrical
	Sanitary Sewer Overflows
	Basement Backups (not private service related)
	Complaints Received (not private service related)
	Complaints Resolved (not private service related)
	Other Items:

APPENDIX D – ANNUAL SUMMARY REPORT

V. Sanitary Sewer Overflows (SSO's) Reported

Date	Location	Cause ¹	Estimated Volume

1. Attach SSO Report Form for each event

_____ **None Reported**

Were there any SSOs that occurred last year that are not listed above? If yes, list:
